Policy for Ph. D. Research Centre

1. Introduction:

This policy outlines the requirements, responsibilities, and procedures for managing, and monitoring Ph. D. Research Centers in Autonomous/Affiliated Colleges of the Shivaji University. The policy aligns with the University Grants Commission (UGC) guidelines and aims to promote high-quality research, boost innovation, and ensure efficient resource utilization.

2. Objectives:

- **2.1** To ensure research excellence in alignment with the institution's vision and mission.
- **2.2** To establish clear guidelines for accreditation and governance of Ph. D. Research Centers.
- **2.3** To initiate interdisciplinary and collaborative research and enhancement of research networking capacity and infrastructure.

3. Eligibility Criteria:

3.1. Institutional Requirements:

- a. The institution must have NBA / NAAC accreditation with a minimum "A" grade or equivalent.
- **b.** A proven track record of research output, including publications, patents, or funded projects of the last Three / five years.
- c. The institution / affiliated college should be offering Post Graduate level Course in respective subject and minimum three batches should be pass out.
- d. Building Space: Separate space for Research Scholars to sit, study and work on extended hours of time.

- e. Scientific Laboratories: Earmarked Library facilities for Research Scholars and budget allocation for chemical purchases, etc.
- f. Library: Earmarked Library facility with advanced research materials ,hard copies of Journals in field of study, E-Journals and required Databases should be subscribed regularly. The library should function extended for hours for the benefit of research scholars.
- g. Contingency: There should be a contingency fund provision to meet the expenses on stationary, Text Books, Computer Printouts, Minor Equipment, Chemicals etc.
- h. Advanced Computing Facility: Earmarked Computer Lab for Research Scholars shall be established with the latest software for advanced data processing.

3.2 Faculty:

- a. At least two faculty members with a Ph. D. qualification in the relevant discipline and recognized as research guide by the Shivaji university.
- b. Faculty should demonstrate consistent research output, including publications in high-impact journals.

3.3 Funding:

- a. Institute should make adequate budgetary provision to support research activities.
- b. Centers are encouraged to secure external funding through grants, industry partnerships, and international collaborations etc.

4. Application Process and Approval:

4.1 Submission of Proposal: Institutions must submit a detailed proposal including an overview of facilities, faculty profiles, previous research E:\Nilesh\Meeting Folder 2025-26\PH.D Centre Policy\Meeting Minutes.docx

- **4.1 Submission of Proposal:** Institutions must submit a detailed proposal including an overview of facilities, faculty profiles, previous research achievements, and a Three / five-year research plan. As per prescribed format of the University.
- **4.2 Evaluation:** The Academic Council (AC) will evaluate proposals based on infrastructure, faculty qualifications, and research potential.
- **4.3 Site Inspection:** A separate committee appointed by the university will conduct a physical inspection to verify the details provided in the proposal.
- **4.4** Approval: Final approval will be granted by the Vice-Chancellor based on the recommendation of Academic Council (AC).

5. Admission Process:

As per the guidelines of UGC & Shivaji University Kolhapur.

5.1 Role of the University:

- a. The University will conduct the Ph. D. entrance examination and allocate students to the respective guides of the approved research centre.
- **b.** The concerned students have to take admission in the research centre.
- c. Oversee processes related to admission, coursework and examination, Research and Recognition Committee (RRC), and Research Advisory Committee (RAC), Plagiarism etc.

5.2 Role of the Research Centre:

- a. Research centre will enroll the students allocated by the University.
- **b.** Maintain detailed records of research activities, including student progress, publication, attendance monitoring etc.
- c. Submit an Biannual progress report of the student of the university.
- d. It is mandatory to the research center's to submit activity report annually.

- e. All communications regarding Ph. D. students' documents must be directed to the university through the principal of the affiliated college.
- f. Ensure rigorous academic standards in doctoral research.
- g. Facilitate coursework, workshops, and seminars for Ph. D. scholars.
 Research centre should have co-ordinator either principal or his representative.

5.3 Fee Distribution:

As per University rules and change there in from time to time.

6. Ethics and Compliance

Adhere to ethical research practices (including centre and university affiliation) and ensure compliance with UGC guidelines and University norms for the academic integrity.

7. Monitoring and Evaluation:

The affiliating university will conduct an annual review of each accredited centre, focusing on research output, student progression, and resource utilization

8. Undertaking:

An undertaking signed by authorized person of the management before a Notary public stating that the research center will abide by the Rules and Regulation of the university in conducting Ph.D. programmes and accept to adopt the revised Ph.D. guidelines as and when UGC/ University revises.

9. Conflict Resolution:

Disputes related to research supervision, resource allocation, and any other issues will be resolved by the RAC and escalated to the affiliating university.

10. Withdrawal of Affiliation:

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10. Withdrawal of Affiliation:

In the event of noncompliance of any requirements of recognition, it would be left to the university to decided on the withdrawal of recognition or initiate any other action as decided by the Academic Council of the University in case of withdrawal of recognition, the interest of existing Research Scholars already registered should be protected by appropriate arrangement by the University. University also reserves the right to impose a fine for every deviation in respect of admission, guidance, publication of papers, administrative process, scholar-guide relationship etc.

(Dr. M.S. Deshmukh)

Deans Faculty of Humanites