

# Shivaji University, Kolhapur

Regulations and norms for recognition of a teacher as Research Guide for Ph.D. in University

Departments and Affiliated Post Graduate Colleges

[With reference to University Grants Commission, New Delhi Ph.D. Regulations dated 7<sup>th</sup> November 2022 and the Maharashtra Public Universities Act 2016]

## 1. Research Guide for Ph.D.

**A full time approved regular teacher of Shivaji University/Affiliated P.G.Colleges/Recognised P.G. Institute, fulfilling following conditions shall submit an application for recognition as a Research Guide for Ph.D.**

- Only a full time regular P.G. teacher of Shivaji University/Affiliated P.G. College / Recognized P.G. Institution can work as a Research Guide.
- Doctorate Degree (Ph.D.) in relevant subject.
- For Professor and Associate Professor at least five research publications in peer-reviewed or refereed journals and for Assistant Professor at least three research publications in refereed journals enlisted by the concerned faculties, may be recognized as Research Guide. Publication of Research Papers should be after the declaration of Doctorate Degree (Ph.D.)

A full time regular P.G. teacher of other university or affiliated college/ recognized institute of other university will not be allowed to work as Research Guide for Ph.D.

However, Co-Guide can be allowed in inter disciplinary areas from other departments of the same institute or from other related institutions/universities with the approval of the Research Advisory Committee (RAC).

[Note: For the purpose of clause c) above, a Co-Guide from other related institutions/universities is required to be a recognized research guide of that institutions/university or a Co-Guide will have to seek recognition of Shivaji University]

## **R.R.D. 9. RESEARCH SUPERVISOR/GUIDE:**

**Allocation of Research Supervisor-** Eligibility criteria to be a Research Supervisor Co-Supervisor, Number of Ph.D. scholars permissible per supervisor, etc.

- Permanent faculty members working as Professor/Associate Professor of the Higher Educational Institution with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors in Higher Educational Institutions with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the university where the faculty member is employed or in its affiliated Post-graduate Colleges/institutes.

[Note: i) Maharashtra public Universities Act 2016 section 2(61) "teacher" means full-time approved professor, associate professor, assistant professor, reader, lecturer, librarian, principal, Director of an institution, Director of Knowledge Resource Centre, Disrector of Centre of Lifelong Learning and Extension, deputy or assistant librarian in the university, college librarian, Direct or instructor of physical education in any university department, conducted, affiliated or autonomous college, autonomous institution or department or recognized institution of the university.

ii) Eligible permanent faculty members can guide Ph.D. scholars during their probation period also. (UGC Public Notice ref. no. F.9-1/2020 (ps/misc.) dated 6th March, 2023) ]

- Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. [ Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the university or its affiliated Post- graduate Colleges/institutes would be in violation of these Regulations.]
- For Ph.D. scholars working in Central government/ State government research institutions whose degrees are given by Higher Educational Institutions, the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors if they fulfill the above requirements.
- Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the Higher Educational Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing. Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority.
- Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.  
In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the Department/School/Centre/College/University may be appointed.
- An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.  
Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the predetermined number of Ph.D. scholar as specified in above clause.



# Shivaji University, Kolhapur

Regulations and norms for recognition as a P.G. Teacher in University Departments and Affiliated Colleges  
For the Faculty of Humanities, Science and Technology, Commerce and Management, Inter- Disciplinary Studies.  
[With reference to the Maharashtra public Universities Act 2016]

2. Following conditions shall be fulfilled for seeking recognition as a Post Graduate Teachers  
(for Master's Degree) :

- a) A full time approved regular teacher (in affiliated college) with. M. Phil. or Ph.D Degree of a Statutory Indian Universities or recognized Foreign Universities.  
OR
- b) At least five years teaching experience at U.G. level.  
OR
- c) CA/CS/ICWA shall be considered for recognition as P.G. Teacher in subject under Commerce and Management  
OR
- d) Three years full time experience of teaching for final year B.E./B.Pharm./B.Arch. Classes for recognition as P.G. Teacher in subject under Engineering/ Pharmacy / Architecture  
OR
- e) A person working in the recognized Research / Professional / Organization having Ph. D./ M. E. /M. Tech. with five years research / industrial experience, for recognition as P.G. Teacher in subject under Engineering

[Note : Maharashtra public Universities Act 2016 section 2 (61) "teacher" means full-time approved professor, associate professor, assistant professor, reader, lecturer, librarian, principal, Director of an institution, Director of Knowledge Resource Centre, Director of Centre of Lifelong Learning and Extension, deputy or assistant librarian in the university, college librarian, Director or instructor of physical education in any university department, conducted, affiliated or autonomous college, autonomous institution or department or recognized institution of the university.

3. Rules other than minimum qualifications

- 1. Those teachers appointed for post graduate programme in University Departments/ school/affiliated college/ recognized institutions will be recognized as post graduate teacher, provided they fulfill minimum required qualification for teaching post graduate programme as prescribed by respective apex bodies.
- 2. Those teachers appointed or promoted as Professor/Associate Professor in the subject at University department shall automatically be recognized as Post-graduate Teacher for Master's Degree, and recognized guide for Ph.D.  
Those teachers appointed as Assistant Professor in the subject at University department shall automatically be recognized as Post Graduate Teacher for Master Degree and recognized Guide for M.Phil. provided he / she is a Ph.D. holder.
- 3. The teacher appointed as regular UG teacher and recognized for P.G. teaching, shall not be allowed to work at more than one college and not allowed to teach more than one paper.
- 4. A recognized Post-Graduate teacher of any recognized University who is already retired may be allowed to work in the honorary capacity to teach or guide Post-Graduate students (Master Degree) up to the age of 70 years.
- 5. Ph.D. Recognition will be granted to a full time regular P.G. teacher till he / she is working in the jurisdiction of Shivaji University or in the affiliated P.G. College / recognized P.G.Institute.
- 6. A full time regular Teacher in the University Department / Government College should provide Experience Certificate from the Registrar / the Principal / Director / Department, instead of University Approval letter.
- 7. In case of the inadequate number of P.G. Teachers in any subject in the University Jurisdiction, other teacher may be considered for recognition as a special case for P. G. programmes.