

UNIT - I

ALGEBRAIC EXTENSIONS OF FIELDS

1. INTRODUCTION

We have studied so far groups and rings in some detail and just touched fields as a special class of rings. Let us recall the definition of field, "A field is a commutative ring with unity in which every non-zero element has a multiplicative inverse". Now in this section we recall some basic definitions and results which are studied earlier.

Definition : A polynomial $P(x) \in F[x]$ is said to be irreduible over *F*, if P(x) cannot be expressed as a product of two non-constant polynomials over F.

A polynomial $P(x) \in F[x]$ which is not irreducible over F is called reducible over F.

Example : A polynomial $P(x) = x^2 + 1 \in \mathbb{R}[x]$ (\mathbb{R} is field of reals) is irreducible over \mathbb{R} but not over \mathbb{C} (\mathbb{C} is field of complex numbers) because $P(x) = x^2 + 1 = (x+i)(x-i)$ and $(x+i), (x-i) \notin \mathbb{R}[x]$ but $(x+i), (x-i) \in \mathbb{C}[x]$.

Definition : The polynomial $f(x) = a_0 + a_1x + \dots + a_nx^n$ in $\mathbb{Z}[x]$ (\mathbb{Z} is set of integers) is said to be primitive if the greatest common divisor (g.c.d.) of a_0, a_1, \dots, a_n is 1.

Definition : A polynomial $a_0 + a_1x + \dots + a_nx^n$ over a ring is called monic if $a_n = 1$.

Remark : By the definition of primitive polynomial it is obvious that every monic polynomial $f(x) \in \mathbb{Z}[x]$ is primitive.

Definition : Let F, E be fields such that $F \subseteq E$ and let $f(x) \in F[x]$. An element $\alpha \in E$ is said to be a root or a zero of f(x) if $f(\alpha) = 0$.

We know that if F be a field and F[x] be a ring of polynomials in x over F, then F[x] has the following properties.

- (i) F[x] is an integral domain with unity and $F \subset F[x]$.
- (ii) The division algorithm holds in F[x].

i.e. if $f(x), g(x) \in F[x]$ and $g(x) \neq 0$, then \exists unique $q(x), r(x) \in F[x]$ such that $f(x) = g(x) \cdot q(x) + r(x)$, where r(x) = 0 or $\deg r(x) < \deg g(x)$.

- (iii) F[x] is PID (Principal Ideal Domain).
- (iv) F[x] is UFD (Unique Factorization Domain).
- (v) The units of F[x] are non-zero elements of F.

(vi) A polynomial
$$P(x) \in F[x]$$
 is irreducible iff $\frac{F[x]}{\langle P(x) \rangle}$ is a field.

Proposition : Let $f(x) \in F[x]$ be a polynomial of degree > 1. If $f(\alpha) = 0$ for some $\alpha \in F$, then f(x) is reducible over F.

Proposition : Let $f(x) \in F[x]$ be a polynomial of degree greater than or equal to 2. Then f(x) is reducible iff f(x) has a root in F.

Lemma : If $f(x), g(x) \in \mathbb{Z}[x]$ are primitive polynomials, then their product $f(x) \cdot g(x)$ is also primitive.

Lemma (Gauss): Let $f(x) \in \mathbb{Z}[x]$ be primitive. Then f(x) is reducible over Q iff f(x) is reducible over \mathbb{Z} .

Lemma : If $f(x) \in \mathbb{Z}[x]$ is reducible over Q, then it is also reducible over \mathbb{Z} .

Theorem (Eisenstein Criterion) : Let $f(x) = a_0 + a_1x + ... + a_nx^n \in \mathbb{Z}[x], n \ge 1$. If there is a prime 'P' such that $P^2 \setminus a_0$, $P|a_0$, $P|a_1,...,P|a_{n-1}$ and $P \setminus a_n$, then f(x) is irreducible over Q.

Example : $f(x) = x^2 - 2 \in \mathbb{Z}[x]$ is irreducible over Q. Because if we write $f(x) = 1 \cdot x^2 + 0 \cdot x - 2 = a_2 x^2 + a_1 x + a_0$ then $a_0 = -2$, $a_1 = 0$ and $a_2 = 1$.

If we choose prime P = 2, then $P^2 \setminus a_0$, $P \mid a_0$, $P \mid a_1$ and $P \setminus a_2$.

i.e. Eisenstein criterion holds for f(x).

Definition : A one-one homomorphism of a field F into a field E is called an embedding of F into E.

2. ADJUNCTION OF ROOTS

Definition : Extension Field :

If F is subfield of a field E, then E is called an extension of F.

Remarks :

1. If there is an embedding σ of a field F into a field E, then $F \cong \sigma(F)$ and hence we can regard F as a subfield of E or E is an extension of F.

2. We write $F \subseteq E$ when E is an extension of F.

3. Any field E can be considered as a vector space over any of its subfield.

Example : 1) The field Q of rationals is a subfield of the field \mathbb{R} of reals and we say that \mathbb{R} is an extension of Q.

2) The field \mathbb{C} of complex numbers is an extension of the field \mathbb{R} of reals.

Definition : If E is an extension of F, then the dimension of the vector space E over F is called the degree of E over F and is denoted by [E:F].

Note : If $[E:F] < \infty$ i.e. degree of E over F is finite, then E is called finite extension of F, otherwise E is called an infinite extension of F.

Example : $[\mathbb{C}:\mathbb{R}] = 2$ because the set $\{1, i\}$ forms a basis of \mathbb{C} over \mathbb{R} .

Example : Let F be any field and F[x] be a polynomial ring over F. Let E be the field of quotient of F[x]. Then E is field extension of F and an infinite set $\{1, x, x^2, ...\}$ is linearly independent subset of E which spans E.

 \therefore E is an infinite extension of F.

Example : For any field F; [F : F] = 1.

Since $F = \{1 \cdot a \mid a \in F\}$.

:. {1} will be the basis for F over F. Infact for $a \neq 0$ in F the singleton set {a} will be the basis for F over F.

Conversely, if E is an extension of degree 1 of F then [E : F] = 1 = [F : F] and hence E = F.

Theorem (2.1): Let $F \subseteq E \subseteq K$ be fields. If $[K:E] < \infty$ and $[E:F] < \infty$, then

- 1) $[K:F] < \infty$ and
- 2) [K:F] = [K:E][E:F]

Proof : Suppose that [K : E] = m and [E : F] = n let $\{v_1, v_2, ..., v_m\}$ be a basis of K over E and let $\{w_1, w_2, ..., w_n\}$ be a basis of E over F. Consider the set $B = \{v_i w_j | 1 \le i \le m, 1 \le j \le n\}$ of *mn* number of elements.

Claim : B is a basis of K over F.

i) **B** spans K over F :

For let $u \in K$ be any element.

Since $\{v_1, v_2, ..., v_m\}$ be a basis of K over E we write

$$u = \sum_{i=1}^{m} a_i v_i; \ a_i \in E \text{ for each } i \qquad \dots (1)$$

Now, since $\{w_1, w_2, ..., w_n\}$ be a basis of E over F and because $a_i \in E$, $1 \le i \le m$ we write

$$a_i = \sum_{j=1}^n b_{ij} W_j, \ b_{ij} \in F, \ 1 \le i \le m$$
(2)

Substituting the expressions (2) into (1) we get

$$u = \sum_{i=1}^{m} \sum_{j=1}^{n} b_{ij} v_i w_j, \ b_{ij} \in F$$

i.e.
$$u = b_{11} v_1 w_1 + b_{12} v_1 w_2 + \dots + b_{1n} v_1 w_n + b_{21} v_2 w_1 + b_{22} v_2 w_2 + \dots + b_{2n} v_2 w_n$$
$$+ \dots + b_{m1} v_m w_1 + b_{m2} v_m w_2 + \dots + b_{mn} v_m w_n$$

But this shows that any element $u \in K$ can be written as a linear combination of elements of B with the elements $b_{ij} \in F$, $1 \le i \le m$, $1 \le j \le n$.

i.e. B spans K over F.

ii) B is linearly independent set over F :

Suppose that

$$\begin{aligned} c_{11}v_{1}w_{1} + c_{12}v_{1}w_{2} + \dots + c_{1n}v_{1}w_{n} \\ + c_{21}v_{2}w_{1} + c_{22}v_{2}w_{2} + \dots + c_{2n}v_{2}w_{n} + \dots \\ + c_{m1}v_{m}w_{1} + c_{m2}v_{m}w_{2} + \dots + c_{mn}v_{m}w_{n} &= 0, \ c_{ij} \in F \\ \end{aligned}$$
We write above expression by rearranging the terms as
$$(c_{11}w_{1} + \dots + c_{1n}w_{n})v_{1} + (c_{21}w_{1} + \dots + c_{2n}w_{n})v_{2} + \dots \\ + (c_{m1}w_{1} + \dots + c_{mn}w_{n})v_{m} &= 0. \\ i.e. \ \lambda_{1}v_{1} + \lambda_{2}v_{2} + \dots + \lambda_{m}v_{m} &= 0 \\ \text{where} \ \lambda_{i} = c_{i1}w_{1} + c_{i2}w_{2} + \dots + c_{in}w_{n} \in E \quad \text{for each} \quad 1 \leq i \leq m, \text{ because} \end{aligned}$$

 $\{w_1, w_2, \dots, w_n\}$ be a basis of E over F and $c_{ij} \in F$.

Now since $\{v_1, v_2, ..., v_m\}$ be a basis of K over E we must have $\lambda_i = 0$ for each $1 \le i \le m$.

i.e.
$$\lambda_i = c_{i1}w_1 + c_{i2}w_2 + \dots + c_{in}w_n = 0$$
 for each $1 \le i \le m$
 $\Rightarrow c_{ij} = 0, \ 1 \le i \le m$ and $1 \le j \le n$.

 $(\because \{w_1, w_2, \dots, w_n\}$ be a basis of E over F and $c_{ij} \in F$)

But this shows that B is linearly independent set over F.

Thus from (i) and (ii) the set $B = \{v_i w_j \mid 1 \le i \le m, 1 \le j \le n\}$, form a basis of K over F.

 $\therefore \qquad 1) [K:F] = mn < \infty \text{ and}$

2) $[K:F] = [K:E] \cdot [E:F]$

Example (2.6) : If $F \subseteq E \subseteq K$ be fields and [K:F] is finite then [K:E] and [E:F] are divisors of [K:F] since by theorem (2.1) $[K:F] = [K:E] \cdot [E:F]$.

Example 2.7 : If E is an extension field of F and [E:F] is prime, prove that there are no fields properly between E and F.

Ans.: Let [E:F] = P (P is a prime number).

Suppose there is a field K such that $F \subseteq K \subseteq E$ then by theorem (2.1) $[E:F] = [E:K] \cdot [K:F]$.

$$\Rightarrow P = [E:K] \cdot [K:F] \qquad \dots (1)$$
$$\Rightarrow [E:K] \text{ divides P.}$$

 $(\because by(1))$

But P is a prime.

$$\therefore [E:K] = 1 \text{ or } P$$

i) If
$$[E:K] = 1$$
 then $E = K$

ii) If [E:K] = P then [K:F] = 1

 $\Rightarrow K = F$

Thus if $F \subseteq K \subseteq E$ then either E = K or K = F i.e. there are no fields properly between F and E.

Theorem 2.2 : Let E and F be fields and let $\sigma: F \longrightarrow E$ be an embedding of F into E. Then there exists a field K such that F is a subfield of K and σ can be extended to an isomorphism of K onto E.

Proof: Given that $\sigma: F \longrightarrow E$ be an embedding consider the set 'S' such that $|S| = |E - \sigma(F)|$ and $S \cap F = \phi$.

i.e. cardinality of S is same as cardinality of the compliment of σ (F) in E and S is disjoint with F.

Now, let $f: S \longrightarrow E - \sigma(F)$ be a one-one map and let $K = F \bigcup S$.

Define $\sigma^*: K \longrightarrow E$ by $\sigma^*(a) = \sigma(a)$ if $a \in F$ and $\sigma^*(a) = f(a)$ if $a \in S$. Then σ^* is an extension of σ .

Since σ and f are 1 - 1 and $S \cap F = \phi$.

 $\therefore \sigma^*$ is well defined, 1 – 1 and onto mapping.

Now for $x, y \in K$ define

$$x + y = (\sigma^{*})^{-1} (\sigma^{*}(x) + \sigma^{*}(y))$$
 and

$$x \cdot y = (\sigma^*)^{-1} (\sigma^*(x) \cdot \sigma^*(y))$$

Then above definitions of addition and multiplication coincide with the given addition and multiplication of elements of the field.

 \therefore F is a subfield of K and K is the desired field.

Theorem 2.3 : Let P (x) be an irreducible polynomial in F [x]. Then \exists an extension E of F in which P (x) has a root.

Proof: Since $P(x) \in F[x]$ is irreducible polynomial.

 \therefore The ideal generated by P (x) i.e. $\langle P(x) \rangle$ in F[x] is maximal ideal.

$$\Rightarrow$$
 the quotient ring $\frac{F[x]}{\langle P(x) \rangle}$ is a field.

Let
$$E = \frac{F[x]}{\langle p(x) \rangle}$$

Now define, $\sigma: F \longrightarrow \frac{F[x]}{\langle P(x) \rangle} = E$ by

$$\sigma(a) = a + \langle P(x) \rangle$$
 for $a \in F$

Then σ is an embedding of F into $\frac{F[x]}{\langle P(x) \rangle}$ (prove it)

Thus we can regard $E = \frac{F[x]}{\langle P(x) \rangle}$ as an extension of F.

Let $P(x) = a_0 + a_1x + \dots + a_nx^n$, n > 0 and $a_i \in F$ then $x + \langle P(x) \rangle$ is a root of P(x) in E.

(Since
$$P(x + \langle P(x) \rangle) = \sum_{i=0}^{n} a_i (x + \langle P(x) \rangle)^i$$

$$= \sum_{i=0}^{n} a_i (x^i + \langle P(x) \rangle)$$
$$= \sum_{i=0}^{n} a_i x^i + \langle P(x) \rangle$$
$$= P(x) + \langle P(x) \rangle = \langle P(x) \rangle = 0 \text{ in E.}$$

Thus E is an extension of F containing a root of P(x).

Theorem 2.4 : Kronecker Theorem

Let $f(x) \in F[x]$ be a non constant polynomial. Then there exists an extension E of F in which f(x) has a root.

Proof: Let $f(x) \in F[x]$ be a non constant polynomial

i) If $f(x) \in F[x]$ has a root in F then we take E = F.

ii) Suppose $f(x) \in F[x]$ has no root in F.

Let P(x) be an irreducible factor of f(x) in F[x].

Define
$$E = \frac{F[x]}{\langle P(x) \rangle}$$
.

Then E is a field and it is an extension of F contains a root of P(x).

(:: by theorem (2.3))

... E is an extension of F contains a root of f(x) ($\therefore P(x)$ is a factor of f(x). ... root of P(x) is also root of f(x)).

Thus \exists an extension E of F that contains a root of non constant polynomial $f(x) \in F[x]$.

Remark : Let $P(x) \in F[x]$ be an irreducible polynomial having a root say 'u' in an extension E of F. The subfield denoted by F(u) of E is the smallest subfield of E containing F and u and we call F(u) the subfield of E generated by F and u.

Theorem 2.5 : Let P(x) be an irreducible polynomial in F[x] and let *u* be a root of P(x) in an extension E of F. Then,

(i) F(u), the subfield of E generated by F and u, is the set

$$F[u] = \left\{ b_0 + b_1 u + \dots + b_m u^m \in E \mid b_0 + b_1 x + \dots + b_m x^m \in F[x] \right\}$$

(ii) If the degree of P(x) is *n*, the set $\{1, u, ..., u^{n-1}\}$ forms a basis of F(u) over F, i.e. each element of F(u) can be written unuquely as $c_0 + c_1u + ... + c_{n-1}u^{n-1}$ where $c_i \in F$ and [F(u): F] = n.

Proof: Let $P(x) \in F[x]$ be an irreducible polynomial and let *u* be a root of P(x) in an extension E of F.

Define a mapping,

$$\phi: F[x] \longrightarrow E \text{ by}$$

$$\phi(b_0 + b_1 x + \dots + b_m x^m) = b_0 + b_1 u + \dots + b_m u^m$$

$$\forall b_0 + b_1 x + \dots + b_m x^m \in F[x]$$

Then ϕ is a homomorphism.

(Since for $f(x) = b_0 + b_1 x + \dots + b_m x^m$, $g(x) = c_0 + c_1 x + \dots + c_n x^n$ in F[x]and assume that m > n.

Then

$$f(x) + g(x) = (b_0 + c_0) + (b_1 + c_1)x + \dots + (b_n + c_n)x^n + b_{n+1}x^{n+1} + \dots + b_m x^m \in F[x]$$

and

$$f(x) \cdot g(x) = (b_0c_0) + (b_0c_1 + b_1c_0)x + (b_0c_2 + b_1c_1 + b_2c_0)x^2 + \dots + (b_mc_n)x^{m+n} \in F[x]$$

$$\therefore (i) \quad \phi(f(x) + g(x)) = (b_0 + c_0) + (b_1 + c_1)u + \dots + (b_n + c_n)u^n + b_{n+1}u^{n+1} + \dots + b_m u^m$$
$$= (b_0 + b_1 u + \dots + b_m u^m) + (c_0 + c_1 u + \dots + c_n u^n)$$
$$= \phi(f(x)) + \phi(g(x))$$
and (ii) $\phi(f(x) \cdot g(x)) = (b_0 c_0) + (b_0 c_1 + b_1 c_0)u + (b_0 c_2 + b_1 c_1 + b_2 c_0)u^2 + \dots + (b_m c_n)u^{m+n}$

$$d(\mathbf{u}) \phi(f'(x) \cdot g(x)) = (b_0 c_0) + (b_0 c_1 + b_1 c_0) u + (b_0 c_2 + b_1 c_1 + b_2 c_0) u^2 + \dots + (b_m c_n) u^{m+n}$$
$$= (b_0 + b_1 u_1 + \dots + b_m u^m) \cdot (c_0 + c_1 u + \dots + c_n u^n)$$
$$= \phi(f(x)) \cdot \phi(g(x)))$$

 \therefore By fundamental theorem of homomorphism.

$$\frac{F[x]}{\operatorname{Ker}\phi} \cong \operatorname{Im}\phi \qquad \dots \dots (1)$$

Now since u is root of p(x).

$$\therefore p(u) = 0 \Longrightarrow \phi(p(x)) = p(u) = 0$$
$$\implies p(x) \in \text{Ker } \phi$$

 \therefore Ker ϕ is non-empty.

Claim : Ker $\phi = \langle p(x) \rangle$

Since F[x] is a PID and as Ker ϕ is an ideal of F[x].

$$\therefore \text{ Ker } \phi = \langle g(x) \rangle \text{ for some } g(x) \in F[x].$$

But since $p(x) \in \text{Ker } \phi$.

 $\therefore p(x) = g(x) \cdot h(x) \text{ for some } h(x) \in F[x].$

 $\Rightarrow h(x) \in F$; because $p(x) \in F[x]$ is an irreducible polynomial.

$$\Rightarrow \langle g(x) \rangle = \langle p(x) \rangle$$

$$\therefore \operatorname{Ker} \phi = \langle p(x) \rangle$$

 \therefore From(1)

$$\frac{F[x]}{\langle p(x) \rangle} \cong \operatorname{Im} \phi = \left\{ b_0 + b_1 u + \dots + b_m u^m \in E \mid b_0 + b_1 x + \dots + b_m x^m \in F[x] \right\}$$
$$= F[u]$$
$$\therefore \frac{F[x]}{\langle p(x) \rangle} \cong F[u]$$

But since p(x) is an irreducible polynomial

$$\therefore \frac{F[x]}{\langle p(x) \rangle} \text{ is a field.}$$

 $\therefore F[u]$ is a field and it is the smallest subfield of E containing F and u.

 $\therefore F[u] = F(u) \text{ and}$ $F[u] = \{b_0 + b_1u + \dots + b_mu^m \in E \mid b_0 + b_1x + \dots + b_mx^m \in F[x]\}$ Now if deg (p(x)) = n. Let $p(x) = b_0 + b_1x + b_2x^2 + \dots + b_nx^n$; $b_n \neq 0$ Then $0 = p(u) = b_0 + b_1u + b_2u^2 + \dots + b_nu^n$ (Since *u* is root of p(x)) \Rightarrow each of u^n , u^{n+1} , can be expressed in the form $b_0 + b_1u + \dots + b_{n-1}u^{n-1}$ with $b_i \in F$. $\therefore F[u] = F(u) = \{b_0 + b_1u + \dots + b_{n-1}u^{n-1} \mid b_i \in F\}$ \therefore The set $\{1, u, u^2, \dots u^{n-1}\}$ forms a basis for F(u) over F.

$$\therefore [F(u):F] = n$$

Example 2.8 : Consider the irreducible polynomial $p(x) = x^2 + x + 1 \in \mathbb{Z}_2[x]$.

If u is a root of p(x) in some extension K of \mathbb{Z}_2 . Show that the subfield $\mathbb{Z}_2(u)$ of K has four elements.

Ans.:
$$\mathbb{Z}_2 = \{0, 1\}$$

By theorem (2.5)
 $\mathbb{Z}_2(u) = \{b_0 + b_1 u \mid b_i \in \mathbb{Z}_2\}$
 $= \{0, u, 1, 1 + u\}$

The field $\mathbb{Z}_2(u)$ is the smallest subfield of K generated by \mathbb{Z}_2 and u and having four elements.

Example 2.9 : Show that $p(x) = x^2 - x - 1 \in \mathbb{Z}_3[x]$ is irreducible over \mathbb{Z}_3 and \exists an extension K of \mathbb{Z}_3 with nine elements having all roots of p(x).

Ans.: $\mathbb{Z}_3 = \{0,1,2\}$ Since $p(0) \neq 0$, $p(1) \neq 0$ and $p(2) \neq 0$. $\therefore p(x) = x^2 - x - 1$ is irreducible over \mathbb{Z}_3 . \therefore by theorem (2.5). If u is root of p(x) in some extension K of \mathbb{Z}_3 then

 $K = \left\{ b_0 + b_1 u \mid b_i \in \mathbb{Z}_3 \right\} = \left\{ 0, 1, 2, u, 1 + u, 2 + u, 2u, 1 + 2u, 2 + 2u \right\}$

is an extension of \mathbb{Z}_3 having nine elements and containing all the roots of p(x).

3. Algebraic Extensions

Definition (3.1) : Let E be an extension of F. An element $\alpha \in E$ is said to be algebraic over F if α is a root of a non constant polynomial $p(x) \in F[x]$. i.e. an element $\alpha \in E$ is algebraic over F if \exists a non constant polynomial $p(x) \in F[x]$ such that $p(\alpha) = 0$.

Remarks :

1. If $\alpha \in E$ is not algebraic over F, then α is called transcendental over F.

2. For any field F, every element $\alpha \in F$ is algebraic over F, since \exists a non constant polynomial $p(x) = (x - \alpha)$ in F[x] such that $p(\alpha) = 0$.

Example 3.1 : (a) An element $\sqrt{3} \in \mathbb{R}$ (field of reals) is algebraic over Q (field of rationals) because \exists a non constant polynomial $p(x) = x^2 - 3 \in Q[x]$ such that $p(\sqrt{3}) = 0$.

(b) The complex number $i = \sqrt{-1}$ is algebraic over Q, since \exists a non constant polynomial $(x^2+1) \in Q[x]$ such that *i* is a root of (x^2+1) .

Theorem 3.1 : Let $F \subseteq E$ be fields and let $u \in E$ be algebraic over F. Let $p(x) \in F[x]$ be a polynomial of the least degree such that p(u) = 0. Then,

- (i) p(x) is irreducible over F.
- (ii) If $g(x) \in F[x]$ is such that g(u) = 0 then p(x)|g(x).
- (iii) There is exactly one monic polynomial $p(x) \in F[x]$ of least degree such that p(u) = 0.

Proof: (i) Suppose on the contrary $p(x) \in F[x]$ is reducible over F.

 $\therefore p(x) = p_1(x) \cdot p_2(x) \text{ for some non constant polynomials } p_1(x), p_2(x) \in F[x]$ and deg $p_1(x) < \deg p(x)$, deg $p_2(x) < \deg p(x)$.

Then $0 = p(u) = p_1(u) \cdot p_2(u)$.

$$\Rightarrow p_1(u) = 0 \text{ or } p_2(u) = 0$$

 \Rightarrow *u* satisfies a polynomial of degree less than deg p(x).

a contradiction to the fact that $p(x) \in F[x]$ be a polynomial of least degree such that p(u) = 0. $\therefore p(x)$ must be irreducible over F.

 $\Rightarrow p(x) | g(x)$

(ii) Let
$$g(x) \in F[x]$$
 such that $g(u) = 0$.
Then by division algorithm.
 $g(x) = p(x) \cdot q(x) + r(x)$ for some $q(x)$, $r(x) \in F[x]$ where $r(x) = 0$
or deg $r(x) <$ deg $p(x)$.
Since $0 = g(u) = p(u) \cdot q(u) + r(u)$.
 $\Rightarrow 0 = r(u)$ $(\because p(u) = 0)$
But since $p(x) \in F[x]$ be a polynomial of least degree such that $p(u) = 0$.
 \therefore there does not exists $r(x) \in F[x]$ such that deg $r(x) <$ deg $p(x)$ and $r(u) = 0$.
 $\therefore r(x) = 0$
 $\Rightarrow g(x) = p(x) \cdot q(x)$

(iii) Let $g(x) \in F[x]$ be a monic polynomial of least degree such that g(u) = 0.

Then by (i) g(x) is irreducible polynomial over F and by (ii) p(x)|g(x) and g(x)|p(x).

Since p(x), $g(x) \in F[x]$ are monic and irreducible polynomials, we must have p(x) = g(x).

Hence there is exactly one monic polynomial $p(x) \in F[x]$ of least degree such that p(u) = 0.

Theorem 3.2 : Let $F \subseteq E$ be fields and $u \in E$ be algebraic over F, then \exists a unique monic irreducible polynomial $p(x) \in F[x]$ such that p(u) = 0.

Proof: Consider the set $I = \{f(x) \in F[x] | f(u) = 0\}$

Then I is an ideal of the ring F[x].

Since for $f(x) \in I$ and $g(x) \in F[x]$; $f(u) \cdot g(u) = 0$.

 $\Rightarrow f(x) \cdot g(x) \in I$

Now since F[x] is a PID

- $\therefore I$ is principal ideal.
- $\therefore \exists p(x) \in I$ such that

$$I = \langle p(x) \rangle = \{ p(x) \cdot g(x) \mid g(x) \in F[x] \}$$

We assume that p(x) is monic polynomial in F[x].

(Since if p(x) is not monic i.e. $p(x) = a_0 + a_1x + \dots + a_nx^n$ with $a_n \neq 0$ and let $g(x) = a_n^{-1}a_0 + a_n^{-1}a_1x + \dots + a_n^{-1}a_nx^n$ then $p(x) = a_ng(x)$. This shows that p(x) and g(x) are associates in F[x] hence $\langle p(x) \rangle = \langle g(x) \rangle$.)

$$\therefore \langle p(x) \rangle = I = \{ f(x) \in F[x] \mid f(u) = 0 \}$$

Since $u \in E$ is algebraic over F.

 \therefore \exists a nonconstant polynomial $f(x) \in F[x]$ such that f(u) = 0.

$$\Rightarrow f(x) \in I = \langle p(x) \rangle$$

$$f(x) = p(x) \cdot g(x)$$
 for some $g(x) \in F[x]$.

 $\therefore p(x)$ is a non constant polynomial with

$$\deg(p(x)) \le \deg(f(x)), \ \forall f(x) \in I \text{ and } f(x) \neq 0 \qquad \dots \dots (1)$$

 $\Rightarrow p(x)$ is irreducible.

(If p(x) is reducible then $p(x) = g(x) \cdot h(x)$ for some non constant polynomials $g(x), h(x) \in F[x]$ and

$$0 = p(u) = g(u) \cdot h(u)$$

$$\Rightarrow g(u) = 0 \text{ or } h(u) = 0$$

$$\Rightarrow g(x) \in I \text{ or } h(x) \in I$$

But since $\deg(p(x)) = \deg(g(x)) + \deg(h(x))$
and $\deg(g(x)) > 0$, $\deg(h(x)) > 0$

$$\Rightarrow \deg(g(x)) < \deg(p(x)) \text{ and } \deg(h(x)) < \deg(p(x))$$

a contradiction to (1).)
Thus \exists monic irreducible polynomial $p(x) \in F[x]$ such that $p(u) = 0$.

Uniqueness :

Let $p(x) = a_0 + a_1x + \dots + a_{n-1}x^{n-1} + x^n$ and $q(x) = b_0 + b_1x + \dots + b_{m-1}x^{m-1} + x^m$ be two irreducible monic polynomials in F[x] such that p(u) = q(u) = 0.

Then
$$q(x) \in I = \langle p(x) \rangle$$
.
 $\Rightarrow q(x) = p(x) \cdot g(x)$ for some $g(x) \in F[x]$
But $q(x)$ is irreducible.
 $\Rightarrow g(x)$ is a unit (i.e. $g(x) \in F$)
and hence $\deg(q(x)) = \deg(p(x)) = n$. i.e. $m = n$
Now $p(u) - q(u) = 0$
 $\Rightarrow p(x) - q(x) \in I$
 $\Rightarrow p(x) - q(x) = 0$ (\because $\deg(p(x) - q(x)) < n$, $\deg p(x) = n$ and by (1))
 $\Rightarrow p(x) = q(x)$.

Definition 3.2 : The monic irreducble polynomial in F[x] of which *u* is a root will be called the minimal polynomial of *u* over F.

Example 3.2: $Q \subseteq \mathbb{R}$ and $\sqrt{3} \in \mathbb{R}$ the polynomial $x^2 - 3 \in Q[x]$ is the minimal polynomial of $\sqrt{3}$.

Definition 3.3 : An extension field E of F is called algebraic if each element of E is algebraic over F.

Theorem 3.3 : If E is finite extension of F, then E is an algebraic extension of F. **Proof :** Suppose E is finite extension of F.

Let [E:F] = n.

To prove that E is an algebraic extension of F.

Let $u \in E$ be any element.

 \therefore The set $\{1, u, \dots, u^n\}$ must be linearly dependent set of elements of E over F.

 $\therefore \exists$ elements a_0, a_1, \dots, a_n (not all zero) in F such that

 $a_0 + a_1 u + a_2 u^2 + \dots + a_n u^n = 0$

 $\Rightarrow u$ is root of a non constant polynomial

$$p(x) = a_0 + a_1 x + a_2 x^2 + \dots + a_n x^n \in F[x]$$

 $\Rightarrow u$ is algebraic over F.

 \Rightarrow every element of E is algebraic over F.

Thus E is an algebraic extension of F.

Note : Converse of theorem (3.3) is not true i.e. an algebraic extension of a field F need not be a finite extension of F.

Example 3.3 : Let $P_1, P_2, ..., P_r, ...$ be all distinct primes. Then for each $r \ge 0$ define $E_0 = Q$ and $E_r = E_{r-1}(\sqrt{P_r})$ for all r > 0.

(i.e.
$$E_0 = Q$$
, $E_1 = E_0(\sqrt{P_1}) = Q(\sqrt{P_1})$, $E_2 = E_1(\sqrt{P_2}) = Q(\sqrt{P_1})(\sqrt{P_2})$
 $\therefore E_2 = Q(\sqrt{P_1}, \sqrt{P_2})$, $E_3 = Q(\sqrt{P_1}, \sqrt{P_2}, \sqrt{P_3})$,)

Then $E_r = Q(\sqrt{P_1}, \sqrt{P_2}, ..., \sqrt{P_r})$ is the smallest subfield of \mathbb{R} containing $Q \cup \{\sqrt{P_1}, \sqrt{P_2}, ..., \sqrt{P_r}\}$.

Now, we prove that $\sqrt{P_{r+1}} \notin E_r$, $\forall r \ge 0$ using induction on 'r'. Since $\sqrt{P_1}$ is irrational $\Rightarrow \sqrt{P_1} \notin Q = E_0$ So for r = 0 result holds. Assume that result is true for r-1i.e. assume that $\sqrt{P_r} \notin E_{r-1}$. We prove that $\sqrt{P_{r+1}} \notin E_r$. Let if possible $\sqrt{P_{r+1}} \in E_r$ then $\sqrt{P_{r+1}} = a + b\sqrt{P_r}$ for some $a, b \in E_{r-1}$. ($\because E_r = E_{r-1}(\sqrt{P_r})$) $\Rightarrow P_{r+1} = a^2 + 2ab\sqrt{P_r} + P_rb^2$ $\Rightarrow \sqrt{P_r} = \frac{1}{2ab}(P_{r+1} - a^2 - P_rb^2) \in E_{r-1}$ # a contradiction to the assumption $\sqrt{P_r} \notin E_{r-1}$.

Hence $[E_{r+1}: E_r] = 2$ for all r.

and we get $Q = E_0 \subset E_1 \subset ... \subset E_r \subset ...$ is strictly ascending chain of subfields of \mathbb{R} .

Let
$$E = \bigcup_{i=0}^{\infty} E_i$$
, then $E = Q(\sqrt{P_1}, \sqrt{P_2}, \dots)$ is the smallest subfield of \mathbb{R} containing
 $Q \cup \{\sqrt{P_1}, \sqrt{P_2}, \dots\}$ and
 $[E_r : Q] = [E_r : E_0]$
 $= [E_r : E_{r-1}] \cdot [E_{r-1} : E_{r-2}] \dots [E_1 : E_0]$
 $= 2 \cdot 2 \cdot \dots 2 \quad (r \text{ times })$
 $= 2^r$

Also since each E_r is a subfield of E.

 $\Rightarrow [E:Q]$ is infinite.

i.e. E is infinite extension of Q.

But E is an algebraic extension of Q for if $a \in E$ be any element.

Then $a \in E_r$ for some *r*.

i.e.
$$a \in E_r = Q(\sqrt{P_1}, \sqrt{P_2}, \dots, \sqrt{P_r})$$
 and $[E_r : Q] = 2^r$

- $\therefore E_r$ is finite extension of Q.
- : by theorem (3.3) E_r is an algebraic extension of Q.
- \therefore *a* is algebraic over Q.
- \therefore every element of E is algebraic over Q.
- \therefore E is an algebraic extension of Q.

Thus E is an algebraic extension of Q but not finite.

Remark : Extensions that are not algebraic are called transcendental extensions.

Theorem 3.4 : If E is an extension of F and $u \in E$ is algebraic over F, then F(u) is an algebraic extension of F. *x* cannot be algebraic over F.

Proof : Let E is an extension of F and let $u \in E$ is algebraic over F, then by theorem (3.2) \exists a minimal polynomial p(x) of u over F.

```
Let \deg(p(x)) = n

Then by theorem (2.5) [F(u):F] = n.

\Rightarrow F(u) is finite extension of F.
```

: by theorem (3.3) F(u) is an algebraic extension of F.

Definition 3.4 : An extension E of F is called finitely generated if \exists a finite number of elements $u_1, u_2, ..., u_r$ in E such that the smallest subfield of E containing F and $\{u_1, u_2, ..., u_r\}$ is E itself.

We then write $E = F(u_1, u_2, ..., u_r)$ Where $F(u_1, u_2, ..., u_i) = F(u_1, u_2, ..., u_{i-1})(u_i)$ For each $1 < i \le r$.

Note: A finitely generated extension of a field need not be an algebraic extension.

Example 3.4 : Let F[x] be a polynomial ring over a field F in indeterminate x. Let E be the field of quotients of F[x] then

$$E = \left\{ \frac{a_0 + a_1 x + \dots + a_m x^m}{b_0 + b_1 x + \dots + b_n x^n} / a_i, b_j \in F \text{ and not all } b_j \text{'s are zero} \right\}$$

i.e. E = F(x).

Thus E is finitely generated extension of a field F but by definition of a polynomial ring x is algebraic over F.

 \therefore E is not an algebraic extension of F.

(21)

Theorem 3.5 : Let $E = F(u_1, u_2, ..., u_r)$ be a finitely generated extension of F such that each u_i ; i = 1, 2, ..., r is algebraic over F. Then E is finite over F and hence an algebraic extension of F.

Proof: We prove the theorem using induction on r. If r = 1 then by theorem (3.4) result holds.

Assume that the result is true for r-1.

i.e.
$$[F(u_1, u_2, ..., u_{r-1}): F]$$
 is finite

Now since u_r is algebraic over F, it is algebraic over $F(u_1, u_2, \dots, u_{r-1})$ also.

:. by theorem (3.4).

$$\begin{bmatrix} F(u_1, u_2, \dots, u_{r-1})(u_r) : F(u_1, u_2, \dots, u_{r-1}) \end{bmatrix} \text{ is finite.}$$
i.e.
$$\begin{bmatrix} F(u_1, u_2, \dots, u_r) : F(u_1, u_2, \dots, u_{r-1}) \end{bmatrix} \text{ is finite.}$$

$$\therefore [E:F] = \begin{bmatrix} F(u_1, u_2, \dots, u_r) : F \end{bmatrix}$$

$$= \begin{bmatrix} F(u_1, u_2, \dots, u_r) : F(u_1, u_2, \dots, u_{r-1}) \end{bmatrix} \cdot \begin{bmatrix} F(u_1, u_2, \dots, u_{r-1}) : F \end{bmatrix}$$

$$= (\text{finite}) \cdot (\text{finite})$$

$$= \text{finite}$$

Thus [E:F] is finite.

i.e. E is finite extension of F and hence by theorem (3.3) an algebraic extension of F.

Theorem 3.6 : Let E be an extension of F. If K is the subset of E consisting of all the elements that are algebraic over F, then K is a subfield of E and an algebraic extension of F.

Proof : Here $K = \{u \in E \mid u \text{ is algebraic over } F\}$

Let $a, b \in K$ then by theorem (3.5) F(a, b) is an algebraic extension of F.

Since
$$F(a,b)$$
 is a field, ab , $\frac{a}{b}$ (if $b \neq 0$) $\in F(a,b)$

 $\therefore a \pm b$, ab, $\frac{a}{b}$ (if $b \neq 0$) are all algebraic over F.

$$\Rightarrow a \pm b, ab, \frac{a}{b} (b \neq 0) \in K$$

 $\Rightarrow K$ is subfield of E

and since every element of F is algebraic over F, every element of F is in K.

 \therefore K is an algebraic extension of F.

Definition 3.5 : Let K and L be extension fields of a field F. Then an embedding $\sigma: K \longrightarrow L$ such that $\sigma(a) = a$, $\forall a \in F$ is called an F-homomorphism of K into L or an embedding of K in L over F.

Theorem 3.7 : Let E be an algebraic extension of F and let $\sigma: E \longrightarrow E$ be an embedding of E into itself over F. Then σ is onto and hence an automorphism of E.

Proof : To prove σ is onto for let $a \in E$, we prove that \exists an element $b \in E$ such that $\sigma(b) = a$.

Since E be an algebraic extension of F.

 \therefore *a* is algebraic over F.

Let $p(x) = a_0 + a_1x + \dots + a_{n-1}x^{n-1} + x^n \in F[x]$ be the minimal polynomial of 'a' over F.

Let $a = u_1, u_2, ..., u_m \in E$ are roots of p(x) then by theorem (3.5) $F(u_1, u_2, ..., u_m)$ is finite extension of F.

Since each u_i ; $1 \le i \le m$ is a root of p(x) we have

 $0 = p(u_i) = a_0 + a_1u_i + \dots + u_i^n$ for each *i*

$$\Rightarrow \sigma(0) = \sigma \left(a_0 + a_1 u_i + \dots + a_{n-1} u_i^{n-1} + u_i^n \right) \text{ for each } i$$

$$\Rightarrow 0 = \sigma(a_0) + \sigma(a_1) \cdot \sigma(u_i) + ... + \sigma(a_{n-1}) \cdot \sigma(u_i^{n-1}) + \sigma(u_i^n) \text{ for each } i$$

$$(\because \sigma \text{ is a homomorphism})$$

$$\Rightarrow 0 = a_0 + a_1 \sigma(u_i) + + a_{n-1} [\sigma(u_i)]^{n-1} + [\sigma(u_i)]^n \text{ for each } i$$
[Since σ is F-homomorphism of E into itself
$$\sigma(a_i) = a_i, \text{ for each } i.]$$

$$\Rightarrow \sigma(u_i) \text{ is a root of } p(x) \text{ in E for each } i, 1 \le i \le m.$$
But since σ is $1 - 1$.
$$\therefore \sigma(u_1), \sigma(u_2),, \sigma(u_m) \text{ must be same as } u_1, u_2,, u_m \text{ in some order.}$$
Now let $E' = F(u_1, u_2,, u_m)$
Then $\sigma(E') = \sigma(F(u_1, u_2,, \sigma(u_m)))$

$$= F(\alpha(u_1), \sigma(u_2),, \sigma(u_m))$$

$$= F(u_1, u_2,, u_m)$$
i.e. $\sigma(E') \cong E'$

$$\therefore [\sigma(E') : F] = [E' : F]$$

$$\Rightarrow \sigma(E') = E'$$
and since $a = u_1 \in E', \exists b \in E'$ such that $\sigma(b) = a \Rightarrow \sigma$ is onto.

 $\therefore \sigma$ is an embedding (i.e. 1 – 1, homomorphism) and onto.

 $\therefore \sigma$ is an isomorphism of E onto E hence an automorphism of E.

Problem 3.1 : Let $F \subset K \subset E$ be three fields such that K is an algebraic extension of F and $\alpha \in E$ is algebraic over K. Show that α is algebraic over F.

Ans.: $F \subset K \subset E$ be fields such that K is algebraic extension of F. $\alpha \in E$ is algebraic over K then \exists a non-constant polynomial $f(x) = a_0 + a_1x + \dots + a_nx^n$ in K[x] such that α is a root of f(x).

Now let $L = F(a_0, a_1, ..., a_n)$, then $f(x) \in L[x]$ and hence α is algebraic over L. Since K is algebraic extension of F.

- \therefore each a_i , $0 \le i \le n$ is algebraic over F.
- \therefore by theorem (3.5) L is finite and hence an algebraic extension of F.

i.e.
$$[L:F] < \infty$$
(1)

Since α is algebraic over L.

: by theorem (3.4) $L(\alpha)$ is a finite extension hence an algebraic extension of L.

$$\left[L(\alpha);L\right] < \infty \qquad \dots \dots (2)$$

 \therefore By theorem (2.1)

$$[L(\alpha):F] = [L(\alpha):L] \cdot [L:F] < \infty \qquad (\because \text{ from } (1) \text{ and } (2))$$

 $\therefore L(\alpha)$ is finite and hence an algebraic extension of F.

 $\therefore \alpha \in L(\alpha)$ is algebraic over F.

Problem 3.2: Prove that $\sqrt{2}$ is algebraic over Q. Find the degree of $Q(\sqrt{2})$ over Q.

Ans.: Since $p(x) = x^2 - 2$ be a non constant polynomial in Q[x] such that $p(\sqrt{2}) = 0$.

 $\therefore \sqrt{2}$ is algebraic over Q.

Now $p(x) = x^2 - 2 \in Q[x]$ be an irreducible polynomial such that $p(\sqrt{2}) = 0$ and $\sqrt{2} \in Q(\sqrt{2})$ an extension of Q.

$$\therefore \text{ By theorem (2.5)} \\ \left[Q(\sqrt{2}) : Q \right] = 2.$$

Problem 3.3 : Determine the minimal polynomial of $\sqrt{2} + 5$ over Q.

Ans.: Let
$$u = \sqrt{2} + 5$$

 $\Rightarrow (u-5) = \sqrt{2} \Rightarrow (u-5)^2 = 2$
 $\Rightarrow u^2 - 10u + 25 = 2$
 $\Rightarrow u^2 - 10u + 23 = 0$

The polynomial $p(x) = x^2 - 10x + 23 \in Q[x]$ is monic irreducible polynomial such that $\sqrt{2} + 5$ is the root of p(x).

$$\therefore p(x) = x^2 - 10x + 23$$
 is the minimal polynomial of $\sqrt{2} + 5$ over Q.

Problem 3.4 : Find a suitable number 'a' such that $Q(\sqrt{2}, \sqrt{5}) = Q(a)$.

Ans.: Since
$$\sqrt{2}$$
, $\sqrt{5} \in Q(\sqrt{2}, \sqrt{5})$.

$$\Rightarrow \sqrt{2} + \sqrt{5} \in Q(\sqrt{2}, \sqrt{5})$$

$$\Rightarrow Q(\sqrt{2} + \sqrt{5}) \subseteq Q(\sqrt{2}, \sqrt{5})$$
......(1)
Now since $(\sqrt{2} + \sqrt{5}) \in Q(\sqrt{2} + \sqrt{5})$

$$\therefore (\sqrt{2} + \sqrt{5})^{3} \in Q(\sqrt{2} + \sqrt{5})$$
i.e. $2\sqrt{2} + 5\sqrt{5} + 6\sqrt{5} + 15\sqrt{2} \in Q(\sqrt{2} + \sqrt{5})$
i.e. $17\sqrt{2} + 11\sqrt{5} \in Q(\sqrt{2} + \sqrt{5})$
Now since $-11(\sqrt{2} + \sqrt{5}) \in Q(\sqrt{2} + \sqrt{5})$

$$\therefore \frac{1}{6} \Big[(17\sqrt{2} + 11\sqrt{5}) - 11(\sqrt{2} + \sqrt{5}) \Big] \in Q(\sqrt{2} + \sqrt{5})$$

$$\Rightarrow \sqrt{2} \in Q(\sqrt{2} + \sqrt{5})$$
Now since $\sqrt{2} + \sqrt{5}$, $\sqrt{2} \in Q(\sqrt{2} + \sqrt{5})$

$$\therefore (\sqrt{2} + \sqrt{5}) - \sqrt{2} \in Q(\sqrt{2} + \sqrt{5})$$

$$\Rightarrow \sqrt{5} \in Q(\sqrt{2} + \sqrt{5})$$

$$\therefore \text{ both } \sqrt{2}, \sqrt{5} \in Q(\sqrt{2} + \sqrt{5})$$

$$\Rightarrow Q(\sqrt{2}, \sqrt{5}) \subseteq Q(\sqrt{2} + \sqrt{5})$$
.......(2)
Thus from (1) and (2) we write
$$Q(\sqrt{2}, \sqrt{5}) = Q(\sqrt{2} + \sqrt{5})$$

$$\therefore \text{ the suitable value of } a \text{ is } (\sqrt{2} + \sqrt{5}) \text{ so that } Q(\sqrt{2}, \sqrt{5}) = Q(a).$$

Problem 3.5 : Let E be an extension of F and let $a, b \in E$ are algebraic over F. Suppose that the extensions F(a) and F(b) of F are of degree *m* and *n* respectively, where (m, n) = 1 (i.e. *m* and *n* are relatively primes i.e. gcd (m, n) is 1). Then show that $[F(a, b): F] = m \cdot n$.

Ans.: Let
$$[F(a,b):F] = r$$

 $r = [F(a,b):F] = [F(a,b):F(a)] \cdot [F(a):F]$
 $\Rightarrow r = [F(a,b):F(a)] \cdot m$ (1)
 $(\because [F(a):F] = m \text{ given})$
 $\Rightarrow m/r$
 $\therefore r = pm$ for some positive integer p(i)
Similarly we can write

$$r = [F(a,b):F] = [F(a,b):F(b)] \cdot [F(b):F]$$

$$\Rightarrow r = [F(a,b):F(b)] \cdot n \qquad (\because [F(b):F] = n \text{ given})$$

 $\Rightarrow n/r$

 \therefore r = nq for some positive integer q.

Since *b* is algebraic over F and [F(b): F] = n.

 \therefore *b* satisfies an irreducible polynomial of degree *n* over F.

 \therefore b is algebraic over F(a) and b may satisfy an irreducible polynomial of degree less than n over F(a) i.e. [F(a)(b):F(a)] is at most n.

..... (ii)

i.e. b is algebraic over F(a) and $[F(a,b):F(a)] \le n$.

$$\therefore$$
 from (1)

$$r \le m \cdot n \qquad \dots \dots (2)$$

If $r < m \cdot n$, then $r = pm < mn \Rightarrow p < n$ and $r = nq < mn \Rightarrow q < m$ (since from (i) and (ii)) and (i) ÷ (ii) gives

$$\frac{r}{r} = \frac{pm}{qn} \text{ with } p < n \text{ and } q < m.$$

i.e. $\frac{q}{p} = \frac{m}{n}$ with $p < n$ and $q < m.$
 $\Rightarrow m = q\alpha$ and $n = p\alpha$ for some positive integer $\alpha \neq 1$.
 $\Rightarrow (m,n) = \alpha \neq 1$
a contradiction to $(m,n) = 1$.
 $\therefore r < mn$ is not possible.
 $\therefore r = mn$ (\because from(2))
 $\therefore [F(a,b):F] = m \cdot n$

Problem 3.6 : Let E be an extension field of F. If $a \in E$ has a minimal polynomial of odd degree over F, show that $F(a) = F(a^2)$.

Ans.: Since
$$a \in F(a)$$

 $\therefore a^2 \in F(a) \Rightarrow F(a^2) \subseteq F(a)$

$$(28)$$

:. we write $F \subseteq F(a^2) \subseteq F(a)$

Let
$$[F(a^2):F] = n$$
 and $[F(a):F] = m$

Since the minimal polynomial of *a* over F is of odd degree.

 \therefore *m* is odd.

Now by theorem (2.1) $[F(a):F] = [F(a):F(a^2)] \cdot [F(a^2):F]$ i.e. $m = [F(a):F(a^2)] \cdot n$ $\Rightarrow n \leq m$(1) Now let $f(x) = a_0 + a_1x + \dots + x^n$ be the minimal polynomial of a^2 over F Then $a_0 + a_1 a^2 + \dots + a^{2n} = 0$ \Rightarrow a is root of the polynomial. $g(x) = a_0 + a_1 x^2 + \dots + x^{2n} \in F[x]$ (:: by theorem (3.1)) $\Rightarrow m/2n$ (:: m is odd) $\Rightarrow m/n$ (2) $\Rightarrow m \leq n$ \therefore from (1) and (2) m = n $\therefore [F(a):F] = m = n = [F(a^2):F]$ $\therefore F(a) = F(a^2)$ $(\because F(a^2) \subset F(a))$

4. Algebraically Closed Fields

Definition 4.1 : A field K is called algebraically closed if it possesses no proper algebraic extensions.

Theorem 4.1 : For any field K the following statements are all equivalent :

(i) K is algebraically closed.

- (ii) Every irreducible polynomial in K[x] is of degree 1.
- (iii) Every polynomial in K[x] of positive degree factors completely in K[x] into linear factors.

(iv) Every polynomial in K[x] of positive degree has at least one root in K.

Proof : (i) \Rightarrow (ii)

Suppose K is algebraically closed.

Let $p(x) \in K[x]$ be an irrducible polynomial of degree *n*.

:. by theorem (2.4) and (3.3) \exists a finite and hence algebraic extension E of K such that [E:K] = n.

Since K is algebraically closed.

 $\therefore E = K$, so n = 1.

: every irreducible polynomial in K[x] is of degree 1.

 $(ii) \Rightarrow (i)$

Suppose every irreducible polynomial in K[x] is of degree 1.

To prove that K is algebraically closed.

For let E be any algebraic extension of K. (1)

Let $a \in E$ be any element, then a is algebraic over K.

Let $p(x) \in K[x]$ be the minimal polynomial of *a*.

Since the minimal polynomial p(x) of 'a' is monic and irreducible.

$\therefore p(x) = x - a$		(:: by assumption)
$\Rightarrow a \in K$		
$\Rightarrow E \subseteq K$		
$\therefore E = K$	(:: by(1))	
$\Rightarrow K$ is algebraically closed field.		

 $\overline{(30)}$

 $(ii) \Rightarrow (iii)$

Suppose every irreducible polynomial in K[x] is of degree 1.

 \therefore by (ii) \Rightarrow (i) K is algebraically closed field.

Let $f(x) \in K[x]$ be any polynomial of positive degree.

Since K is algebraically closed field,

 \therefore K contains all the roots of f(x).

 $\therefore f(x)$ factors completely in K[x] into linear factors.

 $(iii) \Rightarrow (iv)$

Suppose every polynomial in K[x] of positive degree factors completely in K[x] into linear factors.

Let $f(x) \in K[x]$ be any polynomial of positive degree.

 $\Rightarrow f(x)$ factors completely in K[x] into linear factors.

 \Rightarrow K contains all the roots of f(x).

 \therefore K contains at least one root of f(x).

 $(iv) \Rightarrow (i)$

Suppose every polynomial in K[x] of positive degree has at least one root in K. To prove that K is algebraically closed field.

Let E be an algebraic extension of K. (2)

Let $a \in E$ be any element.

Then *a* is algebraic over K.

Let p(x) be the minimal polynomial of 'a' over K then $p(x) \in K[x]$.

and by assumption p(x) has a root say 'b' in K.

 $\Rightarrow (x-b)$ is a factor of p(x) in K[x].

But since p(x) is minimal polynomial of *a* over K.

 $\therefore p(x)$ is monic, irreducible polynomial in K[x].

Such that p(a) = 0.

 $\Rightarrow p(x) = x - b$ and $p(a) = 0 = a - b \Rightarrow a = b \in K$ $\Rightarrow a \in K$ Thus K contains all elements of E. i.e. $E \subseteq K$ (3) \therefore from (2) and (3) K = E Thus every algebraic extension of K coincides with K. $\Rightarrow K$ is algebraically closed.

Definition 4.2: If F is a subfield of a field E, then E is called an algebraic closure of F if,

(i) E is an algebraic extension of F.

(ii) E is algebraically closed.

Theorem 4.2 : Let F be a field and let $\sigma : F \longrightarrow L$ be an embedding of F into an algebraically closed field L. Let $E = F(\alpha)$ be an algebraic extension of F. Then σ can be extended to an embedding $\eta : E \longrightarrow L$ and the number of such extensions is equal to the number of distinct roots of the minimal polynomial of α .

Proof: Let $p(x) = a_0 + a_1x + \dots + x^n$ be the minimal polynomial of α over F.

Let $p^{\sigma}(x) = \sigma(a_0) + \sigma(a_1)x + \dots + x^n$

Then $p^{\sigma}(x) \in L[x]$

Since L is algebraically closed field.

 \therefore L contains all the roots of $p^{\sigma}(x)$.

Let $\beta \in L$ be a root of $p^{\sigma}(x)$.

Since p(x) be the minimal polynomial of α over F.

 \therefore by theorem (2.5)

$$E = F(\alpha) = \left\{ b_0 + b_1 \alpha + \dots + b_{n-1} \alpha^{n-1} | b_i \in F \right\}$$

Now, define $\eta_{\beta}: E \longrightarrow L$ by

$$\eta_{\beta} (b_0 + b_1 \alpha + \dots + b_{n-1} \alpha^{n-1}) = \sigma(b_0) + \sigma(b_1)\beta + \dots + \sigma(b_{n-1})\beta^{n-1}$$

Since any element of $E = F(\alpha)$ can be written uniquely in the form

 $b_0 + b_1 \alpha + \dots + b_{n-1} \alpha^{n-1}; \ b_i \in F$.

 $\therefore \eta_{\beta}$ is well defined mapping. η_{β} is 1-1 ($\because \sigma$ is 1-1)

Also it is easy to show that η_{β} is a homomorphism (prove it ?)

Thus η_{β} is an embedding of $F(\alpha)$ into L and it is an extension of σ .

(:: for $s \in F$; $\eta_{\beta}(s) = \sigma(s)$)

Further $\beta \longrightarrow \eta_{\beta}$ is a bijective correspondance between the distinct roots of $p^{\sigma}(x)$ and the extension of σ to E.

:. The number of extensions of σ to E is equal to the number of distinct roots of $p^{\sigma}(x)$ in L.

Also if α is a root of p(x) then $\sigma(\alpha)$ is a root of $p^{\sigma}(x)$. Thus number of distinct root of $p^{\sigma}(x)$ is same as number of distinct roots of p(x). Here number of extensions of σ to E is equal to the number of distinct roots of p(x).

Theorem 4.3 : Let E be an algebraic extension of a field F, and let $\sigma: F \longrightarrow L$ be an embedding of F into an algebraically closed field L. Then σ can be extended to an embedding

 $\eta: E \longrightarrow L$.

Proof : Consider the set

 $S = \{ (K, \theta) | F \subseteq K \subseteq E, \theta : K \to L \text{ is an embedding and } \theta|_F = \sigma \}$

Then $S \neq \phi$ (:: $(F, \sigma) \in S$)

Define a binary operation \leq on S by

 $(K_1, \theta_1) \le (K_2, \theta_2)$ if $K_1 \subset K_2$ and θ_2 restricted to K_1 is θ_1 i.e. $\theta_2|_{K_1} = \theta_1$. Then \le is a partial ordering on S.

Claim: (S, \leq) satisfies the hypothesis of the Zorn's lemma.

For let $\{(K_i, \theta_i)\}$ is a chain in S. Define $K = \bigcup K_i$ and $\theta : K \longrightarrow L$ by $\theta(a) = \theta_i(a)$ if $a \in K_i$ Also if $a \in K_i \cap K_j$ then $\theta_i(a) = \theta_j(a)$ (\cdots either $K_i \subset K_j$ or $K_j \subset K_i$) $\therefore \theta$ is well defined map on K. Clearly K is a subfield of E containing F and $\theta : K \longrightarrow L$ is an embedding. And (K, θ) is an upper bound for the chain $\{(K_i, \theta_i)\}$.

Thus every chain $\{(K_i, \theta_i)\}$ in S has an upper bound.

i.e. (S, \leq) satisfy the hypothesis of Zorn's lemma.

 \therefore by Zorn's lemma.

 \exists a maximal element (K, η) in S.

Then η is an extension of σ .

Claim: K = E

Let if possible $K \neq E$.

 $\therefore \exists a \in E \text{ such that } a \notin K.$

Since E is an algebraic extension of F, 'a' is algebraic over F and hence over K.

: by theorem (4.2), \exists an embedding $\eta': K(a) \longrightarrow L$ such that $\eta'|_{K} = \eta$.

But then $(K,\eta) < (K(a),\eta')$ and $(K(a),\eta') \in S$

a contradiction to the maximality of (K,η)

 $\therefore K = E$

And $\eta: E \longrightarrow L$ is an embedding and is an extension of σ .

Theorem 4.4 : Let K and K' be algebraic closures of a field F. Then $K \cong K'$ under an isomorphism that is an identity on F.

Proof : Let K and K' be algebraic closures of a field F.

Then by definition of algebraic closure K and K' are algebraic extensions of F and are algebraically closed fields.

Define $\lambda: F \longrightarrow K$ by

 $\lambda(a) = a, \quad \forall a \in F$

Then λ is an embedding of F into algebraically closed field K (prove it ?)

: by theorem (4.3) λ can be extended to an embedding $\lambda^*: K' \longrightarrow K$.

 $\therefore K' \cong \lambda^*(K') \subseteq K$

Since K' is algebraically closed field containing F.

 $\therefore \lambda^*(K')$ is also algebraically closed field containing F.

And since K is algebraic extension of F and $F \subseteq \lambda^*(K')$.

 $\therefore K$ is also algebraic extension of $\lambda * (K')$.

 $\Rightarrow \lambda^*(K') = K \ (\because \ \lambda^*(K') \text{ is algebraically closed field and K is algebraic extension}$ of $\lambda^*(K')$. \therefore by definition of algebraically closed field $\lambda^*(K') = K$)

This shows that an embedding $\lambda^*: K' \longrightarrow K$ is onto.

 $\therefore \lambda^*$ is an isomorphism of K' onto K and $\lambda^*(a) = a$, $\forall a \in F$ ($\because \lambda^*|_F = \lambda$)
Remarks :

- 1) Any field F has a unique (upto isomorphism) algebraic closure.
- 2) The algebraic closure of a field F is denoted by \overline{F} .
- 3) Let F be a field and let $S = \{x_{\alpha}\}_{\alpha \in \Delta}$ be an inflite set of commuting indeterminates.

Define
$$F[S] = \left\{ \sum_{\text{finite}} a_i x_{i1} x_{i2} \dots x_{in} / a_i \in F, x_{ij} \in S \right\}$$

Then F[S] is a polynomial ring over F in S w.r.t. natural addition and multiplication.

Theorem 4.5 : Let F be a field. Then there exists an algebraically closed field K containing F as a subfield.

Proof : Let F be a field.

We construct an extension K_1 of F in which every nonconstant polynomial has a root.

For each nonconstant polynomial $f = f(x) \in F[x]$ we correspond an indeterminate x_f and let $S = \{x_f | f = f(x) \in F[x] \text{ and degree of } f(x) \ge 1\}$.

Consider the polynomial ring F[S] which is an integral domain.

Let A be an ideal in F[S] generated by all polynomials $f(x_f)$ of positive degree in F[S].

Claim : A is proper ideal of F[S].

Let if possible A is not proper ideal in F[S].

i.e. A = F[S].

As $1 \in A \Rightarrow 1 = g_1 f_1(x_{f_1}) + g_2 f_2(x_{f_2}) + \dots + g_n f(x_{f_n})$

where $g_1, g_2, ..., g_n \in F[S]$

 $(g_1, g_2, \dots, g_n \text{ involves only a finite number of indeterminates})$

We write $x_{f_i} = x_i$ for each $f_i \in F[x]$ then

$$x_{f_1} = x_1, x_{f_2} = x_2, \dots, x_{f_n} = x_n.$$

And the indeterminates occuring in all the g_i , $1 \le i \le n$ are in the set $\{x_1, x_2, ..., x_n, ..., x_m\}$.

$$\therefore 1 = \sum_{i=1}^{n} g_i(x_1, x_2, \dots, x_m) f_i(x_i) \qquad \dots \dots (1)$$

Let E be an extension of F in which each of the polynomials $f_1, f_2, ..., f_n$ has a root and let a_i be a root of f_i in E, for each $1 \le i \le n$.

If we substitute $x_i = a_i$, $1 \le i \le n$ and $x_{n+1} = \dots = x_m = 0$ in (1) we get 1 = 0 which is absurd #

Thus A is a proper ideal of F[S].

 \therefore by Zorn's Lemma, let M be a maximal ideal of F[S] containing A.

Then there is $\sigma: F \longrightarrow \frac{F[S]}{M}$ defined by $\sigma(a) = a + M$ is an embedding.

Thus we can regard $\frac{F[S]}{M}$ is a field extension of F.

Also each nonconstant polynomial f = f(x) = F[x] has a root in $\frac{F[S]}{M}$.

Thus we have constructed the extension field $K_1 = \frac{F[S]}{M}$ of F in which every nonconstruct polynomial in F[x] has a root.

Now inductively we can form a chain of fields $F = K_0 \subset K_1 \subset K_2 \subset K_3 \subset \dots$ such that any nonconstant polynomial over K_n has a root in K_{n+1} , $\forall n \ge 0$.

Define
$$K = \bigcup_{n=1}^{\infty} K_n$$
.

Then K is a field extension of F.

If $g(x) = b_0 + b_1 x + \dots + b_m x^m$, $b_m \neq 0$, m > 0 is a polynomial over K, then $\exists n$ such that $b_0, b_1, \dots, b_m \in K_n \Longrightarrow g(x) \in K_n[x]$ and g(x) has a root in $K_{n+1} \subseteq K$.

Thus F has an algebraically closed extension.

Theorem 4.6 : Let F be a field. Then there exists an extension \overline{F} that is algebraic over F and is algebraically closed i.e. each field has an algebraic closure.

Proof : Let F be a field, then by theorem (4.5) \exists an extension K of F which is algebraically closed.

Let
$$\overline{F} = \{a \in K \mid a \text{ is algebraic over } F\}$$
 then $F \subseteq \overline{F} \subseteq K$
and by theorem (3.6) \overline{F} is an algebraic extension of F. (1)
Now we prove that \overline{F} is algebraically closed.
For let $f(x) \in \overline{F}[x]$. Then $f(x)$ has a root $a \in K$ (\because K is algebraically closed)
 $\Rightarrow a \in K$ is algebraic over \overline{F} .
Now since \overline{F} is algebraic extension of F (by (1))
 $\therefore a$ is algebraic over F (see problem (3.1)).
 $\Rightarrow a \in \overline{F}$ (\because by definition of \overline{F})
 \therefore every root of any polynomial $f(x) \in \overline{F}[x]$ is in \overline{F} .
But this shows that \overline{F} is algebraically closed field.(2)
Thus from (1) and (2) \overline{F} is an algebraic closure of F.

EXAMPLES :

- $4.1 \qquad \overline{\mathbb{C}} = \mathbb{C}$
- 4.2 $\overline{\mathbb{R}} = \mathbb{C}$
- 4.3 $\overline{Q} \subset \mathbb{C} \quad (\because \overline{Q} \text{ is a countable set})$

EXERCISE :

- 1. Show that $x^3 2 \in Q[x]$ is irreducible over Q. Find an extension K of Q having all roots of $x^3 2$ such that [K:Q] = 6.
- 2. Find the smallest extension of Q having a root of $x^4 2 \in Q[x]$.
- 3. Find a suitable number 'a' such that $Q(\sqrt{3},i) = Q(a)$.
- 4. Find the degree of $Q(\sqrt{2},\sqrt{3})$ over Q.
- 5. Determine the minimal polynomials of the following numbers over Q.
 - (a) $3\sqrt{2} + 5$ (b) $\sqrt{-1} + \sqrt{2}$ (c) $\sqrt{2} 3\sqrt{3}$
- 6. Let $F \subseteq K \subseteq E$ be fields such that K is algebraic extension of F and E is an algebraic extension of K then show that E is an algebraic extension of F.
- 7. If F is a subfield of an algebraically closed field K, then show that the algebraic closure \overline{F} of F in K is also algebraically closed.

8. Prove that
$$Q(\sqrt{2}, \sqrt{5}) = Q(\sqrt{2} + \sqrt{5})$$
.

9. Prove that $\exists a \in \mathbb{R}$ such that $Q(\sqrt{2}, \sqrt[3]{5}) = Q(a)$.

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UNIT - II

NORMAL AND SEPARABLE EXTENSION

Finding roots of polynomials has been an important problem since the time of the ancient Greeks. Some polynomials, however, $x^2 + 1$ over \mathbb{R} , the real numbers, have no roots in \mathbb{R} . By constructing the splitting field for such a polynomial one can find the roots of the polynomial in the new field.

1. SPLITTING FIELDS

The first step in finding the Galois group of a polynomial over a field is to find the smallest extension of the field that contains all of the roots of the polynomial. Beginning with a field F, and a polynomial $f(x) \in F[x]$, we need to construct the smallest possible extension field K of F that contains all of the roots of f(x).

Definition 1.1 : Let f(x) be a polynomial in F[x] of degree ≥ 1 . Then an extension K of F is called a splitting field of f(x) over F if

- (i) f(x) factors into linear factors in K[x]; that is $f(x) = c(x - \alpha_1)...(x - \alpha_n), \quad \alpha_i \in K.$
- (ii) $K = F(\alpha_1, \dots, \alpha_n)$; that is, K is generated over F by the roots $\alpha_1, \dots, \alpha_n$ of f(x) in K.

Note :

- 1. The splitting field is the smallest field that containing all roots.
- 2. $\mathbb{Q}(\sqrt{3}) = \{a + b\sqrt{3} \mid a, b \in \mathbb{Q}\}$ is a splitting field of $x^2 3 \in \mathbb{Q}[x]$ over \mathbb{Q} .

- 3. Splitting field of $x^4 1 \in \mathbb{R}[x]$ over \mathbb{R} is the field \mathbb{C} .
- 4. We note that a polynomial $f(x) \in F[x]$ always has a splitting field, namely, the field generated by its roots in a given algebraic closure \overline{F} of *F*.

Theorem 1.1 : If K is a splitting field of $f(x) \in F[x]$ over F, then K is a finite extension and, hence, an algebraic extension of F.

Proof. Since K is a splitting field of $f(x) \in F[x]$ over F, $K = F(\alpha_1, \dots, \alpha_n)$; that is, K is generated over F by the roots $\alpha_1, \dots, \alpha_n$ of f(x) in K. That is, $K = F(\alpha_1, \dots, \alpha_n)$ is a finitely generated extension of F such that $\alpha_1, \dots, \alpha_n$ are algebraic over F. Hence by theorem, K is a finite extension and, hence, an algebraic extension of F.

Theorem 1.2 (uniqueness of splitting field) : Let *K* be a splitting field of the polynomial $f(x) \in F[x]$ over a field *F*. If *E* is another splitting field of f(x) over *F*, then there exists an isomorphism $\sigma: E \to K$ that is identity on *F*.

Proof. Let *K* be a splitting field of the polynomial $f(x) \in F[x]$ over a field *F* and \overline{K} be an algebraic closure of *K*.

Then \overline{K} is algebraic over K. Since K is algebraic over F, \overline{K} is algebraic over F.

Hence $\overline{K} = \overline{F}$.

Since *E* is an algebraic extension of *F*, by theorem the identity mapping $\lambda: F \to F$ can be extended to an embedding $\sigma: E \to \overline{K}$.

and

Let $f(x) = a_0 + a_1 x + ... + a_n x^n \in F[x]$

 $f^{\sigma}(x) = \sigma(a_0) + \sigma(a_1)x + \ldots + \sigma(a_n)x^n \in F[x].$

Since σ is identity on *F*, $f^{\sigma}(x) = f(x)$.

Let $f(x) = c(x - \alpha_1)$... $(x - \alpha_n)$, $\alpha_i \in E$, i = 1, ..., n, $c \in F$.

Then $f(x) = c(x - \sigma(\alpha_1))$... $(x - \sigma(\alpha_n))$ be unique factorization in $\overline{K}[x]$.

But since f(x) has a factorization in K[x], say $f(x) = c(x - \beta_1)...(x - \beta_n)$, where $\beta_i \in K$, i = 1,...,n, it follows that the sets $\{\sigma(\alpha_1),...,\sigma(\alpha_n)\}$ and $\{\beta_1,...,\beta_n\}$ are equal.

Thus, $K = F(\beta_1, ..., \beta_n) = F(\sigma(\alpha_1), ..., \sigma(\alpha_n)) = \sigma(F(\alpha_1, ..., \alpha_n)) = \sigma(E)$. Hence, σ is an isomorphism of E onto K.

Note : Theorem 1.2 proves that the splitting field of a polynomial over a given field is unique (up to isomorphism) if it exists. But recall that any field F has an algebraic closure \overline{F} that contains roots of all polynomials over F. Thus, the intersection of all subfields of \overline{F} containing all the roots of a given polynomial $f(x) \in F[x]$ is the splitting field of f(x) over F.

Example 1.1 : Show that degree of the extension of the splitting field of $x^3 - 2 \in \mathbb{Q}[x]$ is 6.

Solution : By Eisenstein's criterion $x^3 - 2 \in \mathbb{Q}[x]$ is irreducible over \mathbb{Q} and it is the minimal polynomial of $2^{1/3}$.

Thus $\mathbb{Q}[x]/(x^3-2) \approx \mathbb{Q}(2^{1/3})$ with $[\mathbb{Q}(2^{1/3}):\mathbb{Q}] = 3$. Since $x^3-2=(x-2^{1/3})(x^2+2^{1/3}x+2^{2/3}), x^3-2$ has two complex roots, say α and $\overline{\alpha}$. Thus $p(x) = x^2 + 2^{1/3}x + 2^{2/3} \in \mathbb{Q}(2^{1/3})[x]$ is irreducible over $\mathbb{Q}(2^{1/3})$. Hence, $\mathbb{Q}(2^{1/3})[x]/(p(x)) \approx \mathbb{Q}(2^{1/3})(\alpha) = \mathbb{Q}(2^{1/3}, \alpha)$ and $[\mathbb{Q}(2^{1/3}, \alpha):\mathbb{Q}(2^{1/3})] =$ degree

of p(x) = 2.

Because $\mathbb{Q}(2^{1/3}, \alpha)$ contains one root α of p(x), it will also contain the other root $\overline{\alpha}$. Hence, $\mathbb{Q}(2^{1/3}, \alpha)$ is the splitting field of $x^3 - 2 \in \mathbb{Q}[x]$ over \mathbb{Q} . Finally,

$$\left[\mathbb{Q}(2^{1/3},\alpha):\mathbb{Q}\right] = \left[\mathbb{Q}(2^{1/3},\alpha):\mathbb{Q}(2^{1/3})\right] \left[\mathbb{Q}(2^{1/3}):\mathbb{Q}\right] = 2 \times 3 = 6.$$

Example 1.2 : Let p be prime. Then show that $f(x) = x^p - 1 \in \mathbb{Q}[x]$ has splitting field $\mathbb{Q}(\alpha)$, where $\alpha \neq 1$ and $\alpha^p = 1$. Also, $[\mathbb{Q}(\alpha) : \mathbb{Q}] = p - 1$.

Solution : Let $f(x) = x^p - 1 = (x - 1)(x^{p-1} + x^{p-2} + ... + x + 1)$.

We know $p(x) = x^{p-1} + x^{p-2} + \ldots + x + 1 \in \mathbb{Q}[x]$ is irreducible over \mathbb{Q} .

Let α be a root of p(x) in the splitting field of f(x) over \mathbb{Q} . Then, clearly $\alpha^p = 1$ and $\alpha \neq 1$.

We assert that $1, \alpha, \alpha^2, \dots, \alpha^{p-1}$ are p distinct roots of f(x).

Clearly, $\alpha^{p} = 1$ implies $(\alpha^{i})^{p} = 1$ for all positive integers *i*.

Thus, we need to show that $1, \alpha, \alpha^2, ..., \alpha^{p-1}$ are distinct roots.

Note that if *m* is the smallest positive integer such that $\alpha^m = 1$, then $m \mid p$. Thus, m = p.

Hence, no two roots in the list $1, \alpha, \alpha^2, ..., \alpha^{p-1}$ can be equal, whence these are all the *p* roots of $x^p - 1$.

Hence, the splitting field of $x^p - 1 \in \mathbb{Q}[x]$ is $\mathbb{Q}(\alpha)$.

Since, the minimal polynomial of a is p(x), which is of degree p-1.

Hence $[\mathbb{Q}(\alpha):\mathbb{Q}]$ = degree of p(x) = p-1.

Example 1.3 : Let $F = \mathbb{Z}_2$. Then show that splitting field of $x^3 + x^2 + 1 \in F[x]$ is a finite field with eight elements.

Solution : Let $p(x) = x^3 + x^2 + 1$.

Since $p(0) = 1 \neq 0$ and $p(1) = 1 \neq 0$, $x^3 + x^2 + 1$ is irreducible over F.

Let α be a root of this polynomial in its splitting field. Then,

$$x^{3} + x^{2} + 1 = (x + \alpha)(x^{2} + (1 + \alpha)x + (\alpha + \alpha^{2}))$$
$$= (x + \alpha)(x + \alpha^{2})(x + 1 + \alpha + \alpha^{2}).$$

Therefore, $F(\alpha)$ is the splitting field of $p(x) = x^3 + x^2 + 1$ over F, and

 $[F(\alpha):F]=3$, the degree of the minimal polynomial $p(x) = x^3 + x^2 + 1$ of α . Furthermore, $F(\alpha)$ has a basis $\{1, \alpha, \alpha^2\}$ over F.

Therefore, $F(\alpha) = \{0, 1, \alpha, \alpha^2, 1+\alpha, 1+\alpha^2, \alpha+\alpha^2, 1+\alpha+\alpha^2\}$, where $\alpha^3 + \alpha^2 + 1 = 0$.

Example 1.4 : Show that the splitting field of $f(x) = x^4 - 2 \in \mathbb{Q}[x]$ over \mathbb{Q} is $\mathbb{Q}(2^{1/4}, i)$ and its degree of extension is 8.

Solution : Let $f(x) = x^4 - 2 \in \mathbb{Q}[x]$, then by Eisenstein criterion f(x) irreducible over \mathbb{Q} .

Also $2^{1/4}$ is one root of f(x). Therefore f(x) is the minimal polynomial of $2^{1/4}$ over \mathbb{Q}

Thus, $\left[\mathbb{Q}(2^{1/4}):\mathbb{Q}\right]$ = degree of f(x) = 4.

Now $f(x) = (x - 2^{1/4})(x + 2^{1/4})(x^2 + 2^{1/2})$ and the factor $p(x) = x^2 + 2^{1/2}$ is irreducible over $\mathbb{Q}(2^{1/4})$.

Thus, $p(x) = x^2 + 2^{1/2}$ is the minimal polynomial of $2^{1/4}i$ over $\mathbb{Q}(2^{1/4})$,

Hence $\left[\mathbb{Q}(2^{1/4})(2^{1/4}i):\mathbb{Q}(2^{1/4})\right]$ = degree of p(x) = 2.

Since $\mathbb{Q}(2^{1/4})(2^{1/4}i) = \mathbb{Q}(2^{1/4},i)$, contains all roots of f(x), $\mathbb{Q}(2^{1/4},i)$ is the splitting field of $f(x) = x^4 - 2 \in \mathbb{Q}[x]$.

Therefore,

$$\left[\mathbb{Q}(2^{1/4},i):\mathbb{Q}\right] = \left[\mathbb{Q}(2^{1/4},i):\mathbb{Q}(2^{1/4})\right] \left[\mathbb{Q}(2^{1/4}):\mathbb{Q}\right] = 2.4 = 8.$$

Example 1.5 : Find splitting field of $f(x) = x^4 + 4$ over \mathbb{Q} .

Solution : Let $f(x) = x^4 + 4$ is not irreducible over \mathbb{Q} .

Since $f(x) = x^4 + 4 = (x^2 + 2x + 2)(x^2 - 2x + 2)$ is reducible over \mathbb{Q} . But two factors are irreducible over \mathbb{Q} .

By using quadratic formula, we find four roots 1+i, 1-i, -1+i and -1-i. Thus splitting of this polynomial is $\mathbb{Q}(i)$ and $[\mathbb{Q}(i):\mathbb{Q}] = 2$.

Example 1.6 : If K is an extension field of F of degree 2, then prove that K is the splitting field over F for some polynomial.

Solution : Let *K* be an extension field of *F* of degree 2. Since [K:F] > 1, we can choose $\alpha \in K$ such that $\alpha \notin F$.

Then α is algebraic over F. Let p(x) be minimal polynomial of α over F.

Since $[K:F(\alpha)][F(\alpha):F] = [K:F] = 2$ and $[F(\alpha):F] > 1$, we have

$$[F(\alpha):F]=2$$
 and $[K:F(\alpha)]=1$.

Therefore $F(\alpha) = K$.

Thus minimal polynomial p(x) has degree 2.

Let $p(x) = x^2 + ax + b$, $a, b \in F$. Therefore $p(x) = (x - \alpha)(x - \beta)$, where $\beta \in \mathbb{C}$.

Then $F(\alpha, \beta)$ be splitting field for p(x) over F.

Since $K = F(\alpha)$, K is subfield of $F(\alpha, \beta)$.

Since, $p(x) = (x - \alpha)(x - \beta) = x^2 - (\alpha + \beta)x + \alpha\beta = x^2 + \alpha x + b$, we have

 $\alpha + \beta = -a$ and $\alpha\beta = b$.

Therefore $\beta = -\alpha - a \in F(\alpha)$.

Thus $F(\alpha, \beta)$ is subfield of K.

Hence $K = F(\alpha, \beta)$.

Thus K is splitting field for some polynomial p(x) over F.

Example 1.7 : Let $f(x) = x^2 + 3$, $g(x) = x^2 + x + 1 \in \mathbb{Q}[x]$. Show that their splitting fields are equal and find its degree over \mathbb{Q} .

Solution : Let $f(x) = x^2 + 3$, $g(x) = x^2 + x + 1 \in \mathbb{Q}[x]$.

Then $\pm \sqrt{3}i$ are roots of f(x) and $\frac{-1 \pm \sqrt{3}i}{2}$ are roots of g(x).

Therefore, $\mathbb{Q}(\sqrt{3}i)$ is the splitting field of f(x) and $\mathbb{Q}\left(\frac{-1\pm\sqrt{3}i}{2}\right)$ is the splitting

field of g(x).

Since $\mathbb{Q}(\sqrt{3}i) = \mathbb{Q}\left(\frac{-1\pm\sqrt{3}i}{2}\right)$, splitting field of f(x) and g(x) are equal. Also $\left[\mathbb{Q}(\sqrt{3}i):\mathbb{Q}\right] =$ degree of f(x) = 2. Example 1.8 : Find condition on a and b such that the splitting field of

 $x^3 + ax + b \in \mathbb{Q}[x]$ has degree 3 over \mathbb{Q} .

Solution : Let $f(x) = x^3 + ax + b \in \mathbb{Q}[x]$, then f(x) must be irreducible over \mathbb{Q} , otherwise degree of extension is either 1 or 2.

Let $E = \mathbb{Q}(\alpha, \beta, \gamma)$ be splitting field of f(x) over \mathbb{Q} .

Therefore $f(x) = x^3 + ax + b = (x - \alpha)(x - \beta)(x - \gamma)$ implies that

 $\alpha + \beta + \gamma = 0$, $\alpha\beta + \beta\gamma + \gamma\alpha = a$ and $\alpha\beta\gamma = -b$

Therefore $\beta + \gamma = -\alpha$ and $\beta \gamma = -b / \alpha = \alpha^2 + a$.

Thus β and γ are roots of second degree polynomial,

$$g(x) = x^2 + \alpha x + \alpha^2 + a \in \mathbb{Q}(\alpha).$$

Hence if β and γ are in $\mathbb{Q}(\alpha)$ then $E = \mathbb{Q}(\alpha, \beta, \delta) = \mathbb{Q}(\alpha)$ and $[E : \mathbb{Q}] = 3$ as desired.

But roots β and γ are in $\mathbb{Q}(\alpha)$ if and only if discriminant $\Delta = -3\alpha^2 - 4a$ of g(x) must be a square in \mathbb{Q} otherwise other two roots are in $\mathbb{Q}(\alpha, \sqrt{\Delta})$ which is of degree 6 over \mathbb{Q} .

Hence the splitting field of $x^3 + ax + b \in \mathbb{Q}[x]$ has degree 3 over \mathbb{Q} if $\sqrt{\Delta} \in \mathbb{Q}(\alpha)$.

2. NORMAL EXTENSIONS

Let $(f_i(x))_{i\in\Lambda}$ be a family of polynomials of degree ≥ 1 over a field F. Splitting field of a family $(f_i(x))_{i\in\Lambda}$ of polynomials is an extension E of F such that every $f_i(x)$ splits into linear factors in E[x], and E is generated over F by all the roots of the polynomials $f_i(x), i \in \Lambda$. If Λ is finite and our polynomials are $f_1(x), \ldots, f_n(x)$, then their splitting field is a splitting field of the single polynomial $f(x) = f_1(x) \ldots f_n(x)$, obtained by taking the product. The proof of uniqueness (up to isomorphism) of a splitting field of a single polynomial can be extended to prove the uniqueness (up to isomorphism) of a splitting field of a splitting field of a family of polynomials over a given field.

The next theorem proves a set of equivalent statements for an extension E of F to be a splitting field of a family of polynomials over F.

Theorem 2.1 : Let *E* bean algebraic extension of a field *F* contained in an algebraic closure \overline{F} of *F*. Then the following conditions are equivalent:

- (i) Every irreducible polynomial in F[x] that has a root in E splits into linear factors in E.
- (ii) E is the splitting field of a family of polynomials in F[x].
- (iii) Every embedding σ of E in \overline{F} that keeps each element of F fixed maps E onto E. (In other words, σ may be regarded as an automorphism of E.

Proof : (i) \Rightarrow (ii)

Let $\alpha \in E$, and let $p_{\alpha}(x)$ be its minimal polynomial over F. By (i), $p_{\alpha}(x)$ splits into linear factors in E. Thus, it follows immediately that E is the splitting field of the family $\{p_{\alpha}(x)\}_{\alpha \in E}$ of polynomial over F. (ii)⇒(iii)

Let $\{f_i(x)\}_{i\in\Lambda}$, be a family of polynomials of which E is the splitting field.

If α is a root of some $f_i(x)$ in E, then for any embedding σ of E into \overline{F} that keeps each element of F fixed, $\sigma(\alpha)$ is also root of $f_i(x)$.

Since *E* is generated by the roots of all the polynomials $f_i(x)$, it follows that σ maps *E* into itself. That is $\sigma: E \to E$ be an embedding of *E* into itself over *F*. Then, by Theorem, σ is an automorphism of *E*. (iii) \Rightarrow (i)

Let $p(x) \in F[x]$ be an irreducible polynomial over *F* that has a root $\alpha \in E$. Let $\beta \in \overline{F}$ be another root of p(x). Now we claim that $\beta \in E$.

Since α and β are roots of the same irreducible polynomial p(x), we have F - isomorphisms

$$F(\alpha) \simeq \frac{F[x]}{p(x)} \simeq F(\beta)$$

under the isomorphism,

$$a_0 + a_1\alpha + \ldots + a_n\alpha^n \to a_0 + a_1x + \ldots + a_nx^n + (f(x)) \to a_0 + a_1\beta + \ldots + a_n\beta^n.$$

Let $\sigma: F(\alpha) \to F(\beta)$ be the isomorphism given above. Then $\sigma(\alpha) = \beta$ and $\sigma(\alpha) = a$ for all $a \in F$.

By Theorem, σ can be extended to an embedding $\sigma^*: E \to \overline{F}$. But then, by (iii), σ^* is an automorphism of E;

Therefore, $\beta = \sigma(\alpha) = \sigma^*(\alpha) \in E$. Hence the proof.

Definition 2.1 : An extension E of a field F is called normal if E satisfies any one of the following equivalent conditions

- (i) Every irreducible polynomial in F[x] that has a root in E splits into linear factors in E.
- (ii) E is the splitting field of a family of polynomials in F[x].
- (iii) Every embedding σ of E in \overline{F} that keeps each element of F fixed maps E onto E. (In other words, σ may be regarded as an automorphism of E.)

Note :

- 1. The Field of complex numbers \mathbb{C} is a normal extension of the field of real numbers \mathbb{R} and $[\mathbb{C}:\mathbb{R}]=2$.
- 2. \mathbb{R} is not a normal extension of the field \mathbb{Q} of rational numbers, for $x^3 2 \in \mathbb{Q}[x]$ is irreducible over \mathbb{Q} and has a root $\sqrt[3]{2}$ in \mathbb{R} , but it does not split into linear factors in \mathbb{R} because it has complex roots.
- 3. If $\alpha = \cos(\pi/4) + i\sin(\pi/4)$, then $\mathbb{Q}(\alpha)$ is a normal extension of \mathbb{Q} . As $\mathbb{Q}(\alpha)$ is the splitting field of $x^4 + 1 \in \mathbb{Q}[x]$.

Example 2.1 : Let E be a finite extension of F. Then E is a normal extension of F if and only if E is a splitting field of a polynomial over F.

Solution : Let *E* be a finite extension of *F* and $E = F(\alpha_1, ..., \alpha_n)$, where $\alpha_i \in E$ are algebraic over *F*.

Let $p_i(x)$ be the minimal polynomial of α_i , over *F*.

Assume first that E is a normal extension of F. Then $p_i(x)$ splits in E because it has one root $\alpha_i \in E$.

Thus, $p(x) = p_1(x) \dots p_n(x) \in F[x]$ has all roots in E.

Since $E = F(\alpha_1, ..., \alpha_n)$, and $\alpha_1, ..., \alpha_n$, are some of the roots of p(x), *E* must be the splitting field of p(x).

Conversely suppose that *E* is splitting field of $f(x) \in F[x]$. If $\alpha_1, ..., \alpha_n$ are all roots of p(x), then $E = F(\alpha_1, ..., \alpha_n)$.

If $\sigma: E \to \overline{F}$ is an embedding that keeps each element of *F* fixed, then $\sigma(\alpha_i)$ is also root of f(x).

Therefore $\sigma(\alpha_i) = \alpha_i$ for some *j* and $\sigma(\alpha_i) \in E$ for all $1 \le i \le n$.

Hence $\sigma(E) \subseteq E$ and σ is an automorphism of *E*.

Thus by Theorem 2.1, E is a normal extension of F.

Example 2.2 : Show that any extension *K* of a field *F*, such that [K:F] = 2, is a normal extension.

Solution : In example 1.6 we have shown that *K* is the splitting field $p(x) \in F[x]$. Then by definition, *K* is normal extension of *F*.

Example 2.3 : Show that $\mathbb{Q}(\sqrt{-2})$ and $\mathbb{Q}(2\sqrt{7})$ are normal extension of \mathbb{Q} .

Solution : Let $\alpha = \sqrt{-2}$ then α is algebraic over \mathbb{Q} and minimal polynomial is $p(x) = x^2 + 2 \in \mathbb{Q}[x]$.

Therefore $\left[\mathbb{Q}(\sqrt{-2}):\mathbb{Q}\right] = \left[\mathbb{Q}(\alpha):\mathbb{Q}\right] = \text{degree of } p(x) = 2.$

Hence $\mathbb{Q}(\sqrt{-2})$ is normal extension of \mathbb{Q} .

Similarly $\mathbb{Q}(2\sqrt{7})$ is normal extension of \mathbb{Q} .

Example 2.4 : Let E be normal extension of F and let be K a subfield of E containing F. Show that E is a normal extension of K. Give an example to show that K need not be a normal extension of F.

Solution : Let E be normal extension of F. Then by definition E is splitting field of a family of polynomials over F.

Since $F \subset K$, *E* is splitting field of a family of polynomials over *K*. Hence *E* is a normal extension of *K*.

Let $E = \mathbb{Q}(\sqrt[3]{2}, \omega)$ and $K = \mathbb{Q}(\sqrt[3]{2})$ where ω is cube root of unity. Then $\mathbb{Q} \subset \mathbb{Q}(\sqrt[3]{2}) \subset \mathbb{Q}(\sqrt[3]{2}, \omega)$. Here $E = \mathbb{Q}(\sqrt[3]{2}, \omega)$ is normal extension of $K = \mathbb{Q}(\sqrt[3]{2})$ but $K = \mathbb{Q}(\sqrt[3]{2})$ is not normal extension of \mathbb{Q} .

Example 2.5 : Let $F = \mathbb{Q}(\sqrt{2})$ and $E = \mathbb{Q}(\sqrt{2})$. Show that *E* is normal extension of *F* and *F* is normal extension of \mathbb{Q} , but *E* is not a normal extension of \mathbb{Q} .

Solution : Since $x^2 - 2 \in \mathbb{Q}[x]$ be minimal polynomial of $\sqrt{2}$, $\left[\mathbb{Q}(\sqrt{2}):\mathbb{Q}\right] = 2$. Therefore $F = \mathbb{Q}(\sqrt{2})$ is normal extension of \mathbb{Q} .

Also $x^2 - \sqrt{2} \in \mathbb{Q}(\sqrt{2})[x]$ is minimal polynomial of $\sqrt[4]{2}$ over $\mathbb{Q}(\sqrt{2})$. Therefore $\left[\mathbb{Q}(\sqrt[4]{2}):\mathbb{Q}(\sqrt{2})\right] = 2$.

Hence $E = \mathbb{Q}(\sqrt[4]{2})$ is normal extension of $F = \mathbb{Q}(\sqrt{2})$.

Also $x^4 - 2 \in \mathbb{Q}[x]$ is minimal polynomial of $\sqrt[4]{2}$ over \mathbb{Q} and roots $\pm \sqrt[4]{2}i$ of $x^4 - 2 \in \mathbb{Q}[x]$ are does not belong to $E = \mathbb{Q}(\sqrt[4]{2})$.

Therefore $E = \mathbb{Q}(\sqrt[4]{2})$ is not splitting field of $x^4 - 2 \in \mathbb{Q}[x]$.

Hence $E = \mathbb{Q}(\sqrt[4]{2})$ is not a normal extension of \mathbb{Q} .

3. MULTIPLE ROOTS

In this section we discuss the multiplicity of roots of a polynomial over a field. For this purpose, we introduce the concept of the derivative of a polynomial.

Definition 3.1 : Let $f(x) = a_0 + a_1x + a_2x^2 + \ldots + a_nx^n$ be a polynomial over a field *F*. Then derivative of f(x) is defined as $f'(x) = a_1 + 2a_2x + \ldots + na_nx^{n-1}$.

Note :

- 1. Properties of derivatives that are familiar from calculus are not necessarily valid here. For example, f'(x) = 0 does not always imply that f(x) is a constant: for example, if we set $f(x) = x^3$ in a field of characteristic 3 then $f'(x) = 3x^2 = 0$.
- 2. Derivative of a polynomial is a linear operation; that is (af(x)+bg(x))' = af'(x)+bg'(x), where $a,b \in F$.
- 3. For the derivative of a product we have the usual rule (f(x)g(x))' = f'(x)g(x) + f(x)g'(x).
- 4. If Char(F) = 0 and degree of f(x) = n > 0, then degree of f'(x) = n 1.
- 5. If Char(F) = p and degree of $f(x) = x^p$, then f'(x) = 0.

Definition 3.2 : Let K be a splitting field of a polynomial $f(x) \in F[x]$. Let α be a root of f(x). Then $(x-\alpha)|f(x)$ in K[x]. If $(x-\alpha)^s$ is the highest power of $(x-\alpha)$ that divides f(x) in K[x], then s is called the multiplicity of α .

If s = 1, then α is called a simple root; if s > 1, then α is called a multiple root.

Theorem 3.1 : Let $f(x) \in F[x]$ be a polynomial of degree ≥ 1 with α as a root.

Then α is a multiple root if and only if $f'(\alpha) = 0$.

Proof: Let α is a root of $f(x) \in F[x]$,

By division algorithm we can write $f(x) = (x - \alpha)g(x)$.

Therefore $f'(x) = (x - \alpha)g'(x) + g(x)$.

Thus α is a multiple root of f(x) if and only if $g(\alpha) = 0$.

Since $f'(\alpha) = g(\alpha), \alpha$ is a multiple root if and only if $f'(\alpha) = 0$.

Corollary 3.2: Let $f(x) \in F[x]$ be an irreducible polynomial over F. Then f(x) has a multiple root if and only if f'(x) = 0.

Proof: Let $f(x) \in F[x]$ be an irreducible polynomial over F and α is root of f(x). Suppose f'(x) = 0. Therefore $f'(\alpha) = 0$.

Hence by Theorem 3.1, α is a multiple root of f(x).

On the other hand, suppose f(x) has a multiple root α . Then by Theorem 3.1, α is a root of f'(x).

Since f(x) is irreducible, $a^{-1}f(x)$ is the minimal polynomial of α over F, where a is the leading coefficient of f(x).

Now suppose $f'(x) \neq 0$, then f'(x) is a non constant polynomial satisfied by α . Since $a^{-1}f(x)$ is the minimal polynomial of α over F, degree of $f'(x) \ge$ degree of $a^{-1}f(x)$. Which is a contradiction.

Hence, f'(x) = 0.

Corollary 3.3 : Any irreducible polynomial f(x) over a field of characteristic 0 has simple roots. Also any irreducible polynomial f(x) over afield F of characteristic $p \neq 0$ has multiple roots if and only if there exists $g(x) \in F[x]$ such that $f(x) = g(x^p)$.

Proof: Let $f(x) = \sum_{i=0}^{n} a_i x^i$ be an irreducible polynomial over a field F.

Then by Corollary 3.2 f(x) has multiple roots if and only if $f'(x) = \sum_{i=0}^{n} ia_i x^{i-1} = 0$. Therefore f(x) has multiple roots if and only if $i a_i = 0, 1 \le i \le n$.

Thus in a field of characteristic 0, if f'(x) = 0 then $a_i = 0, 1 \le i \le n$.

But then $f(x) = a_0 \in F$, which is a contradiction.

Hence in a field of characteristic 0, all roots of f(x) are simple.

Now if *F* is of characteristic $p \neq 0$, and if $a_i \neq 0$, we must have $p \mid i$. Thus f(x) has multiple roots if and only if, either $a_i = 0$, or $p \mid i$. Therefore $f(x) = g(x^p)$, for a suitable polynomial $g(x) \in F[x]$.

Theorem 3.4 : If $f(x) \in F[x]$ is irreducible over *F*, then all roots of f(x) have the same multiplicity.

Proof: Let \overline{F} be the algebraic closure of F, and let α and β be roots of f(x)

in \overline{F} with multiplicities k and k', respectively.

(55)

We know that

$$F(\alpha) \simeq F[x]/(f(x)) \simeq F(\beta)$$
,

under the isomorphism,

$$a_0 + a_1 \alpha + \ldots + a_n \alpha^n \rightarrow a_0 + a_1 x + \ldots + a_n x^n + (f(x)) \rightarrow a_0 + a_1 \beta + \ldots + a_n \beta^n.$$

Let $\sigma: F(\alpha) \to F(\beta)$ be an isomorphism.

Clearly, $\sigma(\alpha) = \beta$ and $\sigma(s) = s$, for all $s \in F$.

We know $\sigma: F(\alpha) \to F(\beta)$ can be extended to an isomorphism $\sigma^*: \overline{F} \to \overline{F(\beta)} = \overline{F}$. Then we define a ring homomorphism $\eta: \overline{F}[x] \to \overline{F}[x]$ by

$$\eta(a_0 + a_1 x + \ldots + a_r x^r) = \sigma^*(a_0) + a_1 x + \ldots + \sigma^*(a_r) x^r.$$

Clearly $\eta(f(x)) = f(x), f(x) \in F[x]$.

Therefore if α is roots of f(x) with multiplicity k, then

$$f(x) = (x - \alpha)^k g(x), g(x) \in \overline{F}[x]$$

and

$$\eta(f(x)) = (x-\beta)^k \eta(g(x)) \Longrightarrow f(x) = (x-\beta)^k \eta(g(x)).$$

Thus $(x - \beta)^k$ is also factor of f(x) and hence $k' \ge k$. By interchanging roles of α and β , we get $k \ge k'$. Hence k = k'.

Corollary 3.5 : If $f(x) \in F[x]$ is irreducible over F, then $f(x) = a \prod_{i=1}^{r} (x - \alpha_i)^k$,

where α_i , are the roots of f(x) in its splitting field over F, and k is the multiplicity of each root.

Proof. Let $\alpha_1, \alpha_2, ..., \alpha_r$ be roots of polynomial $f(x) \in F[x]$ with multiplicities $k_1, k_2, ..., k_r$ respectively.

Then
$$f(x) = a \prod_{i=1}^{r} (x - \alpha_i)^{\kappa_i}$$
.

Since, f(x) is irreducible over F, by Theorem 3.4, all roots of f(x) have the same multiplicity.

Therefore $k = k_1 = k_2 = \ldots = k_r$.

Hence $f(x) = a \prod_{i=1}^{r} (x - \alpha_i)^k$.

Example 3.1 : Prove that a polynomial $f(x) \in F[x]$ has multiple root if and only if f(x) and f'(x) has a non-constant common factor.

Solution : Let *a* be multiple root of f(x) in an extension *E* of *F*.

Then
$$f(x) = (x-a)^m g(x), m > 1, g(x) \in E[x].$$

Therefore

$$f'(x) = m(x-a)^{m-1} g(x) + (x-a)^m g'(x)$$

= $(x-a)^{m-1} [m g(x) + (x-a)g'(x)], m-1 > 0$

Therefore $(x-a)^{m-1}$ is non-constant common factor of f(x) and f'(x).

Conversely suppose that f(x) and f'(x) has a non-constant common factor.

Now if all roots of f(x) are distinct, then $f(x) = a \prod_{i=1}^{n} (x - a_i)$, for some $a \in F$

and
$$f'(x) = a \sum_{i=1}^{n} \left(\prod_{j \neq i} (x - a_j) \right)$$
.

Therefore, $f'(a_i) = a \prod_{j \neq i} (a_i - a_j) \neq 0$, $1 \le i \le n$.

Hence no root of f(x) is a root of f'(x), which is a contradiction.

Therefore all roots of f(x) are not distinct.

Hence f(x) has multiple roots.

Example 3.2 : Let f(x) be a polynomial of degree *n* over *F* of characteristic *p*. Suppose f'(x) = 0. Show that $p \mid n$ and that f(x) has at most $\frac{n}{p}$ distinct roots.

Solution : Let f(x) be a polynomial of degree *n* over *F* and f'(x) = 0. Then by corollary 3.2 f(x) has multiple roots.

Also by corollary 3.3 f(x) has multiple roots if and only if there exists $g(x) \in F[x]$ such that $f(x) = g(x^p)$.

Therefore n = pm

Also by theorem 3.4, since each root is of same multiplicity, f(x) has at most $\frac{n}{p}$ distinct roots.

Example 3.3 : Let K = F(x) be the field of rational functions in one variable x over a field F of characteristic 3. (Indeed, F(x) is the field of fractions of the polynomial ring F[x]) Then the polynomial $y^3 - x$ in the polynomial ring K[y] over K is irreducible over K and has multiple roots.

Solution : If $y^3 - x$ has a root in K, then there g(x)/h(x) in K with $h(x) \neq 0$ such that $(g(x)/h(x))^3 = x$; that is, $g^3(x) = xh^3(x)$.

But this implies that 3(degree of h(x)) + 1 = 3(degree of g(x)), which is impossible. Thus, $y^3 - x \in K[y]$ is irreducible over K.

Now if β_1 and β_2 are two roots of $y^3 - x$ in its splitting field, then $\beta_1^3 = x = \beta_2^3$. But then $(\beta_1 - \beta_2)^3 = \beta_1^3 + (-1)^3 \beta_2^3 = 0$, and, hence $\beta_1 - \beta_2 = 0$.

This shows that $y^3 - x$ has only one distinct root whose multiplicity is 3. This completes the solution.

4. **FINITE FIELDS**

In this section we show that an irreducible polynomial over a finite field has only simple roots. Hence, it will follow then that the only fields over which an irreducible polynomial may have multiple roots are infinite fields of characteristic $p \neq 0$.

Definition 4.1 : A field is called prime if it has no proper subfield.

Note :

- 1. Every field F contains a prime field F_p , which is precisely the intersection of the family of its subfields, called the prime field of F.
- 2. \mathbb{Q} and \mathbb{Z}_p , where p is prime are prime fields.

Theorem 4.1 : The prime field of a field *F* is either isomorphic to \mathbb{Q} or \mathbb{Z}_p , where *p* is prime.

Proof : Define the mapping $f : \mathbb{Z} \to F$ given by f(n) = ne, *e* the unity of *F*. Then clearly *f* is a homomorphism.

Case 1 : Ker f = (0) (or, equivalently, char F is 0).

Then f is an embedding of \mathbb{Z} into F.

This embedding can be extended to an embedding $f^*: \mathbb{Q} \to F$ defined by $f^*(m/n) = me/ne$.

Thus, \mathbb{Q} embeds in *F*, and hence, the prime field of *F* is isomorphic to \mathbb{Q} .

Case 2 : Ker $f \neq (0)$.

Since \mathbb{Z} is a PID, Ker f = (m), m a positive integer.

By the fundamental theorem of homomorphism of rings $\mathbb{Z}_m \simeq \text{Im } f$.

This shows that \mathbb{Z}_m , being isomorphic to a subring of the field F, has no proper divisors of zero, so m must be a prime number p.

Thus, \mathbb{Z}_p embeds in F.

Hence, the prime field of *F* is isomorphic to \mathbb{Z}_{p} .

Theorem 4.2 : Let F be a finite field. Then

- (i) The characteristic of F is a prime number p and F contains a subfield $F_p \simeq \mathbb{Z}_p$.
- (ii) The number of elements of F is p^n for some positive integer n.

Proof : i) Let characteristic of F is a prime number p.

Since every field F contains a prime field F_p , by theorem 4.1, F contains a subfield $F_p \simeq \mathbb{Z}_p$.

To prove (ii), we regard F as a vector space over its prime field F_p .

Let $(e_1,...,e_n)$ be a basis of F over F_p . Then any element $x \in F$ can be written uniquely as

$$x = a_1 e_1 + \ldots + a_n e_n, \quad a_i \in F_p, i = 1, 2, \ldots, n.$$

Since each a_i , in the above expression for x be chosen in p ways, the number of elements of F is thus p^n .

Definition 4.2 : A finite field is called a Galois field. A Galois field with p^n elements is denoted by $GF(p^n)$.

Theorem 4.3 : Any finite field *F* with p^n elements is the splitting field of $x^{p^n} - x \in F_p[x]$. Consequently, any two finite fields with p^n elements are isomorphic.

Proof : In the finite field *F* with p^n elements the nonzero elements form a multiplicative group of order $p^n - 1$.

Thus, if $0 \neq \lambda \in F$, then $\lambda^{p^n-1} = 1$, so $\lambda^{p^n} = \lambda$.

Also, if $\lambda = 0$, then $\lambda^{p^n} = \lambda$.

Hence, all the p^n elements of F satisfy the equation $x^{p^n} - x = 0$.

Since $x^{p^n} - x \in F_p[x]$ has only p^n roots, every element of F is a root of $x^{p^n} - x$.

Hence finite field F with p^n elements is the splitting field of $x^{p^n} - x \in F_p[x]$.

Let *E* and *F* be two finite fields with p^n elements. By Theorem 4.2, *E* and *F* contain subfields E_p and F_p , each of *p* elements.

Also, E and F are splitting fields of $x^{p^n} - x$ over E_p and F_p , respectively.

But since $E_p \simeq \mathbb{Z}_p \simeq F_p$, it follows by uniqueness of splitting fields (up to isomorphism) that $E \simeq F$. This proves the theorem.

Theorem 4.4 : For each prime p and each positive integer $n \ge 1$ the roots of $x^{p^n} - x \in \mathbb{Z}_p[x]$ in its splitting field over \mathbb{Z}_p are all distinct and form a field F with p^n elements. Also, F is the splitting field of $x^{p^n} - x$ over \mathbb{Z}_p .

Proof: Let $f(x) = x^{p^n} - x \in \mathbb{Z}_p[x]$.

Since $f'(x) = p^n x^{p^n-1} - 1 \neq 0$, by Corollary 3.2, f(x) cannot have multiple roots. Thus, f(x) has all its p^n distinct roots.

We show that these roots form a field that is the splitting field of f(x) over \mathbb{Z}_p . Let α and β be roots, where β is different from zero. Then

$$(\alpha \pm \beta)^{p^n} = \alpha^{p^n} \pm \beta^{p^n} = \alpha \pm \beta$$
 and $(\alpha \beta^{-1})^{p^n} = \alpha^{p^n} (\beta^{p^n})^{-1} = \alpha \beta^{-1}$

Thus, the set of roots of f(x) forms a subfield of the splitting field f(x) over \mathbb{Z}_p with p^n elements and, therefore, coincides with the splitting field.

Theorem 4.5 : If *F* is a finite field with p^n elements and *m* is a positive integer, then there exists an extension field *E* of *F* such that [E:F] = m, and all such extensions are isomorphic.

Proof : Let \overline{F} be the algebraic closure of F.

Consider the polynomial $f(x) = x^{p^{mn}} - x \in F[x]$.

Since the multiplicative group of *F* is of order $p^n - 1$, for $0 \neq u \in F$, $u^{p^n - 1} = 1$,.

Also, since
$$(p^n - 1)(p^{n(m-1)} + p^{n(m-2)} + ... + 1) = p^{nm} - 1$$
, $(p^n - 1)|(p^{mn} - 1)$.

which gives $u^{p^{mn}-1} = 1$; that is, $u^{p^{mn}} = u$.

This shows that each element of F satisfies f(x).

By Theorem 4.4 the p^{mn} roots of f(x) are distinct and form a field E.

Therefore $\mathbb{Z}_p \simeq F_p \subset F \subset E \subset \overline{F}$ and $[F:F_p] = n, [E:F_p] = mn$.

Hence [E:F] = m.

Theorem 4.6 : The multiplicative group of nonzero elements of a finite field is cyclic.

Proof : Let F^* be the multiplicative group of nonzero elements of F.

Since F^* is finite abelian group, we can find an element $\alpha \in F^*$ whose order r is the l.c.m. of the orders of all the elements of F^* .

Then the order of each element of F^* divides r.

Hence, for all $a \in F^*$, $a^r = 1$.

Since the polynomial $x^r - 1$ has at most r roots in F, it follows that the number of elements in $F^* \le r$.

However, $1, \alpha, ..., \alpha^{r-1}$ are all distinct and belong to F^* .

Thus, F^* is generated by α . Hence F^* is cyclic.

Corollary 4.7 : Let *E* be a finite extension of a finite field *F*. Then $E = F(\alpha)$ for some $\alpha \in E$.

Proof: Let *E* is a finite extension of a finite field *F* and [E:F] = n.

Then *E* is an *n* dimensional vector space over *F* and hence $|E| = |F|^n$.

Thus *E* is finite field. Then by above theorem, multiplicative group E^* of nonzero elements of *E* is a cyclic group generated by $\alpha \in E$.

Therefore *E* itself is the smallest subfield of *E* containing *F* and α . Hence $E = F(\alpha)$.

Theorem 4.8 : Let F be a finite field. Then there exists an irreducible polynomial of any given degree n over F.

Proof : F be a finite field. Then, by the Theorem 4.5, there exists an extension E of F of any given degree n.

Then by Corollary 4.7, E = F(a), for some $\alpha \in E$.

Since *E* is a finite extension of *F*, $\alpha \in E$ is algebraic over *F*.

Let p(x) be the minimal polynomial of α over F.

Then $[F(\alpha):F]$ = degree of p(x).

But since E = F(a), and [E:F] = n, we have an irreducible polynomial p(x) of degree *n* over *F*.

Example 4.1 : Show that every finite extension of a finite field is normal.

Solution : Let *E* be finite extension of a finite field *F* and [E:F] = n.

Then *E* is also finite and E^* , the multiplicative group of *E* is cyclic and generated by *u* such that $u^{n-1} = 1$.

Therefore *u* is a root of $x^n - x \in F[x]$.

All other roots of $x^n - x \in F[x]$ are zero and power of u.

Therefore all roots of $x^n - x \in F[x]$ are in *E*.

Hence *E* is splitting field of $x^n - x \in F[x]$.

Therefore E is normal extension of F.

Example 4.2 : Show that a finite field F of p^n elements has exactly one subfield with p^m elements for each divisor m of n.

Solution : We know that a cyclic group of order n has a unique subgroup of order d for each divisor d of n. Let m be divisor of n.

Now consider the cyclic group $F^* = F - \{0\}$ of order $p^n - 1$.

Since $m \mid n$,

$$p^{n}-1=(p^{m}-1)(p^{m(d-1)}+p^{m(d-2)}+\ldots+p^{m}+1), n=md.$$

Thus $p^m - 1$ divides $p^n - 1$.

Then there exists a unique subgroup H of F^* of order $p^m - 1$.

So for all $x \in H$, $x^{p^m-1} = 1$.

Hence, $x^{p^m} = x$ for all $x \in H \cup \{0\}$.

Since the roots of $x^{p^m} = x$ form a field, $H \cup \{0\}$ is the unique subfield of *F* of order p^m .

Example 4.3 : If $f(x) \in F[x]$ is an irreducible polynomial over a finite field *F*, then all the roots of f(x) are distinct.

Solution : Let F be a finite field with p^n elements.

By Corollary 3.3, f(x) has multiple roots if and only if $f(x) = \sum_{i=0}^{m} a_i (x^p)^i$.

Because $a_i \in F$, $a_i^{p^n} = a_i$. Set $b_i = a_i^{p^{n-1}}$.

Thus, f(x) has multiple roots if and only if $f(x) = \sum_{i=0}^{m} (b_i x^i)^p = (\sum_{i=0}^{m} b_i x^i)^p$, a contradiction, because f(x) is irreducible.

Thus, f(x) must have distinct roots.

Example 4.4 : If the multiplicative group F^* of nonzero elements of a field F is cyclic, then F is finite.

Solution : Let $F^* = (\alpha)$, where α generates F^* . If F^* is finite, then F is finite. So assume F^* is an infinite cyclic group.

Case l: The characteristic of F is p > 0.

In this case $F = F_p(\alpha)$, where F_p is the subfield $\{0, 1, 2, \dots, p-1\}$ of F.

If $1 + \alpha = 0$, then $\alpha^2 = 1$, a contradiction, because F^* is infinite.

If $1+\alpha \neq 0$, then $1+\alpha \in F^*$, so $1+\alpha = \alpha^r$, where *r* is some positive or negative integer.

In either case $1 + \alpha = \alpha^r$ yields a polynomial over F_p with α as its root.

Thus, α is algebraic over F_p , so $[F_p(\alpha):F_p]$ = degree of the minimal polynomial of α over $F_p = r$, say.

Then $F = F_p(\alpha)$ has p^r elements, a contradiction.

So either the characteristic of F is 0, or F*must be finite.

Case 2 : The characteristic of F is 0.

Here $0 \neq 1 \in F$. So $-1 = \alpha^r$, where *r* is some positive or integer.

This implies $\alpha^{2r} = 1$; that is, $o(\alpha)$ is finite, a contradiction.

Hence, F^* must be finite, so F must be a finite field.

Example 4.5 : The group of automorphisms of a field *F* with p^n elements is cyclic of order *n* and generated by ϕ , where $\phi(x) = x^p$, $x \in F$. (ϕ is called the Frobenius endomorphism.)

Solution : Let *F* be a field with p^n elements.

Let Aut(F) denote the group of automorphisms of F.

Clearly, the mapping $\phi: F \to F$, defined by $\phi(x) = x^p$, is a homomorphism.

Let $x^p = y^p \implies (x - y)^p = 0 \implies x = y$.

This shows that ϕ is 1-1 and, hence, onto.

Thus, $\phi \in Aut(F)$.

We note that ϕ^n = identity because $\phi^n(x) = x^{p^n} = x$ for all $x \in F$.

Let *d* be the order of ϕ . We have $\phi^d(x) = x^{p^d}$ for all $x \in F$.

Hence, each $x \in F$ is a root of the equation $t^{p^d} - t = 0$.

This equation has p^d roots. It follows that $d \ge n$, hence d = n.

Let α be a generator of the multiplicative cyclic group F^* .

Then $F = F_p(\alpha)$, where F_p is the subfield of F with p elements.

Let f(x) be the minimal polynomial of α over F_p .

Clearly, the degree of f(x) = n.

We are interested in counting the number of extensions of the identity mapping $\lambda: F_p \to F$ to an automorphism $\lambda^*: F \to F$.

This will then give us all the automorphisms of F, because, clearly, any automorphism of F keeps each element of F_p fixed.

By Lemma it follows that the number of automorphisms of F is equal to the distinct roots of f(x).

However, by Example 4.3, f(x) has all its roots distinct. Thus, the order of the group Aut(F) is n.

We showed in the beginning that there exists an element $\phi \in Aut(F)$ such that the order of ϕ is *n*. Hence, Aut(F) is a cyclic group generated by ϕ .

Example 4.6 : Let *a* and *b* be two elements of finite field *F*, then prove that there exists elements α and β in *F* such that $1 + a\alpha^2 + b\beta^2 = 0$.

Solution : Let *F* be finite field with characteristic p = 2.

Then F contains 2^n elements.

Therefore, every element of satisfies $x^{2^n} - x$.

Then,

$$a^{2^n} = a$$
, for all $a \in F$

$$\Rightarrow a^{2^{n-1} \cdot 2} = a, \text{ for all } a \in F$$
$$\Rightarrow \left(a^{2^{n-1}}\right)^2 = a, \text{ for all } a \in F.$$

Thus every element of F is a square.

Now if $a, b \in F$, $a^{-1} \in F \Longrightarrow a^{-1} = \alpha^2$, for some $\alpha \in F$.

Then for $\beta = 0$ we have

$$1 + a\alpha^2 + b\beta^2 = 1 + a a^{-1} + 0$$

= 1 + 1 = 0 (char F p = 2)

Thus $1 + a\alpha^2 + b\beta^2 = 0$ if F be finite field with characteristic p = 2.

Next if *F* be finite field with characteristic $p \neq 2$, then *F* has p^n elements. Let $W_a = \{1 + ax^2 \mid x \in F\}$.

Then if $1 + ax^2 = 1 + ay^2$, for some $x, y \in F \implies x = \pm y$.

Thus for all
$$x, -x \in F$$
, $1 + ax^2 \in W_a$

Also $0 \in F \Longrightarrow 1 \in W_a$.

Therefore W_a contains $1 + \frac{p^n - 1}{2} = \frac{p^n + 1}{2}$ elements.

Similarly, $W_b = \{-bx^2 \mid x \in F\}$ contains $\frac{p^n + 1}{2}$ elements.

Since W_a and W_b more than half elements of F, $W_a \cap W_b \neq \phi$.

Let
$$c \in W_a \cap W_b$$
 then $c = 1 + a\alpha^2 = -b\beta^2 \Longrightarrow 1 + a\alpha^2 + b\beta^2 = 0$ for some $\alpha, \beta \in F$.

5. SEPARABLE EXTENSIONS

Definition 5.1 : An irreducible polynomial $f(x) \in F[x]$ is called a separable polynomial if all its roots are simple.

Any polynomial $f(x) \in F[x]$ is called separable if all its irreducible factors are separable.

A polynomial that is not separable is called inseparable.

Definition 5.2 : Let *E* be an extension of a field *F*. An element $\alpha \in E$ that is algebraic over *F* is called separable over *F* if its minimal polynomial over *F* is separable.

Definition 5.3 : An algebraic extension E of a field F is called a separable extension if each element of E is separable over F.

Note :

- 1. By Corollary 3.4, any polynomial over a field of characteristic zero is separable. Thus, if F is a field of characteristic 0, then any algebraic extension of F is separable.
- 2. We know that, irreducible polynomials over finite fields have distinct roots. Hence, any algebraic extension of a finite field is separable.
- We know that, if K = F(x) be the field of rational functions in one variable x over a field F of characteristic 3. Then the polynomial y³ x in the polynomial ring K[y] over K is irreducible over K. Also, y³ x has all its roots equal, each being α, say. Hence, K(α) is not a separable extension of K.

Definition 5.4 : A field F is called perfect if each of its algebraic extensions is separable.

Note.

- 1. Fields of characteristic zero and finite fields are perfect fields.
- 2. Infinite fields of characteristic p > 0 have inseparable extensions. Thus, such fields are not, in general, perfect.

Definition 5.5 : An extension *E* of a field *F* is called a simple extension if $E = F(\alpha)$ for some $\alpha \in E$.

Theorem 5.1 : If E is a finite separable extension of a field F, then E is a simple extension of F.

Proof : If F is a finite field, then by Corollary 4.7, each finite extension E of F is simple.

So suppose now that F is infinite.

Since *E* is a finite extension of *F*, $E = F(a_1, ..., a_n)$, where $a_i \in E, 1 \le i \le n$, are algebraic over *F*.

We first show that if $E = F(\alpha, \beta)$, then there exists an element $\theta \in E$ such that $E = F(\theta)$. Then the result will follow by induction.

Let p(x) and q(x) be the minimal polynomials for α and β , respectively, over F. Let the roots of p(x) be $\alpha = \alpha_1, \dots, \alpha_n$, and let those of q(x) be $\beta = \beta_1, \dots, \beta_m$.

Since *E* is a separable extension of *F*, all α_i , $1 \le i \le n$, and all β_j , $1 \le j \le m$, are distinct.

Since *F* is infinite, there exists $a \in F$ such that $a \neq (\alpha_i - \alpha)/(\beta - \beta_j)$ for $1 \le i \le n, 2 \le j \le m$. Then $a(\beta - \beta_j) \ne \alpha_i - \alpha$. So $a\beta + \alpha \ne \alpha_i + a\beta_j$ for $j \ne 1$. Set $\theta = a\beta + \alpha$. Then $\theta - a\beta_j \ne \alpha_i$ for all $1 \le i \le n$ and $2 \le j \le m$. Define $h(x) = p(\theta - ax) \in F(\theta)[x]$. Then $h(\beta) = p(\alpha) = 0$ and $h(\beta_j) = p(\theta - a\beta_j) \ne 0$ for $j \ne 1$. So β is a root of h(x), but no $\beta_j, j \ne 1$ is a root of h(x). Also, β is a root of q(x). Regard $q(x) \in F(\theta)[x]$. Let $A(x) \in F(\theta)[x]$ be the minimal polynomial of β over $F(\theta)$. Therefore A(x) | h(x) and A(x) | q(x). Then any root of A(x) is a root of q(x) as well as a root of h(x). But the only common root of q(x) and h(x) is β . Therefore, $A(x) = x - \beta$. This implies that $\beta \in F(\theta)$. Then since $\theta = a\beta + \alpha, \ \alpha \in F(\theta)$. Hence, $F(\alpha, \beta) = F(\theta)$.

Theorem 5.2 : Let E be a finite extension of a field F. Then the following are equivalent.

(a) $E = F(\alpha)$ for some $\alpha \in E$.

(b) There are only a finite number of intermediate fields between F and E. **Proof :** (a) \Rightarrow (b) Let $f(x) \in F[x]$ be the minimal polynomial of α over F. Let K be a subfield of E containing F, and let g(x) be the minimal polynomial of α over K.

Then since g(x) is in K[x], and $f(\alpha) = 0$, g(x) | f(x).
If K' is the subfield of K containing F and the coefficients of the polynomial g(x),

then $g(x) \in K'[x]$, being irreducible over K, is also irreducible over K'.

Also, $E = F(\alpha)$ implies $K(\alpha) = K'(\alpha) = E$.

Thus, [E:K] = degree of g(x) = [E:K'].

Hence, K = K'.

Consider the mapping σ from the family of intermediate fields to the divisors of f(x) in E[x], given by $\sigma(K) = g(x)$, the minimal polynomial of α over K.

Then σ is 1-1. Since there are only finitely many divisors of f(x), the family of intermediate fields between *F* and *E* is also finite.

(b) \Rightarrow (a) If *F* is a finite field, then *E* is a finite field, and the result follows from Corollary 4.7.

So assume *F* is infinite. We first prove that for any two elements $\alpha, \beta \in E$ there is an element $\gamma \in E$ such that $F(\alpha, \beta) = F(\gamma)$.

For each $a \in F$ consider the linear combination $\gamma_a = \alpha + \alpha \beta$ of α and β .

The fields $F(\gamma_a)$ are intermediate fields between F and E.

Because there are only a finite number of intermediate fields, there exist $a, b \in F, a \neq b$, such that $F(\gamma_a) = F(\gamma_b)$.

But then $\gamma_a, \gamma_b \in F(\gamma_b)$ implies $\gamma_a, \gamma_b \in F(\gamma_b)$.

Thus, $(a-b)\beta \in F(\gamma_b)$, and, hence, $\beta \in F(\gamma_b)$.

Then $\gamma_b = \alpha + b\beta \in F(\gamma_b)$ implies $\alpha \in F(\gamma_b)$.

Therefore, $F(\alpha, \beta) \subset F(\gamma_b)$.

Since $F(\gamma_b) \subset F(\alpha, \beta)$, our assertion is proved.

We now choose $u \in E$ such that [F(u): F] is as large as possible.

Then we claim E = F(u). Otherwise let $x \in E, x \notin F(u)$.

We can find an element $t \in E$ such that F(t) contains both u and x, with $F(t) \supseteq F(u)$.

This contradicts the choice of u. Hence, E = F(u).

Example 5.1 : Let *E* be an extension of a field *F*, and let $\alpha \in E$ be algebraic over *F*. Then α is separable over *F* if $F(\alpha)$ is a separable extension of *F*.

Solution : Let $\beta \in F(\alpha)$. We show that β is separable over F.

We have $F \subseteq F(\beta) \subseteq F(\alpha)$.

Let *L* be an algebraically closed field, and let $\sigma: F \to L$ be an embedding.

Suppose $p_1(x)$ is the minimal polynomial of β over F that has m distinct roots.

Then by Lemma, there are *m* distinct extensions, say $\sigma_1, ..., \sigma_m$, of σ to $F(\beta)$.

Further, let $p_2(x)$ be the minimal polynomial of α over $F(\beta)$, and suppose $p_2(x)$ has *n* distinct roots.

Then again by the same lemma, for each σ_i , $1 \le i \le m$, there are exactly *n* extensions σ_{ij} , $1 \le j \le n$, to $F(\alpha)$.

It is clear that the set of *mn* embeddings $(\sigma_{ij}), 1 \le j \le n, 1 \le i \le m$, are the only possible embeddings from $F(\alpha)$ to *L* that extend $\sigma: F \to L$. Now let $p_3(x)$ be the minimal polynomial of α over *F*. Then

 $[F(\alpha):F] = \text{degree } p_3(x).$

= number of distinct roots of $p_3(x)$, since α is separable over F.

= number of extensions of the embedding σ to $F(\alpha)$.

Moreover, α is separable over F implies α is separable over $F(\beta)$, and, hence, by the same reasoning as in the previous paragraph,

 $[F(\alpha):F(\beta)] = \text{degree } p_2(x).$

= number of distinct roots of $p_2(x)$.

= number of extensions of each σ_i to $F(\alpha)$.

= n.

Also,

 $[F(\beta):F] = \text{degree } p_1(x).$ = number of distinct roots of $p_1(x)$. = number of extensions of the embedding σ to $F(\beta)$. = m.

Thus, $mn = [F(\alpha):F] = [F(\alpha):F(\beta)][F(\beta):F] = n \cdot \text{degree } p_1(x).$

Hence, $m = \text{degree } p_1(x) = \text{the number of distinct roots of } p_1(x)$. Thus,

 $p_1(x)$ is a separable polynomial. Hence, β is separable over F.

Example 5.2 : Let $F \subset E \subset K$ be three fields such that *E* is a finite separable extension of *F*, and *K* is a finite separable extension of *E*. Then *K* is a finite separable extension of *F*.

Solution : From Theorem 5.1 we know that $E = F(\alpha)$, $K = E(\beta)$ for some $\alpha \in E, \beta \in K$. Let $\gamma \in F(\alpha, \beta), \gamma \notin F(\alpha)$.

Then $F(\alpha)$ is a finite separable extension of F, and γ is a separable element over $F(\alpha)$.

We prove that γ is separable over F.

 $p_1(x)$ = the minimal polynomial of α over F with m,

 $p_2(x)$ = the minimal polynomial of γ over $F(\alpha)$ with degree n,

 $p_3(x)$ = the minimal polynomial of γ over F with degree s,

 $p_4(x)$ = the minimal polynomial of α over $F(\gamma)$ with degree t.

Let $\sigma: F \to L$ be an embedding of F into an algebraically closed field L. Since α is separable over F, there are exactly m extensions $(\sigma_i), 1 \le i \le m, \sigma$ of σ to $F(\alpha)$

Also, since γ is separable over $F(\alpha)$, again by Lemma , there are exactly *n* extensions of each σ_i to $F(\alpha, \gamma)$.

Let us these *n* extensions $\sigma_{i1}, \ldots, \sigma_{in}$, where $1 \le i \le m$.

Therefore, there are precisely mn extensions of $\sigma: F \to L$ to $\sigma_{ii}: F(\alpha, \gamma) \to L, \ 1 \le i \le m, 1 \le j \le n.$

By considering extensions of $\sigma: F \to L$ to $F(\alpha, \gamma)$ via $F(\gamma)$, we obtain similarly that there are precisely *st* extensions to $F(\alpha, \gamma)$. Hence, mn = st.

Suppose γ is not separable over F. Then the number of extensions of σ to $F(\gamma)$ is < s.

This implies that the number of extensions of σ to $F(\alpha, \gamma)$ is $\langle st = mn$, a contradiction. Hence, γ is separable over F.

Example 5.3 : If *K* is a field of characteristic $p \neq 0$, then *K* is perfect if and only if $K^p = K$ (i.e., if and only if every element of *K* has p^{th} root in *K*). **Solution :** Suppose *K* is perfect. Let *a* be any element of *K*.

Let

We claim that there is an element b in K such that $a = b^{p}$.

We must show that the polynomial $f(x) = x^{p} - a$ has a root in K.

Let b be a root of f(x) in some extension field of K.

Since K is perfect, b is separable over $K = K(a) = K(b^{p})$.

Let p(x) be the minimal polynomial for b over K.

Since *b* is a root of $x^p - b^p$ in K[x], p(x) is a factor of $x^p - b^p$ in K[x].

In K[x] we have the decomposition $x^p - b^p = (x-b)^p$.

So p(x) is a power of x-b. But b is separable over K, so p(x) has no multiple roots. Hence, p(x) = x-b.

Because $p(x) \in K[x]$, it follows that $b \in K$.

Conversely, suppose that every element of K is the p^{th} power of an element of K. To show that K is perfect, we show that every irreducible polynomial of K[x] has distinct roots.

Let $p(x) \in K[x]$ be irreducible.

Now if roots of p(x) are not distinct.

Then by Corollary 3.4, p(x) has the form $a_0 + a_1 x^p + a_2 x^{2p} + \ldots + a_n x^{np}$, where $a_0, \ldots, a_n \in K$.

By hypothesis there exist elements $b_0, \dots, b_n \in K$ such that $a_i = b_i^p$ $(i = 0, 1, \dots, n)$. Then, since K has characteristic p, $p(x) = (b_0 + b_1 x + \dots + b_n x^n)^p$, which is a contradiction.

Thus every irreducible polynomial of K[x] has distinct roots. Hence, K is perfect.

EXERCISE :

- **1.** Determine the splitting field of $x^4 + x^2 + 1$ over \mathbb{Q} .
- **2.** Find the degree of splitting field over \mathbb{Q} of $x^4 + 2$.
- 3. If $F = \mathbb{Q}(\sqrt{2}, \sqrt[3]{2})$, find $[F:\mathbb{Q}]$ and prove that F is not normal over \mathbb{Q} .
- 4. Verify that (f(x) + g(x))' = f'(x) + g'(x).
- 5. Construct fields with 4, 8, 9 and 16 elements.
- **6.** Prove that a finite extension of a finite field is separable.
- 7. Prove that every extension of \mathbb{Q} is separable.
- 8. Show that the field generated by a root of $x^3 x 1$ over \mathbb{Q} is not normal over \mathbb{Q} .
- 9. Prove that every finite extension of a finite field is normal.
- **10.** Prove that in any finite field any element can be written as the sum of two squares



UNIT - III

GALIOS THEORY

UNIT I : AUTOMORPHISM GROUPS AND FIXED FIELDS : Recall :

- (i) Any finite separable extension E of a field F is simple i.e. E = F(a) for some $a \in E$.
- (ii) For any field E, the set Aut (E) of all automorphisms of E forms a group under the composition of mappings.

Note : Throughout this section, we confine ourselves to finite separable extension and their groups of automorphisms.

Definition 1.1 : Let *F* be a field and *E* be an extension of *F*. An automorphism σ of *E* is called an *F*-automorphism if σ fixes all elements of *F*.

Then $G(E/F) = \{ \sigma \in \operatorname{Aut}(E) | \sigma(a) = a \forall a \in F \}$ is called the group of *F*-automorphisms of *E*. Note that G(E/F) is a subgroup of Aut (*E*).

Theorem 1.1 : Let *E* be a finite separable extension of a field *F*. Then

 $|G(E/F)| \leq [E:F]$

Proof : Any finite separable extension E of F is a simple extension of F.

i.e. E = F(a) for some $a \in E$.

Let p(x) be the minimal polynomial of a over F and deg (p(x)) = n. Then,

 $[E:F] = [F(a):E] = \deg(p(x)) = n$

Now, we know that if $\sigma: F \longrightarrow L$ be an embedding of *F* into an algebraically closed field *L*. Then σ can be extended to an embedding $\eta: E \longrightarrow L$ and the number of such extensions is equal to the number of distinct roots of the minimal polynomial p(x) of *a* over *F*.

Since the extension E of F is separable, the minimal polynomial p(x) of a over F has distinct roots in L.

Here consider $\sigma: F \longrightarrow L$ to be the identity map of *F*. Then σ can be extended to an embedding $\eta: E \longrightarrow L$ ($\eta \mid_F$ is the identity map of *F*) and the number of such extensions which fix all elements of *F* is equal to the degree of p(x).

$$\therefore |G(E/F)| \le n = [E:F]$$

EXAMPLES :

1) Consider
$$G = G(\mathbb{C}/\mathbb{R})$$

Let $a, b \in \mathbb{R}$ and $\sigma \in G$
Then $\sigma(a+ib) = \sigma(a) + \sigma(i)\sigma(b)$
 $= a + \sigma(i)b$ (since σ fixes all elements of \mathbb{R})
Also, $-1 = \sigma(-1) = \sigma(i^2) = \sigma(i) \cdot \sigma(i)$
i.e. $\sigma(i)^2 = -1$
 $\Rightarrow \sigma(i) = \pm i$
Hence, $\sigma(a+ib) = a + \sigma(i)b = a \pm ib$
Thus, *G* contains only two \mathbb{R} -automorphisms of \mathbb{C} .
Hence, $|G| = 2$.
Therefore, *G* is a cyclic group (since 2 is a prime)

Consider $G = G\left(\mathbb{Q}\left(\sqrt[3]{2}\right)/\mathbb{Q}\right)$ 2)

> $\left[\mathbb{Q}\left(\sqrt[3]{2}\right):\mathbb{Q}\right] = 3$ since $x^3 - 2$ is the minimal polynomial for $\sqrt[3]{2}$ over \mathbb{Q} . And $\{1, \sqrt[3]{2}, \sqrt[3]{4}\}\$ is a basis of $\mathbb{Q}(\sqrt[3]{2})$ over \mathbb{Q} . Let $a, b, c \in \mathbb{Q}$ and $\sigma \in G$ Then $\sigma(a + \sqrt[3]{2}b + \sqrt[3]{4}c) = \sigma(a) + \sigma(b)(\sqrt[3]{2}) + \sigma(c)(\sqrt[3]{4})$ $=a+b\sigma(\sqrt[3]{2})+c\sigma(\sqrt[3]{4})$ Also, $(\sigma(\sqrt[3]{2}))^3 = \sigma(\sqrt[3]{2})^3 = \sigma(2) = 2$ $\therefore \sigma(\sqrt[3]{2})$ is a cube root of 2. $\therefore \sigma(\sqrt[3]{2}) = \sqrt[3]{2}, \sqrt[3]{2}\omega$ or $\sqrt[3]{2}\omega^2$ where $\omega^3 = 1$. But $\omega \neq 1$. Since $\sigma(\sqrt[3]{2}) \in \mathbb{O}(\sqrt[3]{2}) \subset \mathbb{R}$, $\sigma(\sqrt[3]{2})$ is real. \therefore The only possibility is $\sigma(\sqrt[3]{2}) = \sqrt[3]{2}$. $\therefore \sigma(a + \sqrt[3]{2}b + \sqrt[3]{4}c) = a + \sqrt[3]{2}b + \sqrt[3]{4}c$ Hence, σ is the identity and G is the trivial group.

Definition 1.2: Let *E* be any field and let *H* be a subgroup of the group of automorphisms of *E*, Aut (*E*). Then the set $E_H = \{a \in E \mid \sigma(a) = a \forall \sigma \in H\}$ is called the fixed field of *H*.

Note that E_H is a subfield of E. Suppose $a, b \in E_H$. Then $\sigma(a) = a$ and $\sigma(b) = b$, $\forall \sigma \in H$. $\therefore \sigma(a-b) = \sigma(a) - \sigma(b)$ =a-b $\forall \sigma \in H$ $\Rightarrow a - b \in E_H$ (80)

Now suppose $a, b (\neq 0) \in E_H$

Then
$$\sigma(ab^{-1}) = \sigma(a) \cdot \sigma(b)^{-1}$$

= ab^{-1} $\forall \sigma \in H$
 $\Rightarrow ab^{-1} \in E_H$

 $\therefore E_H$ is a subfield of *E*.

Also note that if *E* is a field extension of *F* and H < G(E/F) then,

$$F \subseteq E_H \subseteq E$$

Theorem 1.2 : (Dedekind theorem) : Let *F* and *E* be fields, and let $\sigma_1, \sigma_2, ..., \sigma_n$ be distinct embeddings of *F* into *E*. Suppose that, for

$$a_1, a_2, \dots, a_n \in E$$
, $\sum_{i=1}^n a_i \sigma_i(a) = 0$ $\forall a \in F$

Then, $a_i = 0$ for all i = 1, 2,, n

(i.e. distinct embeddings of F into E are linearly independent over E)

Proof : Suppose, if possible that there exist $a_1, a_2, ..., a_n \in E$ not all zero, such that,

$$a_1\sigma_1(a) + a_2\sigma_2(a) + \dots + a_n\sigma_n(a) = 0 \qquad \forall a \in E$$

Then we can find such a relation having a few non-zero coefficients as possible. On renumering, we can assume that this relation is

 $b_1\sigma_1(a) + b_2\sigma_2(a) + \dots + b_m\sigma_m(a) = 0 \qquad \forall a \in E \qquad \dots \dots (1)$ Clearly, m > 1

Otherwise if m = 1 then $b_1 \sigma_1(a) = 0 \quad \forall a \in E$

In particular if a = 1 then $b_1 \sigma_1(1) = b_1 = 0$ which is contradiction since all b_i 's are non-zero.

Therefore, m > 1

Now, $\sigma_1 \neq \sigma_m$ and hence there exists an element $c \in E$ such that $\sigma_1(c) \neq \sigma_m(c)$. The equation (1) holds for all $a \in E$ and, in particular for $ca \forall a \in E$.

$$\therefore b_1 \sigma_1(ca) + b_2 \sigma_2(ca) + \dots + b_m \sigma_m(ca) = 0$$

and hence,

$$b_1\sigma_1(c)\sigma_1(a) + b_2\sigma_2(c)\sigma_2(a) + \dots + b_m\sigma_m(c)\sigma_m(a) = 0$$
(2)

Multiplying eqn. (1) by $\sigma_1(c)$ and substracting it from eqn. (2) we get,

$$b_2(\sigma_2(c) - \sigma_1(c))\sigma_2(a) + \dots + b_m(\sigma_m(c) - \sigma_1(c))\sigma_m(a) = 0 \quad \forall a \in E$$

This is a contradiction to the choice of equation (1), since

 $b_m(\sigma_m(c) - \sigma_1(c)) \neq 0$

Therefore, $a_i = 0$ for all i = 1, 2, ..., n

Theorem 1.3: Let H be a finite subgroup of the group of automorphisms of a field E. Then,

 $\left[E:E_{H}\right] = |H|$

Proof: Let $H = \{e = g_1, g_2, ..., g_n\}$ and let

 $\begin{bmatrix} E : E_H \end{bmatrix} = m$

Suppose m < n.

Let $\{a_1, a_2, \dots, a_m\}$ be a basis of *E* over E_H .

Consider the system of m homogeneous linear equations

$$g_1(a_j)x_1 + g_2(a_j)x_2 + \dots + g_n(a_j)x_n = 0$$

j = 1, 2, ..., m, in 'n' unknowns $x_1, x_2, ..., x_n$.

Because n > m, this system has a nontrivial solution.

So there exist $y_1, y_2, ..., y_n \in E$, not all zero, such that

$$g_1(a_j)y_1 + g_2(a_j)y_2 + \dots + g_n(a_j)y_n = 0$$

 $\forall j = 1, 2, \dots, m$

Let $a \in E$ be any element. Then,

$$a = \alpha_{1}a_{1} + \alpha_{2}a_{2} + \dots + \alpha_{m}a_{m} \text{ where } \alpha_{1}, \dots, \alpha_{m} \in E_{H}.$$

$$\therefore g_{1}(a) y_{1} + g_{2}(a) y_{2} + \dots + g_{n}(a) y_{n}$$

$$= g_{1}\left(\sum_{i=1}^{m} \alpha_{i}a_{i}\right) y_{1} + g_{2}\left(\sum_{i=1}^{m} \alpha_{i}a_{i}\right) y_{2} + \dots + g_{n}\left(\sum_{i=1}^{m} \alpha_{i}a_{i}\right) y_{n}$$

$$= \sum_{i=1}^{m} \alpha_{i}g_{1}(a_{i}) y_{1} + \dots + \sum_{i=1}^{m} \alpha_{i}g_{n}(a_{i}) y_{n}$$

$$= \sum_{i=1}^{m} \alpha_{i}\left(g_{1}(a_{i}) y_{1} + g_{2}(a_{i}) y_{2} + \dots + g_{n}(a_{i}) y_{n}\right) = 0$$

$$g_{2}(a_{2})\left(y_{2}g(y_{1}) - g(y_{2}) y_{1}\right) + \dots + g_{j}(a_{r})\left(y_{r}g(y_{1}) - g(y_{r}) y_{1}\right) = 0 \quad \dots \dots (5)$$

For $j = 1, 2, \dots, n$

(5) is a system equations like (3) but with fewer terms, which is contradiction unless all the coefficients $y_i g(y_1) - y_1 g(y_i) = 0 \quad \forall i = 1, 2, ..., r$

If this happens i.e.
$$y_i g(y_1) - y_1 g(y_i) = 0$$

Then $y_i y_1^{-1} = g(y_i y_1^{-1}) \quad \forall g \in H$.
Thus, $y_i y_1^{-1} \in E_H$
 $\Rightarrow \exists z_1, z_2, \dots, z_r \in E_H$ such that $y_i = y_1 z_i$,
for $i = 1, 2, \dots, r$
In relation (3), take $j = 1$, we get
 $g_1(a_1) y_1 z_i + \dots + g_1(a_r) y_1 z_r = 0$
 $\Rightarrow g_1(a_1) z_1 + \dots + g_1(a_r) z_r = 0$ ($\because y_1 \neq 0$)

Since
$$z_i \in E_H$$
, $g_1(z_i) = z_i$ $\forall i = 1, 2, ..., r$
 $\therefore g_1(a_1)g(z_1) + ..., + g_1(a_r)g_1(z_r) = 0$
 $\Rightarrow g_1(a_1z_1 + a_2z_2 + ..., + a_rz_r) = 0$

Since $g_1 \in H < \operatorname{Aut}(E)$, g_1 is one-one homomorphism on E.

 $\therefore a_1 z_1 + a_2 z_2 + \dots + a_r z_r = 0$

But $a_1, a_2, ..., a_r$ are linearly independent over E_H .

:. We get $z_1 = z_2 = \dots = 0 = z_r$.

 \Rightarrow y₁ = 0 = y₂ = = y_r which is contradiction to the fact that

 $y_i \neq 0 \quad \forall i = 1, 2, \dots, r$.

Hence, we have $[E:E_H] = n = |H|$.

Theorem 1.4 : Let *E* be a finite separable extension of a field *F*, let H < G(E/F).

Then $G(E/E_H) = H$ and $[E:E_H] = |G(E/E_H)|$

Proof: If $\sigma \in H$ then $\sigma(a) = a \quad \forall a \in E_H$

$$\therefore \sigma \in G(E / E_H)$$

$$\therefore H < G(E / E_H) \qquad \dots \dots (1)$$

But from the theorem 1.3, we have

$$|H| = [E:E_H] \qquad \dots (2)$$

Also by the theorem 1.1, we have

$$\left|G\left(E/E_{H}\right)\right| \leq \left[E:E_{H}\right] \tag{3}$$

From (1), (2) and (3) we get $|H| \le |G(E/E_H)| \le [E:E_H] = |H|$

$$\therefore H = G(E / E_H) \text{ and } [E : E_H] = |G(E / E_H)|$$

Theorem 1.5 : Let *E* be a finite separable extension of a field *F*. Then the following are equivalent

- (i) E is a normal extension of F.
- (ii) F is the fixed field of G(E/F).

(iii)
$$[E:F] = |G(E/F)|$$
.

Proof: Since *E* is a finite separable extension of *F*, $E = F(\alpha)$ for some $\alpha \in E$.

Let p(x) be the minimal polynomial of α over F, and let its degree be n.

Then $[E:F] = [F(\alpha):F] = n$.

Let E_0 be the fixed field of G(E/F) i.e.

$$E_0 = \left\{ s \in E \mid \sigma(s) = s \,\forall \sigma \in G(E/F) \right\}$$

Then $F \subseteq E_0 \subseteq E$ and by the theorem 1.4,

$$[E:E_0] = |G(E/F)|$$

Claim : (i) \Rightarrow (ii)

As we know the number of extensions of the inclusion mapping $F \longrightarrow \overline{F}$ to the embedding $F(\alpha) \longrightarrow \overline{F}$ is equal to the number of distinct roots of p(x).

Since *E* is separable extension of *F*, $\alpha \in E$ is a separable element i.e. its minimal polynomial p(x) over *F* has distinct roots.

So the number of distinct roots of p(x) is equal to $n(= \deg p(x))$.

Also, $E = F(\alpha)$ is a normal extension of F. So E contains all the roots of p(x).

Hence, any embedding $\sigma: F(\alpha) \longrightarrow \overline{F}$ shall map $F(\alpha)$ onto $F(\alpha)$.

Hence, any member of G(E/F) is an extension of the inclusion mapping $F \longrightarrow \overline{F}$.

$$\therefore |G(E/F)| =$$
 number of distinct roots of $p(x) = n$

$$\therefore [E:F] = n = |G(E/F)| = [E:E_0]$$

and
$$[E:F] = [E:E_0][E_0:F]$$

 $\Rightarrow n = n[E_0:F]$
 $\Rightarrow [E_0:F] = 1$
 $\Rightarrow E_0 = F$ i.e. fixed field of $G(E/F)$ is F.

Claim : (ii) \Rightarrow (i)

Let
$$G(E/F) = \{\sigma_1 = \text{identity}, \sigma_2, \dots, \sigma_n\}$$

Consider the polynomial $f(x) = (x - \sigma_1(\alpha))(x - \sigma_2(\alpha))....(x - \sigma_n(\alpha)).$

Now, each $\sigma_i \in G(E/F)$ induces a natural homomorphism $\sigma_i^* : E[X] \longrightarrow E[X]$ as,

$$\sigma_i^* (a_0 + a_1 x + \dots + a_m x^m) = \sigma_i(a_0) + \sigma_i(a_1) x + \dots + \sigma_i(a_m) x^m$$

So $\sigma_i^* (f(x)) = (x - (\sigma_i \sigma_1)(\alpha)) (x - (\sigma_i \sigma_2)(\alpha)) \dots (x - (\sigma_i \sigma_n)(\alpha))$

But since $\sigma_i \sigma_1$, $\sigma_i \sigma_2$,, $\sigma_i \sigma_n$ are distinct members of G(E/F) and are only a permutation of σ_1 , σ_2 ,, σ_n .

$$\therefore \sigma_i^*(f(x)) = f(x) \quad \forall i = 1, 2, \dots, n$$

Now by expanding f(x) we have,

$$f(x) = x^{n} - c_{1}x^{n-1} + c_{2}x^{n-2} + \dots + (-1)^{n}c_{n}$$

where $c_{i} \in E$
Now, $\sigma_{i}^{*}(f(x)) = f(x)$ implies
 $\sigma_{i}(c_{j}) = c_{j}$ $\forall i, j = 1, 2, \dots, n$
 $\Rightarrow c_{j}$ is in the fixed field of $G(E/F)$, which is F .
 $\Rightarrow c_{j} \in F$ $\forall j = 1, 2, \dots, n$

 $\Rightarrow f(x) \in F[x]$

Also, all the roots of f(x) which are $\sigma_1(\alpha)$, $\sigma_2(\alpha)$, $\sigma_n(\alpha)$ lie in E.

Now, $E = F(\alpha)$ and α is one of the roots of f(x), E is the splitting field of $f(x) \in F[x]$.

 $\Rightarrow E$ is a normal extension of *F*. Hence the proof.

Claim: (ii) \Rightarrow (iii)

F is the fixed field of G(E/F).

Hence, by the theorem 1.4.

[E:F] = |G(E/F)|

Claim: (iii) \Rightarrow (ii)

Let E_0 be the fixed of G(E/F) then,

$$F \subseteq E_0 \subseteq E$$

Also, $[E:E_0] = |G(E/F)| = [E:F]$
 $\Rightarrow E_0 = F$ i.e. *F* is the fixed field of $G(E/F)$.

EXERCISES :

1. Let $f(x) \in F[x]$ has *r* distinct roots in its splitting field *E* over *F*. Then prove that G(E/F) is isomorphic to a subgroup of the symmetric group S_r of degree *r*.

Solution : Let a_1, a_2, \dots, a_r be all the distinct roots of f(x) in its splitting E over F.

For any $\sigma \in G(E/F)$, $\sigma(a_i)$ is again a root of f(x) in *E*.

Also, $\sigma(a_i) \neq \sigma(a_j)$ for $i \neq j$ since σ is *F*-automorphism.

Thus, $\sigma(a_1)$, $\sigma(a_2)$,, $\sigma(a_r)$ is a permutation of $a_1, a_2, ..., a_r$ and let us denote this permutation by ϕ_{σ} .

Therefore, $\phi_{\sigma} \in S_r$ for each $\sigma \in G(E/F)$.

Define $\phi: G(E/F) \longrightarrow S_r$ by

 $\phi(\sigma) = \phi_{\sigma}$

Claim : ϕ is well-defined.

Let $\sigma_1, \sigma_2 \in G(E/F)$ such that $\sigma_1 = \sigma_2$ $\Rightarrow \sigma_1(a_i) = \sigma_2(a_i) \quad \forall i = 1, 2, ..., r$

Thus, $\sigma_1(a_1)$, $\sigma_1(a_2)$,, $\sigma_1(a_r)$ is a same permutation of $a_1, a_2, ..., a_r$ as $\sigma_2(a_1), ..., \sigma_2(a_r)$.

$$\Rightarrow \phi_{\sigma_1} = \phi_{\sigma_2}$$
$$\Rightarrow \phi(\sigma_1) = \phi(\sigma_2)$$

Hence, ϕ is well-defined.

Claim : ϕ is a group homomorphism

Let
$$\sigma, \eta \in G(E/F)$$
,
 $\phi(\sigma \circ \eta)(a_i) = (\sigma \circ \eta)(a_i)$
 $= \sigma(\eta(a_i)) = (\phi(\sigma) \circ \phi(\eta))(a_i)$
 $= (\phi_{\sigma}\phi_{\eta})(a_i) \quad \forall i = 1, 2,, r$

 $\Rightarrow \phi(\sigma \circ \eta) = \phi_{\sigma} \circ \phi_{\eta}$

Hence, ϕ is a group homomorphism.

Now, $\ker \phi = \{ \sigma \in G(E/F) | \phi(\sigma) = \text{identity in } S_r \}$

If $\sigma \in \ker \phi$ then $\phi(\sigma) = \text{Identity in } S_r(Id)$.

$$\Rightarrow \sigma(a_i) = a_i \qquad \forall \ 1 \le i \le r$$

 $\Rightarrow \sigma = Id$ since *E* is the splitting field of f(x) over *F*, $E = F(a_1, a_2, ..., a_r)$

i.e. E is generated by $a_1, a_2, ..., a_r$ over F.

and σ fixes all the generators of *E* over *F*.

Hence, it should fix all the elements of E.

i.e. $\sigma = Id$.

$$\Rightarrow \ker \phi = \{Id\}$$

 $\Rightarrow \phi$ is an injective group-homomorphism.

 $\therefore G(E/F) \approx \phi(G(E/F))$ which is a subgroup of S_r .

2) The group $G(\mathbb{Q}(\alpha)/\mathbb{Q})$, where $\alpha^5 = 1$ and $\alpha \neq 1$, is isomorphic to the cyclic group of order 4.

Solution : $\alpha^5 = 1$ $\Rightarrow \alpha^5 - 1 = 0$ $\Rightarrow (\alpha - 1)(\alpha^4 + \alpha^3 + \alpha^2 + \alpha + 1) = 0$ Since $\alpha \neq 1 \Rightarrow \alpha^4 + \alpha^3 + \alpha^2 + \alpha + 1 = 0$ Hence, α is a root of a polynomial $p(x) = x^4 + x^3 + x^2 + x + 1 \in \mathbb{Q}[x]$ p(x) is a cyclotomic polynomial over \mathbb{Q} . $\therefore p(x)$ is irreducible over \mathbb{Q} . $\therefore [\mathbb{Q}(\alpha) : \mathbb{Q}] = \deg p(x) = 4$. Also, the roots of $x^5 - 1$ are $1, \alpha, \alpha^2, \alpha^3, \alpha^4$. So $\mathbb{Q}(\alpha)$ is the splitting field of $x^5 - 1$ over \mathbb{Q} . Hence, $\mathbb{Q}(\alpha)$ is a normal extension of \mathbb{Q} .

$$\therefore |G(\mathbb{Q}(\alpha)/\mathbb{Q})| = [\mathbb{Q}(\alpha):\mathbb{Q}] = 4$$

A basis of $\mathbb{Q}(\alpha)$ over \mathbb{Q} is $\{1, \alpha, \alpha^2, \alpha^3\}$.

 \therefore Any element of $\mathbb{Q}(\alpha)$ looks like

 $a_0 + a_1 \alpha + a_2 \alpha^2 + a_3 \alpha^3, \ a_i \in \mathbb{Q}$

The four \mathbb{Q} -automorphism of $\mathbb{Q}(\alpha)$ are as follows :

 $\sigma_{1}: a_{0} + a_{1}\alpha + a_{2}\alpha^{2} + a_{3}\alpha^{3} \rightarrow a_{0} + a_{1}\alpha + a_{2}\alpha^{2} + a_{3}\alpha^{3}$ $\sigma_{2}: a_{0} + a_{1}\alpha + a_{2}\alpha^{2} + a_{3}\alpha^{3} \rightarrow a_{0} + a_{1}\alpha^{2} + a_{2}\alpha^{4} + a_{3}\alpha^{6}$ $= a_{0} + a_{1}\alpha^{2} + a_{2}\alpha^{4} + a_{3}\alpha$ $\sigma_{3}: a_{0} + a_{1}\alpha + a_{2}\alpha^{2} + a_{3}\alpha^{3} \rightarrow a_{0} + a_{1}\alpha^{3} + a_{2}\alpha^{6} + a_{3}\alpha^{9}$ $= a_{0} + a_{1}\alpha^{3} + a_{2}\alpha + a_{3}\alpha^{4}$ $\sigma_{4}: a_{0} + a_{1}\alpha + a_{2}\alpha^{2} + a_{3}\alpha^{3} \rightarrow a_{0} + a_{1}\alpha^{4} + a_{2}\alpha^{8} + a_{3}\alpha^{12}$ $= a_{0} + a_{1}\alpha^{4} + a_{2}\alpha^{3} + a_{3}\alpha^{2}$

and order of σ_2 and σ_3 in $G(\mathbb{Q}(\alpha)/\mathbb{Q})$ is 4.

$$\therefore G(\mathbb{Q}(\alpha)/\mathbb{Q})$$
 is a cyclic group of order 4.

3) Let $E = \mathbb{Q}(w, \sqrt[3]{2})$, where $w^3 = 1$ but $w \neq 1$ and let *H* be the subgroup of $G(E/\mathbb{Q})$ given by $H = \{Id, \sigma\}$ where $\sigma: E \longrightarrow E$ is defined by $\sigma(a) = a \quad \forall a \in \mathbb{Q}, \sigma(w) = w^2$ and $\sigma(\sqrt[3]{2}) = \sqrt[3]{2}w^2$. Then find the fixed field E_H .

Solution : Let $c = \sqrt[3]{2}$

Then *c* is a real no. such that $c^3 = 2$.

We are given that $E = \mathbb{Q}(w, c)$ and $H = \{Id, \sigma\}$

where σ is defined by σ / \mathbb{Q} = identity on \mathbb{Q} .

and $\sigma(w) = w^2$ and $\sigma(c) = cw^2$.

Note that $\mathbb{Q} \subset \mathbb{Q}(c) \subset \mathbb{Q}(c, w) = E$.

 $\{1, c, c^2\}$ is a basis of $\mathbb{Q}(c)$ over \mathbb{Q} .

and $\{1, w\}$ is a basis of $\mathbb{Q}(w, c)$ over $\mathbb{Q}(c)$.

 \therefore The basis of *E* over \mathbb{Q} is $\{1, c, c^2, w, cw, c^2w\}$.

Consider $a \in E$. Then,

$$a = r_0 + r_1 c + r_2 c^2 + r_3 w + r_4 c w + r_5 c^2 w$$

where $r_i \in \mathbb{Q}$.

Now,
$$\sigma(a) = r_0 + r_1 c w^2 + r_2 c^2 w + r_3 w^2 + r_4 c w + r_5 c^2$$

= $r_0 + r_1 c (-1 - w) + r_2 c^2 w + r_3 (-1 - w) + r_4 c w + r_5 c^2$
(Since 1 + $w + w^2 = 0 \Rightarrow w^2 = -1 = w$)

$$(Since 1 + w + w^{2} = 0 \Rightarrow w^{2} = -1 - w)$$

$$\therefore \sigma(a) = (r_{0} - r_{3}) + (-r_{1})c + r_{5}c^{2} + (-r_{3})w + (-r_{1} + r_{4})cw + r_{2}c^{2}w$$

$$\therefore \sigma(a) = a$$

$$\Rightarrow r_{0} - r_{3} = r_{0}, -r_{1} = r_{1}, r_{2} = r_{5}, r_{3} = -r_{3}, r_{4} = -r_{1} + r_{4} \text{ and } r_{5} = r_{2}$$

$$\Rightarrow r_{3} = 0, r_{1} = 0, r_{2} = r_{5}.$$

$$\therefore a = r_{0} + r_{2}c^{2} + r_{4}cw + r_{2}c^{2}w$$

$$= r_{0} + r_{2}c^{2}(1 + w) + r_{4}cw$$

$$\therefore a = r_{0} + r_{4}cw - r_{2}(cw)^{2} \in \mathbb{Q}(cw)$$

 $\therefore E_H \subseteq \mathbb{Q}(cw)$

On the other hand if $a \in \mathbb{Q}(cw)$.

Then $a = r_0 + r_1 c_W + r_2 (c_W)^2$ for some $r_i \in \mathbb{Q}$ and $\sigma(a) = a$.

Hence, $a \in E_H \Rightarrow \mathbb{Q}(cw) \subseteq E_H$.

Thus, the fixed field E_H of *H* is equal to $\mathbb{Q}(cw) = \mathbb{Q}(\sqrt[3]{2}w)$.

PROBLEMS :

1. Let $E = \mathbb{Q}(\sqrt[3]{2}, w)$ be an extension of a field \mathbb{Q} , where $w^3 = 1$, $w \neq 1$.

For each of the following subgroups S_i of the group $G(E/\mathbb{Q})$ find E_{S_i} .

(a)
$$S_1 = \{ Id, \sigma_2 \}$$
 where $\sigma_2 : \begin{cases} \sqrt[3]{2} \to \sqrt[3]{2}w \\ w \to w^2 \end{cases}$

(b)
$$S_2 = \{ Id, \sigma_3 \}$$
 where $\sigma_3 : \begin{cases} \sqrt[3]{2} \to \sqrt[3]{2}w \\ w \to w^2 \end{cases}$

(c)
$$S_3 = \{ Id, \sigma_4, \sigma_5 \}$$
 where

$$\sigma_4: \begin{cases} \sqrt[3]{2} \to \sqrt[3]{2}w \\ w \to w \end{cases} \text{ and } \sigma_5: \begin{cases} \sqrt[3]{2} \to \sqrt[3]{2}w^2 \\ w \to w \end{cases}$$

- 2. Let *E* be the spliting field of $x^4 x^2 + 1$ over the field of rationals \mathbb{Q} . Then determine the group $G(E/\mathbb{Q})$.
- 3. Let $a \neq 1$ and $a^5 = 1$. Then prove that $\mathbb{Q}(a)$ is a normal extension of \mathbb{Q} and that $G(\mathbb{Q}(a)/\mathbb{Q})$ is isomorphic to \mathbb{Z}_4 , the group of integers modulo 4.

UNIT II : FUNDAMENTAL THEOREM OF GALOIS THEORY

Definition 2.1 : Let $f(x) \in F[x]$ be a polynomial, and let *K* be its splitting field over *F*. Then the group G(K/F) of *F*-automorphisms of *K* is called the Galois group of f(x) over *F*.

Definition 2.2: A finite, normal and separable extension E of a field F is called a Galois extension of F.

Example : If $f(x) \in F[x]$ is a polynomial over a field *F* of characteristic zero, then its splitting field *E* over *F* is a Galois extension of *F*.

Theorem 2.1 (Fundamental Theorem of Galois Theory)

Let *E* be a Galois extension of *F*. Let *K* be any subfield of *E* containing *F* (i.e. $E \subseteq K \subseteq F$). Then the mapping $K \longrightarrow G(E/K)$ sets up a one-to-one correspondence from the set of subfields of *E* containing *F* to the subgroups of G(E/F) such that

(i)
$$K = E_{G(E/K)}$$

(ii) For any subgroup H of G(E/F), $H = G(E/E_H)$.

(iii)
$$[E:K] = |G(E/K)|, [K:F] = \text{ index of } G(E/K) \text{ in } G(E/F).$$

- (iv) K is a normal extension of F if and only if G(E/K) is a normal subgroup of G(E/F).
- (v) If K is a normal extension of F then $G(K/F) \approx G(E/F)/G(E/K)$.

Proof:

- (i) E is a normal extension of K.
 - \therefore The fixed field of G(E/K) is K.

i.e.
$$K = E_{G(E/K)}$$
.

- (ii) As *E* is Galois extension of *F*, this extension is a finite separable extension of *F*. Now, H < G(E/F). Then clearly $H = G(E/E_H)$.
- (iii) As *E* is a normal extension of *F*, and $E \supseteq K \supseteq F$ then *E* is also a normal extension of *K*.
 - \therefore We have [E:F] = |G(E/F)| and [E:K] = |G(E/K)|

Thus, [E:F] = [E:K][K:F] gives

$$|G(E/F)| = |G(E/K)| \cdot [K:F]$$

 $\Rightarrow [K:F] = \text{index of } G(E/K) \text{ in } G(E/F).$

(iv) Let \overline{F} be an algebraic closure of F containing E. As we know if K is a normal of F if and only if each embedding $\sigma: K \longrightarrow \overline{F}$, which keeps each element of F fixed, maps K onto K.

Claim : *K* is a normal extension of *F* if and only if for each $\sigma \in G(E/F)$, $\sigma(K) = K$. If *K* is a normal extension of *F* and $\sigma \in G(E/F)$ then σ restricted to *K* is an embedding of *K* into *E* and hence into \overline{F} . Therefore, $\sigma(K) = K$.

Conversely, let $\sigma: K \longrightarrow \overline{F}$ be an embedding that keeps each element of F fixed.

 $\therefore \sigma$ can be extended to $\sigma^*: E \longrightarrow \overline{F}$. But then $\sigma^*(E) = E$, because *E* is a normal extension of *F*. Thus, $\sigma^* \in G(E/F)$.

As $\sigma^{*}(K) = K$, $\sigma(K) = K$. Therefore, *K* is a normal extension of *F*. This proves our claim.

Therefore, *K* is a normal extension of *F* if and only if for all $\sigma \in G(E/F)$ and $k \in K$, $\sigma(k) \in K$.

Then for all $\tau \in G(E/K)$, $\tau(\sigma(k)) = \sigma(k)$.

 $\Rightarrow (\sigma^{-1}\tau\sigma)(k) = k \qquad \forall \ k \in K \,.$

Hence, $\sigma^{-1}\tau\sigma \in G(E/K)$.

 $\Rightarrow G(E/K) \triangleleft G(E/F)$

Conversely, suppose $G(E/K) \triangleleft G(E/F)$.

Then $\tau(\sigma(k)) = \sigma(k) \quad \forall \tau \in G(E/K).$

 $\Rightarrow \sigma(k) \in K$.

Therefore, K is a normal extension of F.

(v) Let K be a normal extension of F.

We know that $\sigma \in G(E/F)$ then $\sigma(k) = k$.

Thus, σ induces an automorphism σ^* of *K* defined by $\sigma^*(k) = k$, $k \in K$.

 $\Rightarrow \sigma^* \in G(K/F)$

Conider the mapping $f: G(E/F) \longrightarrow G(K/F)$ defined by,

 $f(\sigma) = \sigma^*$

Let $\sigma_1, \sigma_2 \in G(E/F)$. Then,

$$(\sigma_1 * \sigma_2 *)(k) = \sigma_1 * (\sigma_2 * (k)) = \sigma_1 * (\sigma_2 (k))$$

$$=(\sigma_1\sigma_2)(k)$$

Therefore, $(\sigma_1 \sigma_2)^* = \sigma_1^* \sigma_2^*$. Thus, *f* is a homomorphim of G(E/F).

 $\ker f = \{ \sigma \in G(E/F) \mid \sigma^* = \text{ identity} \}$

But, $\sigma^* =$ identity if and only if $\sigma^*(k) = k \quad \forall k \in K$.

That is
$$\sigma(k) = k \quad \forall k \in K$$
, so $\sigma \in G(E/K)$.

Hence, ker f = G(E/K).

Then by the fundamental theorem of homomorphisms,

$$\frac{G(E/F)}{G(E/K)} \simeq \operatorname{Im} f \subset G(K/F).$$

From (iii), we get

$$\left|\frac{G(E/F)}{G(E/K)}\right| = [K:F]$$

Since, K is a normal extension over F,

$$|G(K/F)| = [K:F]$$

$$\therefore \left| \frac{G(E/F)}{G(E/K)} \right| \le |\operatorname{Im} f| < |G(K/F)| = [K:F]$$

$$\therefore \frac{G(E/F)}{G(E/K)} \simeq G(K/F)$$

EXAMPLES:

1. The Galois group of $x^3 - 2 \in \mathbb{Q}[x]$ is the group of symmetries of the triangle i.e. S_3 .

Solution : Let *E* be the splitting field of $x^3 - 2$ over \mathbb{Q} .

Hence, $E = \mathbb{Q}(\sqrt[3]{2}, w)$, where *w* is the root of the irreducible polynomial $x^2 + x + 1$ in $\mathbb{Q}(\sqrt[3]{2})$.

Hence,
$$E = \mathbb{Q}(\sqrt[3]{2}, w)$$

$$\begin{cases} 2 \\ \mathbb{Q}(\sqrt[3]{2}) \\ \\ \end{bmatrix} 3 \\ \mathbb{Q} \\ \end{bmatrix}$$
Basis is $\{1, \sqrt[3]{2}, \sqrt[3]{4}\}$

$$\mathbb{Q}$$
Hence $[E:\mathbb{Q}] = 6$

Hence, $[E:\mathbb{Q}] = 6$

Also, $x^3 - 2 = (x - \sqrt[3]{2})(x - \sqrt[3]{2}w)(x - \sqrt[3]{2}w^2)$

Since, *E* is a normal extension of \mathbb{Q} and $[E : \mathbb{Q}] = 6$, $|G(E / \mathbb{Q})| = 6$.

These six automorphisms of *E* are determined by the manner in which they transform the roots of $x^3 - 2$.

The root $\sqrt[3]{2}$ can have only three images namely $\sqrt[3]{2}_W$ and $\sqrt[3]{2}_W^2$.

And the root w can have only two images, namely w and w^2 .

The Galois group $G(E/\mathbb{Q})$ of x^3-2 over \mathbb{Q} is given by the table, where

$$G(E/\mathbb{Q}) = \{Id, \sigma, \sigma^2, \tau, \sigma\tau, \sigma^2\tau\}$$

	Id	σ	σ^2	τ	στ	$\sigma^2 \tau$
$2^{\frac{1}{3}}$	$2^{\frac{1}{3}}$	$w\sqrt[3]{2}$	$w^2\sqrt[3]{2}$	∛2	$w\sqrt[3]{2}$	$w^{2}\sqrt[3]{2}$
w	W	W	W	<i>w</i> ²	w ²	w ²

 $S_3 = \{(1), (1,2,3), (1,3,2), (1,2), (1,3), (2,3)\}$

Define an iomorphism from $G(E/\mathbb{Q})$ to S_3 as

 $\sigma \mapsto (1,2,3)$ and $\tau \mapsto (1,2)$

Thus, $G(E/\mathbb{Q}) \cong S_3$.

2. Let *F* be field of characteristic $\neq 2$.

Let $x^2 - a \in [x]$ be an irreducible ploynomial over *F*. Then its Galois group is of order 2.

Solution : If α is a root of $x^2 - a$ then $-\alpha$ is the other root.

Also, $\alpha \neq -\alpha$ since $\operatorname{Ch} F \neq 2$.

Thus, $x^2 - a$ is separable over *F*.

Hence, the splitting field $F(\alpha)$ of $x^2 - a$ over *F* is a finite, separable and normal extension of degree 2 over *F*.

$$\therefore |G(F(\alpha)/F)| = 2$$

3. The Galois group of $x^4 - 2 \in \mathbb{Q}[x]$ is the octic group (i.e. group of symmetries of a square, D_4).

Solution : $x^4 - 2$ is irreducible over \mathbb{Q} and its roots are $\sqrt[4]{2}$, $i\sqrt[4]{2}$, $-i\sqrt[4]{2}$, $-\sqrt[4]{2}$.

- \therefore Spliting field of $x^4 2$ over \mathbb{Q} is $\mathbb{Q}(\sqrt[4]{2}, i)$.
- Now, $x^2 + 1$ is irreducible polynomial over $\mathbb{Q}(\sqrt[4]{2})$.

$$\therefore \left[\mathbb{Q}(\sqrt[4]{2}, i) : \mathbb{Q} \right] = 8$$
As, $\mathbb{Q}(\sqrt[4]{2}, i)$

$$\begin{cases} 2 \\ \mathbb{Q}(\sqrt[4]{2}) \\ \end{bmatrix} 2$$
Basis is $\{1, i\}$

$$\mathbb{Q}(\sqrt[4]{2})$$

$$\begin{cases} 4 \\ \mathbb{Q} \end{bmatrix}$$
Basis is $\{\sqrt[4]{2}, 1, \sqrt[4]{4}, \sqrt[4]{8}\}$

$$\mathbb{Q}$$

 $\therefore \text{ Basis for } \mathbb{Q}(\sqrt[4]{2},i) \text{ over } \mathbb{Q} \text{ is } \{1,i,\sqrt[4]{2},\sqrt[4]{2}i,\sqrt[4]{4},\sqrt[4]{4}i,\sqrt[4]{8},\sqrt[4]{8}i\} \}$ Let $\beta \in E = \mathbb{Q}(\sqrt[4]{2},i)$ Then $\beta = a_0 + a_1\sqrt[4]{2} + a_2\sqrt[4]{4} + a_3\sqrt[4]{8} + a_4i + a_5(i\sqrt[4]{2}) + a_6(i\sqrt[4]{4}) + a_7(i\sqrt[4]{8})$ So if $\alpha \in G(E/\mathbb{Q})$ then $\sigma(\beta) = a_0 + a_1\sigma(\sqrt[4]{2}) + a_2\sigma(\sqrt[4]{2})^2 + a_3\sigma(\sqrt[4]{2})^3 + a_4\sigma(i) + a_5\sigma(i)\sigma(\sqrt[4]{2}) + a_6\sigma(i)\sigma(\sqrt[4]{2})^2 + a_7\sigma(i)\sigma(\sqrt[4]{2})^3$ (98) Therefore, σ is determined by $\sigma(i)$ and $\sigma(\sqrt[4]{2})$.

But $\sigma(i)$ must be i or -i and $\sigma(\sqrt[4]{2})$ must be $\sqrt[4]{2}$, $\sqrt[4]{2}i$, $-\sqrt[4]{2}i$, $-\sqrt[4]{2}i$. Since E over \mathbb{Q} is Galois extension,

 $|G(E/\mathbb{Q})| = [E:\mathbb{Q}] = 8$ Let $G(E/\mathbb{Q}) = \{\sigma_1, \sigma_2, \dots, \sigma_8\}$ where $\sigma_1: \sqrt[4]{2} \longrightarrow \sqrt[4]{2}$ $\sigma_2: \sqrt[4]{2} \longrightarrow i\sqrt[4]{2}$ $i \longrightarrow i$ $i \longrightarrow i$ $\sigma_3: \sqrt[4]{2} \longrightarrow -\sqrt[4]{2}$ $\sigma_4: \sqrt[4]{2} \longrightarrow -i\sqrt[4]{2}$ $i \longrightarrow i$ $i \longrightarrow i$ $\sigma_6: \sqrt[4]{2} \longrightarrow i\sqrt[4]{2}$ $\sigma_5: \sqrt[4]{2} \longrightarrow \sqrt[4]{2}$ $i \longrightarrow i$ $i \longrightarrow i$ $\sigma_7: \sqrt[4]{2} \longrightarrow -\sqrt[4]{2}$ $\sigma_8: \sqrt[4]{2} \longrightarrow -i\sqrt[4]{2}$ $i \longrightarrow i$ $i \longrightarrow i$

Let $\alpha_1 = \sqrt[4]{2}$, $\alpha_2 = i\sqrt[4]{2}$, $\alpha_3 = -\sqrt[4]{2}$, $\alpha_4 = -i\sqrt[4]{2}$.



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Then the elements of $G(E/\mathbb{Q})$ permute the roots α_1 , α_2 , α_3 , α_4 of x^4-2 as follows:

σ_1 : 0° rotation;	σ_5 : reflection about d_1 ;
σ_2 :90° rotation;	σ_6 : reflection about l_1 ;
σ_3 :180° rotation;	σ_7 : reflection about d_2 ;
σ_4 : 270° rotation;	σ_8 : reflection about l_2 .

4. Let *n* be a positive integer, and let *F* be a field containing all the *n*th roots of unity. Let *K* be the splitting field of $x^n - a \in F[x]$. Then $K = F(\alpha)$, where α is any root of $x^n - a$, and the Galois group G(K/F) is abelian.

Solution : If $w = e^{2\pi i/n}$ and α is an root of $x^n - a$, then α , αw ,, αw^{n-1} are all the roots of $x^n - a$. Thus, the splitting field of $x^n - a$ over *F* is $K = F(\alpha)$.

Let $\sigma_1, \sigma_2 \in G(K/F)$.

Because α is root of $x^n - a$, $\sigma_1(\alpha)$ and $\sigma_2(\alpha)$ are also roots of $x^n - a$.

$$\therefore \sigma_1(\alpha) = \alpha w^i$$
 and $\sigma_2(\alpha) = \alpha w^j$

For $0 \le i, j \le n-1$. Then

$$(\sigma_{1}\sigma_{2})(\alpha) = \sigma_{1}(\sigma_{2}(\alpha)) = \sigma_{1}(\alpha w^{i})$$
$$= \sigma_{1}(\alpha)\sigma_{1}(w^{i})$$
$$= \sigma_{1}(\alpha)w^{i} \qquad (\because w^{i} \in F)$$
$$= \alpha w^{i+j}$$

Similarly, $(\sigma_2 \sigma_1)(\alpha) = \sigma_2(\sigma_1(\alpha)) = \alpha w^{i+j}$.

Hence, $\sigma_1 \sigma_2 = \sigma_2 \sigma_1$.

Thus, G(K/F) is abelian.

Fundamental Theorem of Algebra

Theorem 2.2 : The field \mathbb{C} has no extension of degree 2.

Proof: Let *K* be a field extension of \mathbb{C} such that $[K : \mathbb{C}] = 2$.

Then $\exists a \in K$ s.t. $K = \mathbb{C}(a)$.

Let p(x) be the minimal polynomial of *a* over \mathbb{C} .

Then degree of p(x) must be zero.

Let $p(x) = a + 2bx + x^2$ where $a, b \in \mathbb{C}$.

Now, $p(x) = (x+b-\sqrt{b^2-a})(x+b+\sqrt{b^2-a})$

Where $b \pm \sqrt{b^2 - a} \in \mathbb{C}$.

This is contradiction, since p(x) is irreducible over \mathbb{C} .

Therefore, the field ${\mathbb C}$ has no extension of degree 2.

Theorem 2.3 : Let $f(x) \in \mathbb{R}[x]$ be of odd degree.

Then f(x) has a real root.

Proof: Without loss of generality, we can assume that f(x) is a monic polynomial.

Suppose
$$f(x) = a_0 + a_1 x + \dots + a_{n-1} x^{n-1} + x^n$$

where *n* is odd, $a_i \in \mathbb{R}$.
Let $s = 1 + |a_0| + |a_1| + \dots + |a_{n-1}|$
Then, $|a_i| \le s - 1 \quad \forall 0 \le i \le n - 1$.
 $\therefore |a_0 + a_1 s + \dots + a_{n-1} s^{n-1}| \le (s - 1)(1 + s + \dots + s^{n-1})$
 $= s^{n-1} < s^n$

Therefore, f(s) > 0. Also

$$f(-s) = a_0 - a_1 s + a_2 s^2 + \dots + (-1)^{n-1} a_{n-1} s^{n-1} + (-s)^n$$

= $a_0 - a_1 s + a_2 s^2 - \dots - s^n$ (:: *n* is odd)
 $\leq s^n - 1 - s^n = -1 < 0$

Therefore, f(-s) < 0 < f(s) and $s \in \mathbb{R}$.

By the intermediate value theorem in analysis, \exists a real number *a* s.t. f(a) = 0. Thus, *a* is a root of f(x) in \mathbb{R} .

Theorem 2.3 : Every polynomial $f(x) \in \mathbb{C}[x]$ factors into linear factors in $\mathbb{C}[x]$.

Proof: Let $f(x) = a_0 + a_1 x + \dots + a_n x^n$, n > 0

and $a_n \neq 0$ be a polynomial in $\mathbb{C}[x]$.

Put
$$g(x) = (x^2 + 1) f(x) \overline{f}(x)$$

= $(x^2 + 1) (a_0 + a_1 x + \dots + a_n x^n) (\overline{a}_0 + \overline{a}_1 x + \dots + \overline{a}_n x^n)$

Then, $g(x) \in \mathbb{R}[x]$.

Let *E* be the splitting field of g(x) over \mathbb{R} .

Then $\mathbb{R} \subseteq \mathbb{C} \subseteq E$ since *i* is a root of g(x).

We prove that $E = \mathbb{C}$.

First observe that $[\mathbb{C}:\mathbb{R}] = 2$ and is a divisor of $[E:\mathbb{R}]$, since

 $[E:\mathbb{R}] = [E:\mathbb{C}][\mathbb{C}:\mathbb{R}] = 2 \cdot [E:\mathbb{C}]$

 $\therefore [E:\mathbb{R}]$ is an even positive integer.

Suppose that $[E:\mathbb{R}] = 2^m q$, where $m, q \in \mathbb{Z}^+$ an q is odd.

Let G be the Galois group $G(E/\mathbb{R})$. Since E is a normal extension of \mathbb{R} .

 $|G| = |G(E/\mathbb{R})| = [E:\mathbb{R}] = 2^m q$

By the Sylow theorem in group theory,

 \exists a subgroup H of G such that $|H| = 2^m$ (i.e. H is a 2-Sylow subgroup of G).

Let E_H be the fixed field of H.

Then
$$\mathbb{R} \subseteq E_H \subseteq E$$
 and
 $2^m q = [E : \mathbb{R}] = [E : E_H][E_H : \mathbb{R}] = |H|[E_H : \mathbb{R}]$
 $= 2^m [E_H : \mathbb{R}]$
 $\Rightarrow [E_H : \mathbb{R}] = q$.

Also, since E_H is a finite separable extension of \mathbb{R} , E_H is a simple extension of \mathbb{R} and hence $E_H = \mathbb{R}(f)$ for some $f \in E_H$.

Let $q(x) = b_0 + b_1 x + \dots + x^q \in \mathbb{R}[x]$ be the minimal polynomial of *b* over \mathbb{R} .

Note that deg $q(x) = q = [\mathbb{R}(b) : \mathbb{R}] = [E_H : \mathbb{R}].$

Now, deg q(x) is odd, therefore q(x) has a real root.

i.e. q(r) = 0 for some real no. r.

$$\Rightarrow$$
 $(x-r)$ is a factor of $q(x)$ in $\mathbb{R}[x]$.

But q(x) is an irreducible polynomial over \mathbb{R} , since q(x) is the minimal polynomial of *b* over \mathbb{R} .

$$\Rightarrow \deg q(x) = 1 = q$$

i.e. $[E_H : \mathbb{R}] = 1 \Rightarrow E_H = \mathbb{R}$ and $[E : \mathbb{R}] = 2^m$

Claim: m = 1

Suppose, if possible m > 1.

Then $[E:\mathbb{C}] = 2^{m-1}$ and hence $|G(E/\mathbb{C})| = 2^{m-1}$.

Again, by the Sylow theorem, $G(E/\mathbb{C})$ has a subgroup S of order 2^{m-2} .

If E_S is the fixed field of S then

 $[E:E_S] = |S| = 2^{m-2}$

Therefore, $[E_S : \mathbb{C}] = 2$, since $[E : \mathbb{C}] = 2^{m-1}$.

This is a contradiction to the fact that \mathbb{C} has no extension of degree 2.

Therefore, m = 1 and $[E : \mathbb{R}] = 2$.

 $\Rightarrow [E:\mathbb{C}] = 1$ and hence $E = \mathbb{C}$.

Thus, \mathbb{C} is the splitting field of g(x).

Thus, \mathbb{C} contains all the roots of g(x) and hence of f(x).

Thus, f(x) completely factors into linear factors in $\mathbb{C}[x]$.

EXERCISE :

- 1. Let $E = \mathbb{Q}(\sqrt{3}, \sqrt{5})$, then find the Galois group $G(E/\mathbb{Q})$.
- 2. Let *a* be a real number such that $\mathbb{Q}(a)$ is a normal extension of \mathbb{Q} for which $[\mathbb{Q}(a):\mathbb{Q}] = 2^m$ where $m \ge 0$.

Prove that there are fields $E_0 = \mathbb{Q} \subset E_1 \subset E_2 \subset \dots \subset E_m = \mathbb{Q}(a)$ such that $[E_{i+1}: E_i] = 2$ for each $1 \le i \le m$.

UNIT III : CYCLOTOMIC POLYNOMIALS AND CYCLIC EXTENSIONS

Roots of Unity and Cyclotomic Polynomials :

Definition 3.1 : Let *E* be a field, and let *n* be a positive integer. An element $w \in E$ is called a primitive *n*th root of unity in *E* if $w^n = 1$ but $w^m \neq 1$ for any positive integer m < n.

Consider $H = \{x \in \mathbb{C} \mid x^n = 1\}$

Note that,

- 1. *H* is a group under multiplication.
- 2. Also, *H* is a cyclic group generated by any primitive n^{th} root *w* of unity.
- 3. There are exactly $\phi(n)$ primitive n^{th} roots of unity for each positive integer *n*, where ϕ is Euler's ϕ -function.

4. These primitive n^{th} roots of unity are $\frac{\cos(2k\pi)}{n} + \frac{i\sin(2k\pi)}{n}$, where k is a positive integer less than n and relatively prime to n.

Theorem 3.1 : Let *F* be a field, and let *U* be a finite subgroup of the multiplicative group $F^* = F - \{0\}$. Then *U* is cyclic.

In particular, the roots of $x^n - 1 \in F[x]$ form a cyclic group.

Proof : As U is a finite subgroup of the multiplicative group F^* , which is abelian, hence U is a finite abelian group.

$$\therefore U \approx S(p_1) \times S(p_2) \times \dots \times S(p_k)$$

where $|S(p_i)| = p_i^{r_i}$ and p_1, p_k, \dots, p_k are distinct primes.

Claim : $S(p_i)$ is cyclic $\forall i = 1, 2, ..., k$.

Let $a \in S(p_i)$ be such that O(a) is maximal say $p_i^{s_i}$.

Because $0(a) | p_i^{r_i}$, we have $s_i \le r_i$.

Also, for each $x \in S(p_i)$, $0(x) = p_i^{t_i} \le p_i^{s_i}$.

Therefore, $x^{p_i^{t_i}} = 1 \Longrightarrow x^{p_i^{s_i}} = 1$.

Hence, $\forall x \in S(p_i), x^{p_i^{S_i}} = 1$.

Because, the equation $x^{p_i^{S_i}} = 1$ has at most $p_i^{S_i}$ roots.

 $\therefore p_i^{s_i} \ge p_i^{r_i} \Longrightarrow s_i = r_i \text{, since } p_i^{r_i} \ge p_i^{s_i}.$

 $\therefore 0(a) = p_i^{r_i} = |S(p_i)|$ and hence $S(p_i)$ is a cyclic group generated by *a*.

Now, we know that if A and B are cyclic groups of orders m and n, respectively with gcd(m,n) = 1, then $A \times B$ is again cyclic.

Here, each $S(p_i)$ is cyclic with cardinality $p_i^{r_i}$, and p_1, p_2, \dots, p_k are distinct primes.

$$\therefore \operatorname{gcd}(p_1^{r_1}, p_2^{r_2}, \dots, p_k^{r_k}) = 1$$

Hence, $S(p_1) \times S(p_2) \times \dots \times S(p_k)$ is cyclic but
 $U \approx S(p_1) \times S(p_2) \times \dots \times S(p_k)$
Hence, U is cyclic.

Theorem 3.2 : Let *F* be a field and let *n* be a positive integer. Then there exists a primitive n^{th} root of unity in some extension *E* of *F* if and only if either char F = 0 or char $F \nmid n$.

Proof: Let $f(x) = x^n - 1 \in F[x]$, and let char F = 0 or char $F \mid n$. Then

 $f'(x) = nx^{n-1} \neq 0$. Thus, f(x) has *n* distinct roots (in its splitting field *E* over *F*), and they form a group, say *H*.

This group H, consisting of the n distinct roots of $x^{n}-1$, is a cyclic group.

Now, if $w \in H$ is a generator of H, then $w^n = 1$, but $w^m \neq 1$ for any positive integer m < n. Hence, w is a primitive n^{th} root of unity in an extension E of F.

Conversely, let w be a primitive n^{th} root of unity in some extension field E of F.

Then, 1, w,
$$w^2$$
, ..., w^{n-1} are *n* distinct roots of $f(x) = x^n - 1$

Otherewise if $w^i = w^j$ with $0 \le j \le i \le n-1$ then $w^{i-j} = 1$ with 0 < i - j < n which is contradiction to the fact that *w* is a primitive *n*th root of unity.

So f(x) doesn't possess multiple roots. $\Rightarrow f'(x) = nx^{n-1} \neq 0$ $\Rightarrow \operatorname{char} F = 0 \text{ or char } F \mid n.$

Definition 3.2: Let *n* be a positive integer, and let *F* be a field of characteristic zero or characteristic p / n. Then the polynomial $\Phi_n(x) = \prod_w (x - w)$, where the product runs over all the primitive n^{th} roots *w* of unity (i.e. the primitive n^{th} root of $x^n - 1$ over *F*) is called the n^{th} cyclotomic polynomial.

For example,
$$\Phi_1(x) = x - 1$$
, $\Phi_2(x) = x + 1$, $\Phi_3(x) = x^2 + x + 1$,

$$\Phi_5(x) = x^4 + x^3 + x^2 + x + 1.$$

Theorem 3.3 : $\Phi_n(x) = \prod_w (x - w)$, *w* primitive *n*th root in \mathbb{C} , is an irreducible polynomial of degree $\phi(n)$ in $\mathbb{Z}[x]$ (where ϕ is Euler's ϕ -function).

Proof: Let *E* be the splitting field of $x^n - 1 \in \mathbb{Q}[x]$.

Hence, the fixed field of $G(E / \mathbb{Q}) = \mathbb{Q}$.

Hence, any $\sigma \in G(E/\mathbb{Q})$, $\sigma(w)$ is again a primitive n^{th} root of unity, for any primitive n^{th} root w of unity.

Also, the induced mapping $\sigma^*: E[x] \longrightarrow E[x]$ keeps $\Phi_n(x)$ unaltered.

Thus, the coefficient of $\Phi_n(x)$ lie in the fixed field of $G(E/\mathbb{Q})$ i.e. $\Phi_n(x) \in \mathbb{Q}[x]$.
But, $\Phi_n(x)$ is a factor of $x^n - 1$ and $\Phi_n(x)$ is monic implies $\Phi_n(x) \in \mathbb{Z}[x]$.

Also, the number of primitive n^{th} roots of unity is $\phi(n)$ implies $\Phi_n(x)$ is of degree $\phi(n)$.

Claim: $\Phi_n(x)$ is irreducible over \mathbb{Z} .

Let $f(x) \in \mathbb{Z}[x]$ be an irreducible factor of $\Phi_n(x)$ and let w be a root of f(x), where w is a primitive n^{th} root of unity.

Claim : If p is a prime such that p doesn't divide n then w^p is also a root of f(x).

Note that w^p is also a primitive n^{th} root of unity.

 $\Rightarrow w^p$ is also a generator of the cyclic group consisting of the roots of $x^n - 1$.

Because $f(x) \in \mathbb{Z}[x]$ is a factor of $\Phi_n(x)$, there exists $h(x) \in \mathbb{Z}[x]$ such that

 $\Phi_n(x) = f(x)h(x).$

So if w^p is not a root of f(x), it must be a root of h(x).

Thus, w is a root of $h(x^p)$. So f(x) and $h(x^p)$ have a common factor over some extension of \mathbb{Q} . But this implies f(x) and $h(x^p)$ have a common factor over \mathbb{Q} .

Also, f(x) is irreducible over \mathbb{Z} and hence over \mathbb{Q} . We get f(x) divides $h(x^p)$.

 $\therefore h(x^p) = f(x) \cdot g(x)$

g(x) is also a monic polynomial over \mathbb{Z} , since f(x) and $h(x^p)$ are monic polynomial over \mathbb{Z} .

Let $\overline{f}(x) = f(x) \pmod{p}$ and $\overline{h}(x) = h(x) \pmod{p}$.

i.e. polynomials obtained from f(x) and h(x) by replacing their coefficients $a \in \mathbb{Z}$ with $\overline{a} \in \mathbb{Z}_p$. Now, $a^p \equiv a \pmod{p}$ $\forall a \in \mathbb{Z}$.

 $\therefore \overline{h}(x^p) = (\overline{h}(x))^p$

So $h(x^p) = f(x) \cdot g(x)$ gives that $\overline{h}(x)$ and $\overline{f}(x)$ have a common factor.

Thus, $\overline{\Phi_n}(x) = f(x)\overline{h}(x)$ and $\Phi_n(x)|_{(x^n-1)}$, we get that $x^n - \overline{1}$ has multiple roots. But this is not possible. For if α is a multiple root, then the derivative of $x^n - \overline{1}$ should vanish at $x = \alpha$; i.e. $\overline{n}\alpha^{n-1} = 0 \Rightarrow \alpha^{n-1} = 0$, since characteristic $p \mid n$.

 $\Rightarrow \alpha = 0$ but α is not a root of $x^n - 1$, we get a contradiction.

Thus, w is a root of f(x), then w^p is also a root of f(x).

Now, any primitive n^{th} root of unity can be obtained by raising w to a succession of prime powers, with primes not dividing n, this implies that all primitive n^{th} roots of unity are roots of f(x).

Hence, $f(x) = \Phi_n(x)$ i.e. $\Phi_n(x)$ is irreducible over \mathbb{Z} .

Theorem 3.4 : Let *w* be a primitive *n*th root of unity in \mathbb{C} . Then $\mathbb{Q}(w)$ is the splitting field of $\Phi_n(x)$ and also of $x^n - 1 \in \mathbb{Q}[x]$.

Further, $[\mathbb{Q}(w):\mathbb{Q}] = \phi(n) = [G(\mathbb{Q}(w)/\mathbb{Q})]$ and $G(\mathbb{Q}(w)/\mathbb{Q}) \simeq \mathbb{Z}_n^*$, the multiplicative group formed by the units of \mathbb{Z}_n .

Proof : The minimal polynomial of w is $\Phi_n(x)$ and $\mathbb{Q}(w)$ contains a primitive n^{th} root of unity, it contains all n^{th} roots of unity.

 $\therefore \mathbb{Q}(w)$ is the splitting field of $\Phi_n(x)$ and of $x^n - 1$.

Also, $[\mathbb{Q}(w):\mathbb{Q}]$ = degree of $\Phi_n(x) = \phi(n)$.

Since, $\mathbb{Q}(w)$ is a finite, separable and normal extension of \mathbb{Q} (i.e. Galois extension of $\Phi_n(x)$ over \mathbb{Q}),

$$|G(\mathbb{Q}(w)/\mathbb{Q})| = \phi(n) = [\mathbb{Q}(w):\mathbb{Q}]$$

If $\sigma \in G(\mathbb{Q}(w)/\mathbb{Q})$, then $\sigma(w)$ is also a primitive n^{th} root of unity.
 $\therefore \sigma(w) = w^j$, where $j < n$ and $(j, n) = 1$.

Denote this σ by σ_j .

We know that there are $\phi(n)$ no. of such *j*'s, and they are precisely the members of the group \mathbb{Z}_n^* .

Let
$$f:\mathbb{Z}_n^* \longrightarrow G(\mathbb{Q}(w):\mathbb{Q})$$
 be a map defined by $f(j) = \sigma_j$.

Claim: *f* is well-defined and one-one.

$$\sigma_{j} = \sigma_{k} \text{ with } j, k < n \text{ and } (j, n) = (k, n) = 1.$$

$$\Rightarrow \sigma_{j}(w) = \sigma_{k}(w)$$

$$\Rightarrow w^{j} = w^{k}$$

$$\Rightarrow w^{j-k} = 1$$

If $j \neq k$ then j - k < n and $w^{j-k} = 1$ which is contradiction to the fact that *w* is a primitive *n*th root of unity.

$$\therefore j = k \Rightarrow f \text{ is one-one.}$$
And if $j = k$ for $j, k \in \mathbb{Z}_n^*$.
Then $w^j = w^k$.

$$\Rightarrow \sigma_j(w) = \sigma_k(w)$$

$$\Rightarrow \sigma_j = \sigma_k \quad (\because \sigma_j, \sigma_k \text{ agree on } w, \text{ hence agree on every element of } \mathbb{Q}(w))$$

$$\Rightarrow f(j) = f(k)$$

$$\therefore f \text{ is well-defined.}$$

Claim : *f* is a homomorphism.

Let
$$j, k \in \mathbb{Z}_n^*$$
.
Write $jk = qn + r$ with $r < n$.
Now, $jk \pmod{n} = r$ i.e. in \mathbb{Z}_n^* , $jk = r$.
 $w^{jk} = w^{qn+r} = w^r$
 $f(jk) = f(r) = \sigma_r = \sigma_{jk} = \sigma_j \sigma_k = f(j) f(k)$
 $\therefore \mathbb{Z}_n^* \simeq G(\mathbb{Q}(w)/\mathbb{Q})$.

Remark : If *p* is an odd prime, then $(\mathbb{Z}p^e)^*$ is a cyclic group.

Hence, $G(\mathbb{Q}(w)/\mathbb{Q})$, where *w* is a primitive p^e th root of unity (e > 0), is a cyclic group of order $\phi(p^e) = p^{e-1}(p-1)$.

EXAMPLES:

1. Prove that the Galois group of $x^4 + x^2 + 1$ is the same as that of $x^6 - 1$ and is of order 2.

Solution : $x^4 + x^2 + 1 = y^2 + y + 1$ where $y = x^2$.

But $y^2 + y + 1$ is the minimal polynomial for a primitive 3rd root of unity.

So the splitting field of $x^4 + x^2 + 1$ will contain the square roots of $e^{2\pi i/3}$ and $e^{4\pi i/3}$.

Now, $(e^{2\pi i/3})^{1/2} = \pm e^{\pi i/3}$ and $(e^{4\pi i/3})^{1/2} = \pm e^{2\pi i/3}$.

So $E = \mathbb{Q}(\alpha)$, where $\alpha = e^{\pi i/3}$, is the splitting field of $x^4 + x^2 + 1 \in \mathbb{Q}[x]$.

But $e^{\pi i/3} = e^{2\pi i/6} = \alpha$ is a primitive 6th root of unity, *E* is the splitting field of $x^6 - 1$.

Then
$$G(\mathbb{Q}(\alpha)/\mathbb{Q}) \simeq \mathbb{Z}_6^*$$
 and $|\mathbb{Z}_6^*| = \phi(6) = 2$

Hence, $G(\mathbb{Q}(\alpha)/\mathbb{Q})$ is of order 2.

CYCLIC EXTENSIONS :

Definition 3.3 : Let *E* be a Galois extension of *F*. Then *E* is called a cyclic extension of *F* if G(E/F) is a cyclic group.

EXAMPLES :

1. If w is a primitive p^{th} root of unity and the splitting field of $x^{p} - 1$ over \mathbb{Q} is $\mathbb{Q}(w) = E$ (say). Then *E* is a Galois extension of \mathbb{Q} .

$$\therefore G(\mathbb{Q}(w)/\mathbb{Q}) \approx \mathbb{Z}_p^*$$
 and \mathbb{Z}_p^* is a cyclic group of order $p-1$.

 $\therefore \mathbb{Q}(w)$ is a cyclic extension of \mathbb{Q} .

Lemma 3.1 : Let *F* be a field of non-zero characteristic *p*. Then for every positive integer *k* the mapping Π_k of *F* into itself, defined by $\Pi_k(x) = x^{p^k}$ for all elements *x* of *F*, is an embedding of *F* into itself. (The mapping $\Pi_1(x) = x^p$ is called the Frobenius endomorphism).

Proof: Consider a map $\prod_k : F \longrightarrow F$ defined by $\prod_k (x) = x^{p^k} \quad \forall x \in F$.

Claim : Π_k is well-defined.

Let
$$x, y \in F$$
 such that $x = y \Longrightarrow x^{p^k} = y^{p^k}$.

 $\Rightarrow \Pi_k(x) = \Pi_k(y)$. Hence, Π_k is well-defined.

Claim : Π_k is injective.

Suppose $\Pi_k(x) = \Pi_k(y)$. $\Rightarrow x^{p^k} = y^{p^k} \Rightarrow x^{p^k} - y^{p^k} = 0$ $\Rightarrow (x - y)^{p^k} = 0$ (\because Characteristic of $F = p \neq 0$) $\Rightarrow x - y = 0$ (\because F is a field hence integral domain) $\Rightarrow x = y$

Therefore, Π_k is injective.

 $\therefore \Pi_k$ is an embedding of *F* into itself for every positive integer *k*.

Lemma 3.2 : Let E be a finite extension of F.

Suppose $f: G(E/F) \longrightarrow E^*$, $E^* = E - \{0\}$ has the property that $f(\sigma \eta) = \sigma f(\eta) \cdot f(\sigma)$ for all $\sigma, \eta \in G = G(E/F)$. Then there exists $\alpha \in E^*$ such that $f(\sigma) = \sigma(\alpha^{-1})\alpha \quad \forall \sigma \in G$.

(The mapping f in the hypothesis of the lemma is called a crosed homomorphism.) **Proof :** For all $\eta \in G$, $f(\eta) \in E^*$, so $f(\eta) \neq 0$.

Thus, if
$$\sum_{\eta \in G} f(\eta) \eta(b) = 0 \quad \forall b \in E^*$$
.

Then by the Dedekind lemma, $f(\eta) = 0$, which is not true. Hence, there exists $b \in E^*$, such that

$$\alpha = \sum_{\eta \in G} f(\eta) \eta(b) \neq 0$$

Then for any $\sigma \in G$, we get

$$\sum_{\eta \in G} \sigma(f(\eta)) \sigma(\eta(b)) = \sigma(\alpha)$$

Then, by using $\sigma(f(\eta)) = f(\sigma)^{-1} f(\sigma \eta)$, we get

$$\sum_{\eta \in G} (f(\sigma))^{-1} f(\sigma\eta) \cdot \sigma\eta(b) = \sigma(\alpha)$$

But $\{\sigma\eta \mid \eta \in G\} = \{\eta \mid \eta \in G\}$

Hence,
$$(f(\sigma))^{-1} \sum_{\eta \in G} f(\eta) \cdot \eta(b) = \sigma(\alpha)$$

$$\Rightarrow (f(\sigma))^{-1} \cdot \alpha = \sigma(\alpha)$$
$$\Rightarrow \alpha \sigma(\alpha^{-1}) = f(\sigma) \quad \forall \sigma \in G$$
Hence, the proof.

Lemma 3.3 : Let *E* be a finite extension of *F*, and let G = G(E/F) be a cyclic group of order *n* generated by σ . If be $w \in E$ such that

 $w \cdot \sigma(w) \cdot \sigma^2(w) \dots \sigma^{n-1}(w) = 1$, then there exists $\alpha \in E^*$ such that $w = \sigma(\alpha) \cdot \alpha^{-1}$.

Proof: By lemma 3.2, we need to define $f: G \longrightarrow E^*$ such that $f(\sigma) = w$ for some $\sigma \in G$ and f is a crossed homomorphism.

Define $f: G \longrightarrow E^*$ as follows :

$$f(id) = 1, f(\sigma) = w$$
 and $f(\sigma^i) = \sigma^{i-1}(w)....\sigma(w) \cdot w$ for $2 \le i \le n-1$.

Claim: *f* is a crossed homomorphism i.e.

$$f(\sigma\eta) = \sigma(f(\eta)) \cdot f(\sigma)$$

Let $\sigma^{i}, \sigma^{j} \in G$. If $i + j \equiv 0 \pmod{n}$ then
$$f(\sigma^{i} \cdot \sigma^{j}) = f(\sigma^{i+j}) = f(\sigma^{n}) = f(id) = 1$$

Also, $\sigma^{i}(f(\sigma^{j}))f(\sigma^{i})$
$$= \sigma^{i}(\sigma^{j-1}(w)....\sigma(w) \cdot w)(\sigma^{i-1}(w)....\sigma(w) \cdot w)$$

$$= \sigma^{n-1}(w) \cdot \sigma^{n-2}(w)....\sigma^{i}(w)....\sigma(w) \cdot (w)$$

$$= f(\sigma^{n})$$

$$= 1$$

If $i + j \not\equiv 0 \pmod{n}$, then

$$f(\sigma^{i}\sigma^{j}) = f(\sigma^{i+j}) = f(\sigma^{r}) = \sigma^{r-1}(w) \cdot \sigma^{r-2}(w) \dots \sigma(w) \cdot w$$

$$i + j = qn + r \text{ where and } r < n, r \neq 0.$$

Now, consider

$$\begin{split} \sigma^{i} \cdot f(\sigma^{j}) f(\sigma^{i}) &= \sigma^{i} (\sigma^{j-1}(w) \cdot \sigma^{j-2}(w) \dots \sigma(w) \cdot w) \cdot \\ & (\sigma^{j-1}(w) \cdot \sigma^{j-2}(w) \dots \sigma(w) \cdot w) \\ &= \sigma^{i+j-1}(w) \cdot \sigma^{i+j-2}(w) \dots \sigma^{i+1}(w) \cdot \sigma^{i}(w) \dots \sigma(w) w \\ &= \sigma^{r-1}(w) \cdot \sigma^{r-2}(w) \dots \sigma(w) w \\ &= f(\sigma^{r}) \end{split}$$

Hence, *f* is a crossed homomorphism. Therefore, using Lemma 3.3, $\exists \alpha \in E^*$.

Such that $f(\sigma) = \sigma(\alpha^{-1}) \cdot \alpha$. i.e. $w = \sigma(\alpha^{-1}) \cdot \alpha$. Hence, the proof.

Theorem 3.5 : Let *F* be a field and contain a primitive n^{th} root *w* of unity. Then the following are equivalent :

- (i) E is a finite cyclic extension of degree n over F.
- (ii) *E* is the splitting field of an irreducible polynomial $x^n b \in F[x]$.

Furthermore note $E = F(\alpha)$, where α is a root of $x^n - b$.

Proof: (i) \Rightarrow (ii)

Let σ be a generator of the finite cyclic group G(E/F).

By Lemma 3.3, there exists $\alpha \in E^*$ such that $\sigma(\alpha) = w\alpha$.

 $\therefore \sigma^i(\alpha) = w^i \alpha \quad \forall i = 1, 2, \dots$

$$\therefore \sigma^{i}(\alpha^{n}) = (\sigma^{i}(\alpha))^{n}$$
$$= (w^{i}\alpha)^{n}$$
$$= w^{in} \cdot \alpha^{n} = \alpha^{n}$$

Thus, $\alpha^n \in F$, and if $b = \alpha^n$, then $x^n - b \in F[x]$ and $x^n - b = \prod_{i=1}^n (x - w^i \alpha)$.

Claim : $x^n - b \in F[x]$ is irreducible over F.

Suppose $x^n - b = f(x) \cdot g(x)$, where f(x) is nonconstant irreducible monic polynomial over *F*.

If $w^i \alpha$ is one root of f(x), then for each positive integer j we have,

 $\sigma^{j-i}(w^{i}\alpha) = \sigma^{j-i}(w^{i})\sigma^{j-i}(\alpha)$

But $w^i \in F$ (Since *F* contains a primitive *n*th root *w* of unity).

So
$$\sigma^{j-i}(w^i) = w^i$$
 and also $\sigma^{j-i}(\alpha) = w^{j-i} \cdot \alpha$

Thus, we have

$$\sigma^{j-i}(w^i\alpha) = w^i \cdot w^{j-i} = w^j \cdot \alpha$$

Because any *F*-automorphism maps a root of a polynomial over *F* onto a root of that polynomial, we get that $w^{j}\alpha$ is also a root of f(x).

Hence, all the roots of $x^n - b$ are roots of f(x).

Thus, $f(x) = x^n - b$. Therefore, $x^n - b$ is irreducible over *F*.

Also, $E = F(\alpha)$, where α is a root of $x^n - b$ (since *F* contains *n*th root of unity) and *E* is the splitting field of $x^n - b$ over *F*.

Claim : (ii) \Rightarrow (i)

Let $c \in E$ be a root of. So $b = c^n$. Clearly, then, $c, cw, cw^2, ..., cw^{n-1}$ are *n* distinct roots of $x^n - b$, where $w \in F$ is a primitive n^{th} root of unity.

Thus, $x^n - b$ is a separable irreducible polynomial.

Hence, E = F(c) is a Galois extension of *F*.

For each $\sigma \in G(E/F)$, let $\chi(\sigma)$ be defined by

 $\chi(\sigma) = \left\{ k \in \mathbb{Z} \, | \, \sigma(c) = w^k c \right\}$

Then $\chi(\sigma) \neq \phi$ because $\sigma(c)$ is also a root of $\chi^n - b$.

Moreover, for any $k \in \chi(\sigma)$, $\chi(\sigma) = \overline{k} \in \mathbb{Z}/n\mathbb{Z}$, for $w^k c = w^j c$ if and only if $k \equiv j \pmod{n}$.

Further if, $\sigma, \tau \in G(E/F)$ and if $\sigma(c) = w^k c$ and $\tau(c) = w^j c$, then

$$(\sigma\tau)(c) = \sigma(w^{j}c) = w^{j}\sigma(c) = w^{j+k}(c)$$

So $\chi(\sigma\tau) = \chi(\sigma) + \chi(\tau) \pmod{n}$

Finally, if $\chi(\sigma) = \overline{0}$ then $\sigma(c) = c$.

So σ is identity on *E* because E = F(c).

Hence, χ is an isomorphism from G(E/F) onto a subgroup of the additive group \mathbb{Z}_n .

Also, [F(c): F] = degree of the minimal polynomial of c over F= degree of $x^n - b$ = n.

Because E = F(c) is a Galois extension,

|G(E/F)| = [E:F] = n and $G(E/F) \approx$ subgroup of \mathbb{Z}_n .

 $\Rightarrow G(E/F) \approx \mathbb{Z}_n$ (which is a cyclic group)

Hence, E is a cyclic extension of degree n over F.

EXERCISE :

- 1. If a field F contains a primitive n^{th} root of unity, then the characteristic of F is 0 or a prime p that does not divide n.
- 2. Let *F* contain a primitive n^{th} root of unity, and let *E* be the splitting field of $x^m b$ over *F*, where $m \mid n$ and *m* is prime. Then either E = F or $x^m - b$ is irreducible over *F*. What can you say of *m* is not prime ?



UNIT - IV

POLYNOMIALS SOLVABLE BY RADICALS AND SYMMETRIC FUNCTIONS

POLYNOMIALS SOLVABLE BY RADICALS

Definition 4.1 : An extension E of a field F is an extension of radicals (or radical extension) if there are elements $\alpha_1, \alpha_2, \dots, \alpha_r \in E$ and positive integers n_1, n_2, \dots, n_r such that

 $E = F(\alpha_1, \alpha_2, \dots, \alpha_r) \text{ and } \alpha_i^{n_i} \in F(\alpha_1, \alpha_2, \dots, \alpha_{i-1}), 1 < i \le r.$

Example : $\mathbb{Q}(\sqrt[3]{2}, \sqrt[5]{7})$ is a radical extension of \mathbb{Q} .

$$\left(\sqrt[3]{2}\right)^3 = 2 \in \mathbb{Q} \text{ and } \left(\sqrt[5]{7}\right)^5 = 7 \in \mathbb{Q}\left(\sqrt[3]{2}\right)$$

Theorem 4.1 : If E_r is a radical extension of $F = E_0$ with intermediate field E_1, \ldots, E_{r-1} such that $E_1 \subseteq E_2 \subseteq \ldots \subseteq E_{r-1}$, then there exists a radical extension E'_s of $F = E_0$ with intermediate fields $E'_1, E'_2, \ldots, E'_{s-1}$ ($E'_1 \subseteq E'_2 \subseteq \ldots \subseteq E'_{s-1}$) such that

(i)
$$E'_s \supset E_r$$

(ii) E'_s is a normal extension of F.

(iii) E'_i is a splitting field of a polynomial of the form $x^{m_i} - b_i \in E'_{i-1}[x], i = 1, 2, ..., s$. **Proof**: We have an ascending chain of fields $F = E_0 \subset E_1 \subset ..., \subset E_r$ such that $E_i = E_{i-1}(\alpha_i)$ $(1 \le i \le r)$ and α_i is a root of $x^{n_i} - a_i \in E_{i-1}[x]$.

Let *w* be a primitive n^{th} root of unity, where $n = n_1, n_2, ..., n_r$.

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Now, consider

$$E_{1}(w)$$

$$|$$

$$E_{0}(w) = F(w)$$

$$|$$

$$E_{0} = F$$

Now, $E_1(w)$ is a radical extension of F.

Since
$$F = E_0 \subset F(w) = E_0(w) \subset E_1(w)$$

 $E_1(w) = F(w, \alpha_1)$ such that $w^n \in F$ and $\alpha_1^{n_i} \in F(w)$.

Also, F(w) is a splitting field of $x^n - 1 \in F[x]$, hence is a normal extension of F.

 \Rightarrow F is the fixed field of G(F(w)/F).

$$\Rightarrow f_1(x) = \prod_{\sigma \in G(F(w)/F)} \left(x^{n_1} - \sigma(a_1) \right) \in F[x]$$

Here, $f_1(x) = (x^{n_1} - a_1)^k$, k = |G(F(w)/F)| since $a_1 \in F$.

Now, consider $g_1(x) = (x^n - 1)f_1(x)$. Then $g_1(x) \in F[x]$.

Let K be the splitting field of $g_1(x)$ over F.

 \Rightarrow K is a normal extension of F. Clearly, $\alpha_1 \in K$, $w \in K$ and $E_1 \subset K$.

 \Rightarrow There is a finite ascending chain of fields between F and K such that each field is a splitting field of a polynomial of the form $x^m - b$ over the precending field.

Similarly, we construct a field L such that L contains the field K and E_2 and is a normal extension of F.

Construction : Consider a polynomial

$$g_2(x) = g_1(x) f_2(x)$$
 where $f_2(x) = \prod_{\sigma \in G(K/F)} (x^{n_2} - \sigma(a_2))$

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Now, K is a normal extension over F, $f_2(x) \in F[x]$.

$$\Rightarrow g_2(x) \in F[x]$$
. Let L be the splitting field of $g_2(x)$ over F.

 $\Rightarrow \alpha_2 \in L$ and $K \subseteq L$. Hence, $E_1(\alpha_2) = E_2 \subset L$.

 \Rightarrow L is a normal extension of F containing E₂.

Continuing like this, we can construct a radical extension E'_s of F having the desired properties.

Definition 4.2 : A polynomial $f(x) \in F[x]$ over a field F is said to be solvable by radicals if its splitting field E is contained in some radical extension of F.

Note : We assume that all fields are of characteristic zero.

Theorem 4.2 : $f(x) \in F[x]$ is solvable by radicals over F if and only if its splitting field E over F has solvable Galois group G (E / F).

Proof : First suppose that G(E/F) is solvable. Because the characteristic of F is zero, E is a normal separable extension. So [E:F] = |G(E/F)| = n, say. Assume first that F contains a primitive nth root of unity. Then F contains primitive nth roots of unity for all positive integers *m* that divide *n*. Let G = G(E/F). Because *G* is solvable and finite, there is a chain $G = G_0 \supset G_1 \supset ... \supset G_r = (e)$ of subgroups of *G* such that $G_i \triangleleft G_{i-1}$ and $G_i \triangleleft G_{i-1}$ is cyclic. Let $F = F_0 \subset F_1 \subset ... \subset F_r = E$ be the corresponding subfields of *E* given by the fundamental theorem. Then $E_{G_i} = F_i$ and $G(E/F_i) = G_i$. Also, by the fundamental theorem, $G_1 = G(E/F_1) \triangleleft G(E/F) = G$ implies F_1 is a normal extension of F.



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Now E can be regarded as the splitting field of f(x) over F_1 . So E is a finite normal extension of F_1 . Then $G_2 \triangleleft G_1$ implies that F_2 is a normal extension of F_1 .



Continue in this way to show that F_i is a normal extension of F_{i-1} .



Furthermore, $G(F_i/F_{i-1}) = G(E/F_{i-1})/G(E/F_i) = G_{i-1}/G_i$ by the fundamental theorem. So F_i is a cyclic extension of F_{i-1} . Also, F_i is the splitting field of an irreducible polynomial $x^{n_i} - b_i \in F_{i-1}[x]$ and $F_i = F_{i-1}(\alpha_i)$, where $\alpha_i^{n_i} = b_i \in F_{i-1}$. Then $E = F(\alpha_1, ..., \alpha_r)$, $\alpha_1^{n_1} \in F$ and $\alpha_1^{n_1} \in F_{i-1} = F(\alpha_1, ..., \alpha_{i-1})$ for $1 < i \le r$. Thus, f(x) is solvable by radicals over F.

Next we drop the assumption that F contains a primitive n^{th} root of unity. The polynomial $x^n - 1 \in E[x]$ has roots in \overline{E} . Let ρ be a primitive n^{th} root of unity lying in \overline{E} . Then $E(\rho)$ is the splitting field of f(x) regarded as a polynomial over $F(\rho)$. Any $F(\rho)$ -automorphism σ of $E(\rho)$ will leave the coefficients of the polynomial f(x) unaltered. Now, for any automorphism $\sigma \in G(E(\rho)/F(\rho))$, we have $\sigma_0 = \sigma|_E \in G(E/F)$, since E is a normal extension of F. Further, the map $\sigma \mapsto \sigma_0$ is a 1 – 1 homomorphism of the group $G(E(\rho)/F(\rho))$ into G(E/F). Then since a subgroup of a solvable group is solvable, $G(E(\rho)/F(\rho))$ is solvable. Now by the first part, $E(\rho)$ is a radical extension of $F(\rho)$; so $E(\rho)$ is a radical extension of F. Then the splitting field E of $f(x) \in F[x]$ is contained in the radical extension $E(\rho)$ of F, so f(x) is solvable by radicals.

Before we prove the converse, we prove a lemma that deals with a particular case.

Lemma 4.1 : Let E be the splitting field of $x^n - a \in F[x]$. Then G(E/F) is a solvable group.

Proof : If F contains a primitive n^{th} root of unity, then we know that G(E/F) is abelian and, hence, solvable. Now suppose that F does not contain a primitive n^{th} root of unity. Let $\rho \in \overline{F}$ be a generator of the cyclic group of the n^{th} roots of unity. Let b be a root of $x^n - a$. Then $b\rho$ is also a root. So $\rho = b^{-1}(b\rho)$ is in the splitting field E of $x^n - a \in F[x]$. Consider $F \subset F(\rho) \subset E \cdot F(\rho)$ is a normal extension of F, since $F(\rho)$ is the splitting field of $x^n - 1$; so $G(E/F(\rho))$ is a normal subgroup of G(E/F) by the fundamental theorem of Galois theory.



But $G(E/F(\rho))$ is abelian, because E is the splitting field of $x^n - a \in F[x]$. So $(e) \triangleleft G(E/F(\rho)) \triangleleft G(E/F)$ is a normal series. Again by the fundamental theorem of Galois theory, $G(E/F)/G(E/F(\rho)) \simeq G(F(\rho)/F)$, which is abelian (being isomorphic to $(Z/(n))^*$ because $F(\rho)$ is the splitting field of $x^n - 1$. So G(E/F) has a normal series with abelian factors whose last element is the trivial group. Therefore, G(E/F) is solvable.

We are now ready to complete the proof of the theorem.

If a polynomial $f(x) \in F[x]$ is solvable by radicals, we may, without any loss of generality, assume that the splitting field E of f(x) is contained in a radical extension E_r of F such that E_r is a normal extension of F and there exist intermediate fields E_1, \ldots, E_{r-1} such that E_i is a splitting field of a polynomial of the form $x^{m_i} - b_i \in E_{i-1}[x]$. Thus, by the fundamental theorem of Galois theory

$$(e) \subset G(E_r / E_{r-1}) \subset G(E_r / E_{r-2}) \subset ... \subset G(E_r / F)$$

is a normal series. Also,

$$G\left(E_r / E_{r-i}\right) / G\left(E_r / E_{r-i+1}\right) \simeq G\left(E_{r-i+1} / F_{r-i}\right)$$

is solvable. Then,

$$(e) \subset G(E_r/E_{r-1}) \subset G(E_r/E_{r-2}) \subset \ldots \subset G(E_r/F)$$

is a normal series with solvable quotient groups, so $G(E_r/F)$ is solvable. Further, since $G(E/F) \simeq G(E_r/F)/G(E_r/E)$, G(E/F) is a homomorphic image of $G(E_r/F)$. Hence, it is solvable.

Remark : We know that the symmetric group S_n is not solvable if $n \ge 5$. Thus, any polynomial whose Galois group is S_n , $n \ge 5$, is not solvable by radicals.

Recall the important fact that the Galois group of a polynomial $f(x) \in F[x]$ having *r* distinct roots is embedable in the symmetric group S_r , the group of all permutations of the *r* distinct roots $(\alpha_1, ..., \alpha_r)$.

We call a subgroup H of S_n a transitive permutation group if, for all $i, j \in \{1, 2, ..., n\}$, there exists $\sigma \in H$ such that $\sigma(i) = j$.

We also recall the following result from group theory.

If p is a prime number and if a subgroup G of S_p is a transitive group of permutations containing a transportation (a, b), then $G = S_p$.

Theorem 4.3 : Let f(x) be a polynomial over a field F with no multiple roots. Then f(x) is irreducible over F if and only if the Galois group G of f(x) is isomorphic to a transitive permutation group.

Proof: Let $\alpha_1, ..., \alpha_n$ be the roots of f(x) in some splitting field E. Then for each $\sigma \in G$, $\sigma(\alpha_1), ..., \sigma(\alpha_n)$ is a permutation of $\alpha_1, ..., \alpha_n$. We may look upon G as a subgroup of S_n .

First assume f(x) is irreducible over F. Then for each i = 1, ..., n

$$F(\alpha_i) \simeq F[x]/(f(x))$$

in which $\alpha_i \mapsto x + (f(x))$, $a \mapsto a + (f(x))$, $a \in F$. This isomorphism induces the isomorphism $\eta : F(\alpha_i) \to F(\alpha_j)$, where $\alpha_i \mapsto \alpha_j$ and $a \mapsto a$, $a \in F$. But since E is a normal extension of F, η can be extended to an F-homomorphism $\eta^* : E \to E$. Then $\eta^* \in G(E/F)$ and $\eta^*(\alpha_i) = \alpha_j$. Thus, G is a transitive permutation group.

Conversely, let F be transitive. Let p(x) be the minimal polynomial for α_1 over F. Suppose α_i is any root. Because G is transitive, there exists $\sigma \in G$ such that $\sigma(\alpha_1) = \alpha_i$. Then $p(\alpha_i) = p(\sigma(\alpha_1)) = \sigma p(\alpha_1) = 0$. Hence, each α_i is a root of p(x). Because p(x) | f(x), it follows that f(x) = cp(x), $c \in F$. Thus, f(x) is irreducible over F.

Theorem 4.4 : Let $f(x) \in Q[x]$ be a monic irreducible polynomial over Q of degree p, where p is prime. If f(x) has exactly two nonreal roots in C, then the Galois group of f(x) is isomorphic tp S_p .

Proof: Let $E \subset C$ be a splitting field of f(x) over Q. G(E/Q) is isomorphic to a transitive permutation group H, which is a subgroup of S_p . Let $\alpha_1, ..., \alpha_p$ be roots of f(x), and let α_i be its complex root. Because $f(x) \in Q[x]$, α_i is also a root of f(x). Hence, $\overline{\alpha_i} = \alpha_j$ for some $1 \le j \le p$, $j \ne i$. Consider the embedding $\sigma : z \mapsto \overline{z}$ from E to Q. Because E is a normal extension of Q, σ maps E onto E. Thus, $\sigma \in G(E/Q)$. Then the permutation of the roots $\alpha_1, ..., \alpha_p$ of f(x) corresponding to the element σ of the Galois group G(E/Q) takes α_i to α_j and α_j to α_i , and keeps all α_k $(k \neq i, j)$ fixed. Hence, $H \simeq S_p$. Thus, $G(E/Q) \simeq S_p$, as required.

EXAMPLES:

(a) Show that if an irreducible polynomial $p(x) \in F[x]$ over a field F has a root in a radical extension of F, then p(x) is solvable by radicals over F.

Solution : Let E_r be a radical extension of F. Then there exists a radical extension E'_s of F such that $E'_s \supset E_r$ and E'_s is a normal extension of F. Because p(x) is irreducible over F and has a root in E_r , it has a root in E'_s . But because E'_s is a normal extension of F, it follows that E'_s contains a splitting field of p(x). This shows that p(x) is solvable by radicals.

(b) Show that the polynomial $x^7 - 10x^5 + 15x + 5$ is not solvable by radicals over Q.

Solution : By Eisentein's criterion $f(x) = x^7 - 10x^5 + 15x + 5$ is irreducible over Q. Further, by Descartes's rule of signs it is known that

The number of positive real roots \leq The number of changes in signs in f(x) = 2,

and The number of negative real roots \leq The number of changes in signs in f(-x) = 3.

Thus, the total number of real roots ≤ 5 . Moreover, by the intermediate value theorem there are five real roots, one in each of the intervals (-4, -3), (-2, -1), (-1, 0), (1, 2) and (3, 4). So f(x) has exactly two nonreal roots. By theorem 4.4 the Galois group of f(x) is S_7 . Hence, by Theorem 4.2 f(x) is not solvable by radicals.

PROBLEMS :

1. Show that the following polynomials are not solvable by radicals over Q :

(a) $x^5 - 9x + 3$	(b) $2x^5 - 5x^4 + 5$
(c) $x^5 - 8x + 6$	(d) $x^5 - 4x + 2$

2. Let $F[x_1, x_2, x_3]$ be a polynomial ring in x_1, x_2, x_3 over a field F. Let $K = F(x_1, x_2, x_3)$ be the field of rational functions (i.e. the field of fractions of the ring $F[x_1, x_2, x_3]$). Suppose

$$f(t) = t^3 - x_1 t^2 + x_2 t - x_3 \in K[t]$$

Prove that the Galois group of f(t) over K is S_3 . Generalize this result to a polynomial of degree n (see Theorem 4.1).

SYMMETRIC FUNCTIONS :

In this section we give an application of Galois theory to the symmetric functions. Let F be a field, and let $y_1, ..., y_n$ be *n* indeterminates. Consider the field of rational functions $F(y_1, ..., y_n)$ over F. If σ is a permutation of $\{1, ..., n\}$ – that is, $\sigma \in S_n$ – then σ gives rise to a natural map.

$$\overline{\sigma}$$
: $F(y_1, \dots, y_n) \rightarrow F(y_1, \dots, y_n)$

given by,

$$\overline{\sigma}\left(\frac{f(y_1,\ldots,y_n)}{g(y_1,\ldots,y_n)}\right) = \frac{f(y_{\sigma(1)},\ldots,y_{\sigma(n)})}{g(y_{\sigma(1)},\ldots,y_{\sigma(n)})}$$

where $f(y_1,...,y_n)$, $g(y_1,...,y_n) \in F[y_1,...,y_n]$ and $g(y_1,...,y_n) \neq 0$. It is immediate that $\overline{\sigma}$ is an automosphism of $F(y_1,...,y_n)$ leaving each element of F fixed.

Definition 4.3 : An element $f(y_1,...,y_n)/g(y_1,...,y_n)$ of $F(y_1,...,y_n)$ is called a symmetric function in $y_1,...,y_n$ over F if it is left fixed by all permutations of 1, ..., *n* that is, for all $\sigma \in S_n$.

$$\overline{\sigma}\left(\frac{f(y_1,\ldots,y_n)}{g(y_1,\ldots,y_n)}\right) = \frac{f(y_1,\ldots,y_n)}{g(y_1,\ldots,y_n)}$$

Let \overline{S}_n be the group of all F-automosphisms $\overline{\sigma}$ of $F(y_1, ..., y_n)$ corresponding to $\sigma \in S_n$. Obviously, $\overline{S}_n \simeq S_n$. Let K be the subfield of $F(y_1, ..., y_n)$ that is the fixed field of \overline{S}_n . Consider the polynomial

$$f(x) = \prod_{i=1}^{n} (x - y_i)$$

Now $f(x) \in F(y_1, ..., y_n)[x]$. Clearly, the natural mapping

$$F(y_1,\ldots,y_n)[x] \to F(y_1,\ldots,y_n)[x]$$

induced by each $\overline{\sigma} \in \overline{S}_n$ leaves f(x) unaltered. Thus, the coefficients of f(x) are unaltered by each $\overline{\sigma} \in \overline{S}_n$. Hence, the coefficients lie in the fixed field K.

Let us write the polynomial $\overline{\sigma} \in \overline{S}_n$ as $x^n + a_1 x^{n-1} + a_2 x^{-2} + \dots + a_n$, where $a_i \in K$.

Definition 4.4 : If a_i is the coefficient of x^{n-i} in the polynomial $f(x) = \prod_{i=1}^{n} (x - y_i)$, then $(-1)^i a_i$ is called the *i*th elementary symmetric function in y_1, \dots, y_n and is denoted by s_i .

Thus,

$$\begin{split} s_1 &= y_1 + y_2 + \ldots + y_n, \\ s_2 &= y_1 y_2 + y_1 y_3 + \ldots + y_{n-1} y_n, \\ \vdots \\ s_n &= y_1 y_2 \ldots y_n. \end{split}$$

Theorem 4.5 : Let $s_1, ..., s_n$ be the elementary symmetric functions in the indeterminates $y_1, ..., y_n$. Then every symmetric function in $y_1, ..., y_n$ over F is a rational function of the elementary symmetric functions. Also, $F(y_1, ..., y_n)$ is a finite normal extension of $F(y_1, ..., y_n)$ of degree n !, and the Galois group of this extension is isomorphic to S_n .

Proof : Consider the field $E = F(s_1, ..., s_n)$. Becausee K is the field of all symmetric functions in $y_1, ..., y_n$ over F, $E \subset K$. Also, because $F(y_1, ..., y_n)$ is a splitting field of the polynomial $f(x) = \prod_{i=1}^n (x - y_i)$, of degree *n* over E, we have,

$$\left[F\left(y_{1},\ldots,y_{n}\right):E\right] \leq n! \qquad \dots \dots (1)$$

Further,

$$\left[F\left(y_{1},\ldots,y_{n}\right):K\right] \geq \left|\overline{S}_{n}\right| = n! \qquad \dots \dots (2)$$

But since $E \subset K$, we obtain from (1) and (2) that E = K.

Now f(x) is a separable polynomial over E, and $F(y_1, ..., y_n)$ is its splitting field. Thus $F(y_1, ..., y_n)$ is a finite, separable, normal extension of E.

$$\left[F\left(y_{1},...,y_{n}\right):E\right] \geq \left|G\left(F\left(y_{1},...,y_{n}\right)/E\right)\right| \qquad \dots \dots (3)$$

Because $G(F(y_1,...,y_n)/E)$ is embeddable in S_n , and $[F(y_1,...,y_n):E] = n!$, we get from (3) that,

$$G\left(F\left(y_{1},\ldots,y_{n}\right)/E\right)\simeq S_{n}$$

Finally, the fact that K = E shows that every symmetric function can be expressed as a rational function of the elementary symmetric functions $s_1, ..., s_n$.

EXAMPLE :

1) We express the following symmetric polynomials as rational functions of the elementary symmetric functions.

(a)
$$x_1^2 + x_2^2 + x_3^2$$

(b)
$$(x_1 - x_2)^2 (x_2 - x_3)^2 (x_3 - x_1)^2$$

Solution :

(a)
$$(x_1^2 + x_2^2 + x_3^2) = (x_1 + x_2 + x_3)^2 - 2(x_1x_2 + x_2x_3 + x_3x_1) = s_1^2 - 2s_2$$

where s_1 and s_2 are elementary symmetric functions of x_1 , x_2 and x_3 .

(b) By simple computation it can be checked that

$$y_1 = x_1 - \frac{s_1}{3}$$
, $y_2 = x_2 - \frac{s_1}{3}$, $y_3 = x_3 - \frac{s_1}{3}$

are the roots of $x^3 + 3\alpha x + \beta = 0$, where

$$\alpha = \frac{-s_1^2}{3} + s_2, \quad \beta = -s_3 - \frac{2s_1^3}{27} + \frac{s_1s_2}{3}.$$

Then the cubic equation whose roots are $(y_1 - y_2)^2$, $(y_2 - y_3)^2$ and $(y_3 - y_1)^2$ is

$$(3\alpha + y)^3 + 9\alpha (3\alpha + y)^2 + 27\beta^2 = 0$$
(1)

Now,
$$(x_1 - x_2)^2 (x_2 - x_3)^2 (x_3 - x_1)^2 = (y_1 - y_2)^2 (y_2 - y_3)^2 (y_3 - y_1)^2$$

= Product of all the roots of (1)

$$= -27\left(\beta^2 + 4\alpha^3\right)$$

PROBLEM :

1. Express the following symmetric functions as rational functions of elementary symmetric functions.

(a)
$$x_1^3 + x_2^3 + x_3^3$$

(b) $x_1^2 + x_2^2 + x_2^2 x_3^2 + x_3^2 x_1^2$
(c) $(x_1^2 + x_2^2)(x_2^2 + x_3^2)(x_3^2 + x_1^2)$
(d) $(x_1 + x_2)^3(x_2 + x_3)^3(x_3 + x_1)^3$

UNIT - V

RULER AND COMPASS CONSTRUCTIONS

The theory of fields provides solutions to many ancient geometric problems. Among such problems are the following :

- 1. To construct by ruler and compass a square having the same area as that of a circle.
- 2. To construct by ruler and compass a cube having twice the volume of a given cube.
- 3. To trisect a given angle by ruler and compass.
- 4. To construct by ruler and compass a regular polygon having *n* sides.

For these we must translate the geometric problem into an algebraic problem. We shall regard the plane as the coordinate plane \mathbb{R}^2 of analytic geometry. Let $P_0 \subset \mathbb{R}^2$. Assume P_0 has at least two points. We construct an ascending chain of subsets P_i of \mathbb{R}^2 , i=0, 1, 2, ..., inductively as follows : Let P_{i+1} be the union of P_i and the set of points obtained by intersection of (i) two distinct lines each passing through two distinct points in P_i , or (ii) two distinct circles each with its center in P_i and passing through another point in P_i , or (iii) a line and a circle of the types described in (i) and (ii).

Suppose that the coordinates of points in P_0 belong to a subfield of K of R. The equation of a line passing through two distinct points in P_0 and the equation of a circle whose center is in P_0 and that passes through another point in P_0 are

$$ax + by + c = 0,$$
 $a, b, c \in K$ (1)

$$x^{2} + y^{2} + 2gx + 2fy + d = 0, \quad g, f, d \in K$$
(2)

respectively.

It follows then that the coordinates of the point of intersection of two such lines (1) lie in K. Also, the coordinates of the points of intersection of a line (1) and a circle (2), as well as

the coordinates of the ponts of intersection of two distinct circles (2), lie in $K(\sqrt{\alpha_1})$, $\alpha_1 > 0$, $\alpha_1 \in K$. Likewise, we get that the coordinates of points in P_i lie in $K(\sqrt{\alpha_1}, ..., \sqrt{\alpha_i})$, $\alpha_1, ..., \alpha_i > 0$, $\alpha_1 \in K$, $\alpha_2 \in K(\sqrt{\alpha_1}), ..., \alpha_i \in K(\sqrt{\alpha_1}, ..., \sqrt{\alpha_{i-1}})$.

Definition 5.1 : (a) A point X is constructible from P_0 if $X \in P_i$ for $i \in \{0, 1, 2, ...\}$.

(b) A line *l* is constructible from P_0 if it passes through two distinct points in some P_i , $i \in \{0, 1, 2, ...\}$.

(c) A circle C is constructible from P_0 if its center is in some P_i , and it passes through another point in P_i , $i \in \{0, 1, 2,\}$.

From now on whenever a point X (a line *l*, a circle C) is constructible from $Q \times Q$, we shall also say that the point X (the line *l*, the circle C) is constructible.

DEfinition 5.2: A real number *u* is constructible from Q if the point (u, 0) is constructible from $Q \times Q$, the subset of the plane R^2 .

It then follows from all this that if $u \in R$ is constructible from Q, then there exists an ascending chain.

$$Q = K_0 \subset K_1 \subset K_2 \subset \ldots \subset K_n$$

of subfields $K_1, K_2, ..., K_n$ of R such that.

(i)
$$u \in K_n$$

(ii)
$$K_i = K_{i-1}(\alpha_i), \ 1 \le i \le n$$
, where $\alpha_i^2 \in K_{i-1}$.

Thus, $[K_i: K_{i-1}] \le 2$ and, hence, $[K_n: Q] = 2^m$, $m \le n$. So we have shown. **Theorem 5.1 :** Let $u \in R$ be constructible from Q. Then there exists a subfield K of R containing *u* such that $[K : Q] = 2^m$ for some positive integer *m*.

Theorem 5.2: Let K be the subset of R consisting of numbers constructible from Q. Then K is a subfield containing square roots of all nonnegative numbers in K.

Before we prove this theorem, we prove a series of lemmas.

Lemma 5.1 : The following are equivalent statements :

(i) $u \in R$ is constructible from Q.

(ii) (a, 0) is a constructible point from $Q \times Q$.

(iii) (a, a) is a constructible point from $Q \times Q$.

(iv) (0, a) is a constructible point from $Q \times Q$.

Proof: (i) \Rightarrow (ii) Definition.

(ii) \Rightarrow (iii) The circle $(x-a)^2 + y^2 = a^2$ is constructible because its center (a, 0) is a constructible point, and it passes through a constructible point (0, 0). Also, the line x = y is constructible because it passes through constructible points (0, 0) and (1, 1). The point (a, a) is clearly a point of intersection of the citcle and the line. Hence, (a, a) is constructible.

(iii) \Rightarrow (iv) The circle $x^2 + y^2 = 2a^2$ is constructible because its center (0, 0) is constructible, and it passes through a constructible point (*a*, *a*). Also, the line y = -x is constructible because it passes through two distinct constructible points (0, 0) and (1, -1). One of the points of intersection of this circle and this line is (-*a*, *a*). This implies that (0, *a*) is a constructible point because it is the intersection of the constructible lines y = a [which passes through two distinct constructible points (-*a*, *a*) and (*a*, *a*)] and x = 0.

 $(iv) \Rightarrow (ii)$ Follows by symmetry.

Henceforth, whenever we say that a real number a is constructible, we mean that a is constructible from Q.

Lemma 5.2 : If *a* is a constructible number, then x = a and y = a are constructible lines. **Proof :** If a = 0, then x = 0 is clearly constructible. So let $a \neq 0$. Then x = a passes through two distinct constructible points (a, 0) and (a, a). Hence, x = a is constructible. Similarly, y = a is constructible.

Lemma 5.3 : If *a* and *b* are constructible numbers, then (a, b) is a constructible point. **Proof** : (a, b) is the intersection of the constructible lines x = a and y = b.

Lemma 5.4 : If *a* and *b* are constructible numbers, then $a \pm b$ are also constructible.

Proof: $(a \pm b, 0)$ are the points of intersection of the constructible line y = 0 and the constructible circle $(x-a)^2 + y^2 = b^2$ (the center (a, 0) is constructible; the point (a, b) through which the circle passes is constructible).

Lemma 5.5 : If a and b are constructible numbers, then

(i) *ab* is constructible

(ii) $a/b, b \neq 0$, is constructible.

Proof : (i) The line ay = -x + ab is constructible because it passes through constructible points (0, b) and (a, b-1). The intersection of this line with the constructible line y = 0 is (ab, 0). Hence ab is constructible.

(Note that we have used the fact that if b is constructible, then b-1 is also constructible).

(ii) If a = 0, then it is clear. So let $a \neq 0$. Then the line bx = a - y is constructible because it passes through two distinct constructible points : (0, a) and (a, a (1-b)). The intersection of this line with the constructible line y = 0 is (a/b, 0). Hence, a/b is constructible.

Lemma 5.6 : If a > 0 is constructible, then \sqrt{a} is constructible.

Proof: The point $(1, \sqrt{a})$ is a point of intersection of the constructible circle

 $\left(x - \frac{1+a}{2}\right)^2 + y^2 = \left(\frac{1+a}{2}\right)^2$

[which passes through the constructible point (0, 0) and which has constructible center ((1+a)/2, 0)] and the constructible line x = 1. Thus, $(1, \sqrt{a})$ is a constructible point.

Next, $(0, 2\sqrt{a})$ is also a constructible point because it is a point of intersection of the constructible circle $(x-1)^2 + (y-\sqrt{a})^2 = a+1$ and the constructible line x=0. Therefore, $2\sqrt{a}$ is a constructible number. Then by Lemma 5.5, \sqrt{a} is a constructible number.

Proof of the theorem 5.2 follows from Lemmas 5.4 - 5.6.

Theorem 5.3 : If $u \in K_m$, where $K_0 = Q \subset K_1 \subset K_2 \subset ... \subset K_m$ is an ascending tower of fields K_i such that $[K_i : K_{i-1}] = 2$, then *u* is constructible.

Equivalently, if $[Q(u):Q] = 2^t$ for some t > 0, then u is constructible.

Proof : Since rationals are constructible, the proof follows from Lemmas 5.4 - 5.6.

Definition 5.3 : An angle α is constructible by ruler and compass if the point (cos α , sin α) is constructible from $Q \times Q$.

Remark : The point $(\cos \alpha, \sin \alpha)$ is constructible from $Q \times Q$ if and only if $\cos \alpha$ is a constructible number (equivalently, if and only if $\sin \alpha$ is a constructible number).

Proof : Let $(\cos \alpha, \sin \alpha)$ be a constructible point. Then $(2 \cos \alpha, 0)$ is a point of intersection of the constructible circle $(x - \cos \alpha)^2 + (y - \sin \alpha)^2 = 1$ and the constructible line y = 0, so $(2 \cos \alpha, 0)$ is a constructible point. Thus, $2 \cos \alpha$ is a constructible number. So by Throem 5.2, $\cos \alpha$ is a constructible number. Conversely, assume that $\cos \alpha$ is a constructible number. Then by Theorem 5.2, $\sin \alpha$ is also a constructible number. This yields, by Lemma 5.1 that the points $(\cos \alpha, 0)$, $(\cos \alpha, \cos \alpha)$, $(0, \sin \alpha)$, and $(\sin \alpha, \sin \alpha)$ are constructible points. This means that the lines $x = \cos \alpha$ and $y = \sin \alpha$ are constructible lines. Hence, their intersection, namely, the point $(\cos \alpha, \sin \alpha)$ is a constructible point. The statement in parenthese can be proved the same way.

EXAMPLE :

(a) **Problem of Squaring a Circle**

It is impossible to construct a square equal in area to the area of a circle of radius 1. **Solution :** Assume we have a circle of unit radius. If *a* is the side of the square whose area is equal to that of this circle, then $\alpha^2 = \pi$. But since π is not algebraic over Q, a^2 and, hence, *a* is not algebraic over Q. So $[Q(a):Q] \neq 2^m$ for any positive integer *m*. Hence, by Theorem 5.1, *a* is not constructible by ruler and compass.

(b) **Problem of Duplicating a Cube.**

It is impossible to construct a cube with a volume equal to wtice the volume of a given cube by using ruler and compass only.

Solution : We can assume that the side of the given cube is 1. Let the side of the cube to be constructed be *x*. Then $x^3 - 2 = 0$. So we have to construct the number $2^{1/3}$ (the real cube root of 2). Because $x^3 - 2$ is irreducible over Q.

$$\left[Q(2^{1/3}):Q\right] = 3 \neq a \text{ a power of } 12.$$

Thus, by Theorem 5.1. $2^{1/3}$ is not constructible from Q by ruler and compass.

(c) Problem of Trisecting and Angle

There exists an angle that cannot be trisected by using ruler and compass only.

Solution : We show that the angle 60° cannot be trisected by ruler and compass. Now if this angle can be trisected by ruler and compass, then the number $\cos 20^\circ$ is constructible from Q. This is equivalent to the constructibility of 2 cos 20° from Q. Set $a = 2 \cos 20^\circ$. Then from $\cos 3\theta = 4 \cos^3 \theta - 3 \cos \theta$, we deduce $a^3 - 3a - 1 = 0$. Because the polynomial $x^3 - 3x - 1 \in Q[x]$ is irreducible over Q and has a root *a*, it follows that

$$[Q(a):Q] = 3 \neq \text{power of } 2.$$

Thus, by Theorem 5.1, $a = 2 \cos 20^\circ$ (or, equivalently, an angle of 20°) cannot be constructed by ruler and compass from Q. This completes the solution.

(d) Problem of Constructing a Regular n-gon

A regular *n*-gon is constructible (equivalently, the angle $\frac{2\pi}{n}$ is constructible) if and only if $\varphi(n)$ is a power of 2.

Solution : Let $\omega = \cos \frac{2\pi}{n} + i \sin \frac{2\pi}{n}$, where ω is a primitive n^{th} root of unity. Then $\omega + \overline{\omega} = 2\cos \frac{2\pi}{n}$. Set $u = \cos \frac{2\pi}{n}$. To show that u is constructible, we need to prove that $[Q(u):Q] = 2^k$, $k \ge 0$. Consider the following tower : $Q(\omega)$

Now
$$\left(\omega - \cos\frac{2\pi}{n}\right)^2 = -\sin^2\frac{2\pi}{n}$$
, and so $\omega^2 - 2\cos\frac{2\pi}{n}\omega + 1 = 0$. Thus ω

satisfies.

$$x^2 - \left(2\cos\frac{2\pi}{n}\right)x + 1 \in Q(u)$$

Which is clearly an irreducible polynomial over Q (u), proving that $[Q(\omega):Q(u)] = 2$. Now $[Q(\omega):Q] = \varphi(n), [Q(u):Q] = \frac{1}{2}\varphi(n)$. This shows that *u* is constructible if and only if $\varphi(n)$ is a power of 2.

Problems :

- 1. Show that the angle $\frac{2\pi}{5}$ can be trisected using ruler and compass.
- 2. Show that it is impossible to construct a regular 9-gon or 7-gon using ruler and compass.
- 3. Show that it is possible to trisect 54° using ruler and compass.
- 4. Prove that the regular 17-gon is constructible with ruler and compass.
- 5. Find which of the following numbers are constructible.
 - (i) $\sqrt{3} + 1$
 - (ii) $\pi^2 + 1$
 - (iii) $\sqrt{\sqrt{3}-1}+1$
 - (iv) $\sqrt[3]{2} + 1$
 - (v) $\sqrt[4]{\sqrt{2}+\sqrt{5}}$

