



Speed Post

F.No. 40- 6/2022 (DEB-II) (ODL)

October, 2022

The Registrar,
Shivaji University,
Vidyanagar,
Kolhapur – 416 004
Maharashtra

Sub: Commission Order on the application submitted online by the Higher Educational Institution (HEI) for recognition of the programmes to be offered in Open and Distance Learning (ODL) mode from year academic 2022-23, academic session beginning September, 2022 (revised from July-August, 2022) and onwards – regarding.

Sir/Madam,

In exercise of the powers conferred by sub-sections (1) and (2) of section 26 read with clause (j) of section 12 of the University Grants Commission Act, 1956 (3 of 1956), the University Grants Commission (Open and Distance Learning Programmes and Online Programmes) Regulations, 2020 has been notified in the Gazette of India on dated 4th September, 2020. Subsequently, the first and second amendments in principal regulations have been notified on 01.07.2021 and 18.07.2022 respectively in the Gazette of India.

2. The eligibility criteria for Higher Educational Institution is enumerated under Regulation 3 of Part II of the University Grants Commission (Open and Distance Learning Programmes and Online Programmes) Regulations, 2020 and its amendments. The Regulation 4 and 5 describes the process of applications for the Open and Distance Learning and Online Programmes and the approval process whereas Regulations 6 describes provisions of right to appeal by the Higher Educational Institutions aggrieved with the order of the Commission and Regulations 7 describes the process of withdrawal of Recognition.
3. University Grants Commission had invited online application from the eligible Higher Educational Institutions (HEIs) as per Regulation 3(A) and Regulation 3(B) (b) of UGC (Open and Distance Learning Programmes and Online Programmes) Regulations, 2020 and its amendments for recognition of the programmes under Open and Distance Learning (ODL) mode and/ or Online mode from academic year **2022-23, academic session beginning September, 2022 (revised from July-August, 2022)** and onwards vide public notice F. No. 3-1/2022 (DEB-III) dated 13th May, 2022, mentioning therein that the online portal for submitting application shall be open upto 31st May, 2022. The **Shivaji University, Kolhapur, Maharashtra** had submitted its application for recognition of the Open and Distance Learning Programmes.
4. Application received from **Shivaji University, Kolhapur, Maharashtra** had been scrutinized and deficiency(s) or defect(s) in application were communicated to HEI as per the University Grants Commission (Open and Distance Learning Programmes and Online Programmes) Regulations, 2020 and its amendments to remove or rectify such deficiency(s) or defect(s) with relevant documentary evidence.
5. The **Shivaji University, Kolhapur, Maharashtra** was invited for a Virtual Interface Meeting with the Expert Committee duly constituted by the Chairman of the UGC, on **21/ 07/ 2022**.

6. The Interface Expert Committee, based on the submitted application, compliance to deficiency(ies) or defect(s) communicated earlier, the presentation made by the Higher Educational Institution in the Interface Meeting and as per the provisions of University Grants Commission (Open and Distance Learning Programmes and Online Programmes) Regulations, 2020 and its amendment made recommendations for the consideration of the Competent Authority/ Commission.
7. Based on the recommendations of Interface Expert Committee and its approval by Competent Authority/Commission, I am directed to issue this Order, thereby communicating the programme wise recognition for the programmes to be offered in **Open and Distance Learning mode** from academic year 2022-23, academic session beginning September, 2022 (revised from July-August, 2022) by the **Shivaji University, Kolhapur, Maharashtra** as detailed in point no. 8 below. **The assessment sheet duly approved by Competent Authority has already been communicated to the Higher Educational Institution through UGC-DEB portal on 16.08.2022**
8. **Programme wise recognition status**

(i) **Open and Distance Learning Programmes Recognised (communicated to the HEI through UGC-DEB web portal on 16.08.2022):-**

S. No.	Name of the ODL Programmes	Period of Recognition
1.	Bachelor Of Arts	2022-23, academic session beginning September, 2022 (revised from July - August, 2022) to 2026-27 (upto January, 2027only)
2.	Bachelor of Commerce (Commerce, Business Management, Business Economics, Accountancy)	
3.	Masters of Arts (Marathi)	
4.	Masters of Arts (Hindi)	
5.	Masters of Arts (English)	
6.	Masters of Arts (Economics)	
7.	Masters of Arts (History)	
8.	Masters of Arts (Political Science)	
9.	Masters of Arts (Sociology)	
10.	Master of Commerce (Commerce, Business Management, Business Economics, Accountancy)	
11.	Master of Science (Mathematics)	
12.	Master of Business Administration (General Management)*	2022-23, academic session beginning September, 2022 (revised from July - August, 2022) to January, 2023 only)

Note:

*HEI shall ensure the compliance on the basis of communication/recommendations received from respective Regulatory Authority. The HEI shall abide by the condition such as nomenclature number of seats, valid academic year, period of recognition etc. mentioned in the relevant Regulatory Authority letter.

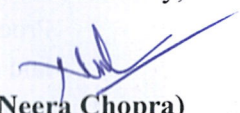
(ii) Open and Distance Learning Programmes Not Recommended :- Nil

9. For the programmes recognized in 8(i) above, the Higher Educational Institution shall comply with all the provisions of the University Grants Commission (Open and Distance Learning Programmes and Online Programmes) Regulations, 2020 and its amendments while offering the programmes in Open and Distance Learning mode. Some specific provisions for compliance have been mentioned in **Annexure-1**.
10. As stipulated under Sub-Regulation 7 of Regulations 5 of the University Grants Commission (Open and Distance Learning Programmes and Online Programmes) Regulations, 2020:

"No Higher Educational Institution shall offer any Open and Distance Learning Programme and/or Online Programme and admit learners thereto unless it has been granted recognition by the Commission and admission shall not be made in anticipation of the recognition".

11. In the event of any Higher Educational Institution found offering programmes in Open and Distance Learning mode and/or Online mode without recognition of the Commission or in violation to any of the provisions of these regulations and guidelines or orders made there under, the Commission may take actions as per Regulations 7 of Part -II of University Grants Commission (Open and Distance Learning Programmes and Online Programmes) Regulations, 2020.

Yours faithfully,


(Neera Chopra)
Under Secretary

Copy to:

1. The Secretary, Higher Education, Government of Maharashtra, Annexe Building, Mumbai, Maharashtra – 400 032 with a request to ensure that HEI adheres to all the provisions of the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations, 2020.
2. The Joint Secretary (Open & Distance/Online Learning), MoE, Govt. of India, Shastri Bhawan, New Delhi – 110 001.
3. The Member Secretary, AICTE, Nelson Mandela Marg, Vasant Kunj, Delhi- 110 070.
4. The Vice Chancellor, Shivaji University, Vidyanagar, Shivaji University, Kolhapur – 416004, Maharashtra
5. The Joint Secretary, State University Bureau, UGC, Bahadur Shah Zafar Marg, New Delhi -110 002
6. Guard file.

(Neera Chopra)
Under Secretary

Specific Provisions for Compliance

- 1) The Higher Educational Institution shall comply to all the terms and conditions mentioned in the Affidavit 31st May, 2022 duly notarized and signed by Dr. Vilas Netaji Shinde, Ag. Registrar submitted to the Commission vide letter dated 08th June, 2022.
- 2) Intake capacity under Open and Distance Learning mode for a programme under science discipline
 - For Dual Mode University: Intake shall not be more than three times of the approved intake in conventional mode.
 - For Open University: Learning enrolment will commensurate with the capacity of the Learner Support Centers to provide lab facilities to the admitted learners.
- 3) The Higher Educational Institution shall scrupulously abide by all the terms and conditions of faculty and staff requirements for offering ODL/ Online programmes as mentioned in University Grants Commission Open and Distance Learning Programmes and Online Programmes) Regulations, 2020.
- 4) The total deployment of work force and other support at study or Learner Support Centres in Open and Distance Learning mode shall be as mentioned in Regulations.
- 5) Higher Educational Institution shall conduct proctored examination as under:
 - For ODL Programmes: Examination through pen-paper or online or computer based testing within Territorial Jurisdiction, in the examination centre as condition laid down in Regulations.
 - For Online Programmes: Examinations either using technology enabled online test with all the security arrangements ensuring transparency and credibility of the examinations, or through the Proctored Examination and in conformity with any other norms for such examination as may be laid down by the Commission
- 6) Higher Educational Institution offering programmes in Open and Distance Learning mode shall ensure that a Learner Support Centre is established as per Regulations and it also fulfill following conditions as under:
 - Learner Support Centre defined under these regulations shall not be the Learner Support Centre for more than two Higher Educational Institutions at a time to offer programmes in ODL mode.
 - Private University established under the State Act shall be eligible to offer programmes under Open and Distance Learning mode through its Head Quarters; not through any Learner Support Centre.
 - Learner Support Centre will not be set up under a franchisee agreement in any case
- 7) Higher Educational Institution offering programme in ODL mode shall prepare Self Learning Material as per **Annexure-VI** and **Annexure-VII** taking into consideration of the following:
 - At least 60 percent of Self Learning Materials shall be developed by the in-house faculty of the HEI
 - Remaining percent of the materials can be sourced from available resources such as other HEIs, Open Educational Resources (OER) and SWAYAM.
- 8) Higher Educational Institution shall adhere to the weightage for different components of assessments for Open and Distance Learning mode and Online mode as under:
 - Continuous or formative assessment (in semester): Maximum 30 per cent.
 - Summative assessment (end semester examination or term end examination): Minimum 70 per cent.
- 9) Based on the Regulatory Authority recommendations/approval, the maximum intake and other condition will be as per the Regulatory Authority recommendation/approval


 (Neera Chopra)
 Under Secretary

Category-I Applications: Processing and Assessment Sheet-ODL Mode

Name of the institution :				Shivaji University			
AISHE Code:				HEI-U-0325			
State :				Maharashtra			
Type of HEI :				State			
Year of establishment of HEI :				1962			
Year and sessions of the Proposed programme/s :			July 2023-24	Level and number of the programmes applied			
				UG	PG	PG Diploma	Total
				0	1	0	1
Date of submission of application in portal :				24-03-2023			

I	Eligibility Checks for Category-I HEI	Score/Rank	Valid up to / Year	Whether institution is eligible under cat-I
				Yes/No
1	(I) Category-I Notification of UGC	view	Yes	Yes
	(II) NAAC Score (as on 26/01/23)	= > 3.51/4 3.52	3.52 Valid till 30.03.2026	
	(III) THE Ranking	In top 500 NA	NA	
	(V) Quacquarelli Symonds (QS) Ranking	In top 500 NA	NA	

II	NIRF Ranking Whether the HEI is In top 100 NIRF University category twice in preceding 3 cycles?	Year	Rank	YES/NO
		2022	Not Applicable	No
		2021	Not Applicable	
		2020	Not Applicable	

III. Payment Checks		No. of programmes applied	Application fee		Transaction details		Payment deficiency
			Amount Requested	Amount Paid	Ref.No.	Date	
1	Registration Charges (Applicable for first timers)	Not Applicable	null	0.00	null	null	No
2	Payment calculation (Minimum 50K up to 5 programmes + 10K for each additional programme) + tax	No. of programmes applied	Amount Requested	Amount Paid	Ref.No.	Date	No
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IV. Affidavit and Undertaking			Uploaded File	Norm	Yes	No

1	Affidavit in the prescribed format duly notarised		12 items	Yes	Yes
2	Affidavit received in hard copy (original)		With sig,Hardcopy	Yes	Yes
3	Undertaking in the prescribed format		format	Yes	Yes
4	Undertaking received in hardcopy (original)		Hardcopy with sig.	Yes	Yes
Comments of the Bureau (Optional)		1. Regulatory Authority approval is submitted for MBA only.			

Recommendation Sheet:Category-I ODL Programme

Name of the Institution			Shivaji University						
State			Maharashtra						
Type			State						
Year of Establishment			1962						
V	Permissibility of the programme		(3) Number of batch/es passed out in conventional mode under the programme.	(4) For Science programme, does the institution meet required NAAC score (or) NIRF ranking Yes/ No /NA	(5) Whether the regulatory approval submitted Yes/ No /NA	(6) Comments from the Bureau Complied / Not complied /Comments	(7) Remarks of the Committee		
	(1) Programme Name	(2) - Discipline - Level of the Programme - Entry Qualification - Duration					Is it a prohibited programme under ODL and Online Regulations 2020? Yes/ No	Whether complied or not Yes/ No	Remarks, if any.
1	MASTER OF BUSINESS ADMINISTRATION (GENERAL MANAGEMENT)	Discipline: Business Administration/Commerce/Management/Finance Level of the Programme: PG Entry Qualification: Bachelor's Duration: 2	18	NA	Yes	Regulatory Authority is submitted for MBA only.	No	Complied	-

A. UGC in its 563rd commission meeting held on 22.11.2022 decided that Category-I HEIs willing to offer programmes under Open Distance Learning (ODL) mode from Jan-Feb 2023 session shall submit information to UGC. HEI is required to submit an affidavit for ensuring compliance to all the provisions of the University Grants Commission (Open and Distance Learning Programmes and Online Programmes) Regulations, 2020 and its amendments. Information collected from the HEI is only to ascertain conformity to the following provisions:

1. Verification of Category-I Higher Educational Institutions (HEIs) entitlement as notified by UGC.
2. Permissibility of proposed programmes to be offered under ODL mode.
3. Adherence to UGC Notification of Specification of Degrees, 2014 and its amendments.
4. Prior approval of Regulatory Authority, wherever applicable.

Based on the information submitted by the HEI, conditions of eligibility are verified in the processing/evaluation sheet as per the relevant notifications/regulations of UGC.

B. The HEI is entitled to offer the ODL programmes for the period, till they are Category-I HEIs as per the relevant UGC notifications /regulations and if not, they shall discontinue the programmes and inform the same to UGC. The learners already enrolled in the currently recognised programmes shall be allowed to complete the programmes in the manner laid down as per the University Grants Commission (Open and Distance Learning Programmes and Online Programmes) Regulations, 2020 and its amendments.

C. Programmes under the purview of other regulatory authorities have been considered on the basis of NOC/ approval/ recommendation received from the respective regulatory authority. The HEI shall abide by the conditions such as the number of seats, valid academic year, etc. mentioned in the relevant regulatory authority letter.

D. Higher Education Institution shall write 'UGC entitled as per University Grants Commission (Categorization of Universities (only) for Grant of Graded Autonomy) Regulations, 2018 and its amendment(s)' instead of 'UGC recognised' at all places.

Remarks	1. The HEI shall offer the programmes found complied in Table II above from academic session beginning July-August 2023 and onwards.
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2. HEI shall strictly adhere to the maximum student intake capacity, recognition period and other norms/ conditions stipulated in the concerned Regulatory Authority in respect of MBA programme.

Name and Signature(s) of Members