



Management Service

AUDIT REPORT

Organization name	Shivaji University
Standard(s)	ISO 9001:2015
Order No.	4153729596
Audit start date	2024-10-17
Audit end date	2024-10-18
Audit type	4. Surveillance Audit
Certification type	Single
Client number	377231-01
Organization's audit representative	Prof. S. D. Delekar
Certificate No.	99 100 20227
Result	<ul style="list-style-type: none">• Certificate release recommended• Maintenance of certificate recommended• Non-Conformities were identified and closed by re-audit on site• Non-Conformities were identified and closed by resubmitted documentation• Suspension of certificate recommended• Withdrawal of certificate recommended• Certification process terminated

Enclosed documents: Action list
Audit plan



Audit Team	
Function	Name
Lead Auditor	Shrihari Shedbalkar
Auditor	Sunil Raghunath Joshi
Trainee	Prachi Khade

Changes since last audit:

- No changes since last audit

Date of next regularly scheduled audit	2025-09-08
--	------------



Audit conclusion

During the audit it was checked how the documented management system was established, implemented and improved at the different areas of the organization.

The audit covered relevant processes / areas of the organization in order to obtain an overall picture of the degree of management system implementation. Although performed to reasonable depth, not every detail of the complete Management System could be checked.

The processes and their associated areas of the organization were checked in accordance with the pre-agreed audit plan, audit program and process analysis.

Verification of previous audit nonconformities

The audit team evaluated the corrective action taken for the nonconformities/ areas of concern from the previous audit.

In the case of RA / Re-Certification audits, the audit team considered the audit reports for the last two audits in the audit planning / performance of the audits and in particular checked the nonconformities / areas of concerns.

The corrective actions were found to be:

Effectively Implemented?	Yes
--------------------------	-----

Comments:

Last audit 1 minor non-conformance raised found closed effectively.

Did the organization use the CB certification mark? (Controlling the use of certification documents, statements and marks)	Not in use
---	------------

Comments:

NOT IN USE



Auditor's signature

Shrihari Shedbalkar

18-10-24

Site specific information

Audited Site	
Site specific name	Shivaji University
State	India
City	Kolhapur
Postal code	416004
Address	Vidyanagar
Client number	377231-01
Certificate scope	Academic Governance, Design of Curriculum, offer Education to Under Graduate, Post Graduate and Research Programmes through Admissions, Teaching, Evaluation, Examinations, Declaration of Results and Award of Degrees.
Industry code(s)	EA 35; EA 37
Products / Services	Curriculum, Degrees, Publications
Total number of personnel onsite	615
Total number of effective personnel	615

ISO 9001	
Auditing of shifts	<ul style="list-style-type: none"> Not applicable
Temporary sites / projects:	<ul style="list-style-type: none"> Not applicable Applicable
All clauses apply	<ul style="list-style-type: none"> Yes No
Quality relevant manufacturing/realization processes:	Governance, Designing of Curriculum, Admission, Teaching - Learning, Evaluation, Examination, Research Publication



Risk category (low/medium/high):	Medium	
KPIs:	Name	Trend
	Physics - Passing Results - T-100%, A-100%	Positive
	MSc Nonoscience - Results - T-100%, A-100%	Positive
	MSc Computer Science - Admission - T-100%, A-100%	Positive
	Patents Computer Science - T-4 Nos , A- 4 Nos	Positive
	Sociology - Admission - T- 60 Nos, A-64 Nos	Positive
	Reserch Publications T-30 Nos A-75 Nos	Positive
Relevant compliance obligations / Significant legal requirements with regard to products / services:	<p>UGC Recognition under section 22 & section 12B, of UGC Act 1956 – F No 5-5/2014 (CPP-I/PU) – 27 FEB 2014 NIRF – UNIV-101-150 Rank Band) – National Institute Ranking Framework EC(SC)/04/RAR/75 – NAAC – Grade A++ All India Council For Technical Education - F.No. -Western/1-10975278253/2022/EOA - Dt - 09.07.2022</p>	

Audit objectives

See attached Audit Plan

Additional remarks

1. Disclaimer statement

Auditing is based on a sampling process of the available information. Any audit recommendations are subject to an independent review prior to a decision concerning the awarding or renewal of certification.

A management system certification audit (initial, surveillance or recertification audit) is not a legal compliance audit (ISO 17021:2015, 9.2.1.2; IAF MD22:2018, Appendix C).

2. Duty of information

The Certification Body shall be notified by the client without delay of all changes that may impact on the management system's capability to continue to fulfill the requirements of the relevant standard now and in the future.

These matters include major changes regarding:

- legal, commercial, organizational status or ownership
- organization and management (e.g. key managerial, decision-making or technical staff)
- change of address and sites
- scope of operations under the certified management system

3. Due dates

The due date (last day of the certification audit) must be considered for the planning of any additional audit. The respective due dates should be coordinated with the lead auditor.

4. Confidentiality

The Certification Body will treat all received documented information related to the certification process as strictly confidential.

Copies to:

- Members of the audit team
- Certification body
- Client