**SHIVAJI UNIVERSITY, KOLHAPUR**

**ASST./ASSO./PROFESSOR**

***Revised API - PBAS Pro forma for Recruitments and Promotion under CAS***

***as per UGC’s 4thAmendment Dated 11th July, 2016***

**(YEAR / /200 - / / 200 )**

**PART A : GENERAL INFORMATION AND ACADEMIC BACKGROUND**

**Name of College :-**

1. Name (in Block Letters) :-
2. Father's Name / Mother's Name :-
3. Department :-
4. Current Designation & Grade Pay :-
5. Date of last Promotion :-
6. Position and Grade Pay applied under CAS :-
7. Date of eligibility for promotion :-
8. Date and Place of Birth :-
9. Sex :-
10. Marital status :-
11. Nationality :-
12. Indicate category and sub category (if reserved):-
13. Address for correspondence (with Pin code) :-
14. Permanent Address (with Pin code) :-

Telephone No :-

Email :-

[ 2 ]

1. Academic Qualifications (S.S.C. till post graduation) :-

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Examinations | Name of the Board/ University | Year of Passing | Percentage of marks obtained | Division/  Class/Grade | Subject |
| High School /S.S.C. |  |  |  |  |  |
| H.S.C. |  |  |  |  |  |
| B.A./B.Sc/B.Com/  B. Mus. B.E., B.Tech. Etc. |  |  |  |  |  |
| M.A./M.Sc/M.Com/  M. Mus., M.E., M.Tech., Etc. |  |  |  |  |  |
| Others examination,  if any |  |  |  |  |  |

16. Research Degree(s)

|  |  |  |  |
| --- | --- | --- | --- |
| Degrees | Title | Date of award | University |
| M. Phil. |  |  |  |
| Ph.D./D. Phil |  |  |  |
| D.Sc/D. Litt. |  |  |  |

17. Appointments held prior to joining this institution

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Designation | Name of Employer | Date of | | Salary with Grade Pay | Reason of leaving |
| Joining | leaving |
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18. Posts held after appointment at this institution:-

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| --- | --- | --- | --- | --- |
| Designation | Department | Date of actual | | Grade |
| From | To |
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19. Period of teaching experience:

P.G. Classes (in years) \_\_\_\_\_\_\_

U.G. Classes (in years) \_\_\_\_\_\_\_

[ 3 ]

20. Research Experience excluding years spent in M. Phil / Ph. D (in years)\_\_\_\_\_\_\_\_\_\_\_\_\_\_

21. Fields of Specialization under the Subject / Discipline

(a) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(b) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

22. Academic Staff College Orientation / Refresher Course/ ISTE - AICTE Sponsored STTP/SBP attended during the year.

|  |  |  |  |
| --- | --- | --- | --- |
| Name of the Course/  Summer School | Place | Duration | Sponsoring Agency |
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**CATEGORY: III**

**RESEARCH AND ACADEMIC CONTRIBUTIONS**

Based on the teacher’s self-assessment, API scores are proposed for research and academic contributions. The minimum API scores required for teachers from this category are different for different levels of promotion in universities and colleges. The self-assessment score shall be based on verifiable records and shall be finalized by the screening cum evaluation committee for the promotion of Assistant Professor to higher grades and Selection Committee for the promotion of Assistant Professor to Associate Professor and Associate Professor to Professor and for direct recruitment of Associate Professor and Professor.

**III A) (i) Published Papers in Refereed Journals as notified by the UGC\*** (25 points per publication)

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Sr.**  **No.** | **Title**  **with**  **page**  **Nos.** | **Journal** | **ISSN /**  **ISBN**  **No.** | **Impact**  **Factor,**  **if any** | **No. of co-**  **authors** | **Whether**  **principal author/ corresponding**  **author / Guide** | **Self**  **appraisal**  **score** | **API**  **score**  **Verified** | **Page No. of**  **relevant**  **documents** |
|  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
| **Sub Total A (i)** | | | | | | |  |  |  |
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**Published Papers in Other Reputed Journals as notified by the UGC\*** (10 points per publication)

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Sr.**  **No.** | **Title**  **with**  **page**  **Nos.** | **Journal** | **ISSN /**  **ISBN**  **No.** | **Impact**  **Factor,**  **if any** | **No. of co-**  **authors** | **Whether**  **principal author/ corresponding**  **author / Guide** | **Self**  **appraisal**  **score** | **API**  **score**  **Verified** | **Page No. of**  **relevant**  **documents** |
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| **Sub Total A (i)** | | | | | | |  |  |  |
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**III B) Publications other than journal articles (books, chapters in books)**

1. **Text/Reference, Books published by International Publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC.**

**(30 Points per Book for Single Author)**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Sr.  No. | Title with page nos. | Type of Book &Authorship | Publisher & ISSN/ ISBN No. | Whether peer reviewed | No. of co-authors | Whether principal author /corresponding author /Guide | Self Appraisal score | API score Verified | Page No. of relevant documents |
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| **Sub Total B i)** | | | | | | |  |  |  |

1. **Subject Books, published by National level publishers, with ISBN/ISSN number or State / Central Govt. Publications as approved by the University and posted on its website. The List will be intimated to UGC. (20 Points per Book for Single Author)**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Sr.  No. | Title with page nos. | Type of Book & Authorship | Publisher & ISSN/ ISBN No. | Whether peer reviewed | No. of co-authors | Whether principal author /corresponding author /Guide | Self Appraisal score | API score Verified | Page No. of relevant documents |
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| **Sub Total B ii)** | | | | | | |  |  |  |

1. **Subject Books, published by other local publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC. (15 Points per Book for Single Authour)**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Sr.  No. | Title with page nos. | Type of Book & Authorship | Publisher & ISSN/ ISBN No. | Whether peer reviewed | No. of co-authors | Whether principal author /corresponding author /Guide | Self Appraisal score | API score Verified | Page No. of relevant documents |
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| **Sub Total B iii)** | | | | | | |  |  |  |

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1. **Chapters in Books, published by National and International level publishers, with ISBN/ISSN number as approved by the University and posted on its website. The List will be intimated to UGC. (International -10 points per Chapter National – 5 Points per Chapter)**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Sr.  No. | Title with page nos. | Type of Book &Authorship | Publisher & ISSN/ ISBN No. | Whether peer reviewed | No. of co-authors | Whether principal author /corresponding author /Guide | Self Appraisal score | API score Verified | Page No. of relevant documents |
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| **Sub Total B iv)** | | | | | | |  |  |  |

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| **Total B ( i+ii+iii+iv)** |  |

\* Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) paper with impact factor less than 1 - by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 20 points: (v) papers with impact factor above 10 by 25 points. The API for joint publications shall be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the First and Principal / corresponding author /supervisor / mentor would share equally 70% of the total points and the remaining 30% would be shared equally by all other authors.

**III C) Research Projects:**

**III C (i) Sponsored Projects**

1. Major projects: grants above Rs.30 lakhs for Engineering/ sciences &above Rs.5 lakhs for others, 20 points per project,
2. Major project: grants above Rs. 5 lakhs up to Rs. 30 lakhs for Engineering/ science and Rs.3 lakhs minimum to Rs. 5 lakhs for others, 15 points per project,
3. Minor project: grants above Rs.1 lakhs up to Rs. 5 lakhs for Engineering/ sciences & above Rs.1 lakhs up to Rs. 3 lakhas for others, 10 points per project,

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Type of project | Title | Agency | Year of completion | Whether Co-PI | Grant (Rs. Lakh) | Self appraisal Score | API score Verified | Page No. of relevant documents |
|  |  |  |  |  |  |  |  |  |
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| **Sub Total C (i)** | | | | | |  |  |  |

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**III C (ii) Consultancy Projects** (Amount mobilized with minimum Rs.10 lakhs for Engineering/ sciences & minimum of Rs.2 lakhs for others, 10 points for every Rs 10 lakhs & Rs. 2 lakhs. respectively)

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Sr.  No. | Title | Agency | Year of completion | Whether Co-PI | Amount Mobilized (Rs. Lakh) | Self appraisal Score | API score Verified | Page No. of relevant documents |
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| **Sub Total C (ii)** | | | | | |  |  |  |

**III C (iii) Projects Outcome/Output:**

**Patent / Technology transfer / Product process**

Major Policy document prepared for international bodies like WHO/UNO/UNESCO/UNICEF etc. Central / State Govt./Local Bodies(30 for each International / 20 for each national level output or patent. Major policy document of International bodies - 30 Central Government – 20, State Govt.-10 Local bodies – 5)

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| --- | --- | --- | --- | --- | --- | --- | --- |
| **Sr. No.** | **Name of**  **the Project** | **Funding Agency** | **Whether Co-PI** | **Amount Mobilized (Rs.)** | **Self appraisal score** | **API score Verified** | **Page No. of relevant documents** |
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| **Sub Total C (iii)** | | | | |  |  |  |

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| **Total C( i+ii+iii)** |  |

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**III D Research Guidance** (In the case of joint supervision points are to be equally shared)

(For M.Phil. degree awarded 5 points per candidate, Ph.D. degree awarded 15 points per candidate. Ph.D. thesis submitted 10 points per candidate)

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| **Sr. No.** | **Number**  **Enrolled** | **Thesis**  **Submitted** | **Degree**  **awarded** | **API Score**  **Claimed by the**  **candidate** | **API Score**  **Verified** | **Page No. of**  **relevant**  **documents** |
| M. Phil or equivalent |  |  |  |  |  |  |
| Ph. D. or equivalent |  |  |  |  |  |  |
| **Sub Total D** | | | |  |  |  |

**III E Fellowships, Awards and Invited lectures delivered in conferences / seminars**

**III E (i) Fellowships/ Awards**

1. International Award /Fellowship from academic bodies/associations -15 per Award / 15 per Fellowship.
2. National Award/Fellowship from academic bodies/associations -10 per Award / 10 per Fellowship.
3. State/University level Award from academic bodies/associations -5 per Award

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| **Sr. No.** | **Fellowship / Award** | **Year** | **Level International /National / State / University** | **Awardee Academic Body/ Association** | **API Score Claimed by the candidate** | **API Score Verified** | **Page No. of relevant documents** |
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| **Sub Total E (i)** | | | | |  |  |  |

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**III E (ii) Invited lectures / papers International level 7 per lecture, National level 5 per lecture, State / University level 3 per lecture**

**a. Invited lectures**

|  |  |  |  |  |  |  |  |  |
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| **Sr.**  **No.** | **Title of Lecture /Academic Session** | **Title of**  **Conference /Seminar etc** | **Organized by** | **Whether international/National** | | **API score claimed** | **API Score Verified** | **Page No. of relevant documents** |
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| **Sub Total E (ii)** | | | | | |  |  |  |

**(ii) Invited lectures / papers International level 5 per paper, National level 3 per paper, State / University level 2 per paper**

**a. Papers presented**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Sr.**  **No.** | **Title of Lecture /Academic Session** | **Title of**  **Conference /Seminar etc** | **Organized by** | **Whether international/National/ State or University level** | | **API score claimed** | **API Score Verified** | **Page No. of relevant documents** |
|  |  |  |  |  |  |  |  |  |
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| **Sub Total E (ii)** | | | | | |  |  |  |

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| **Total E (i+ii)** |  |

***The score under this sub-category shall be restricted to 20% of the minimum fixed for Category III for any assessment period***

**III (F) Development of e-learning delivery process/material 10 per module**

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**IV SUMMARY OF CATEGORY III**

|  |  |  |  |
| --- | --- | --- | --- |
| **Details** | **By Candidate** | **By Committee** | **Remark** |
| **Total A)** |  |  |  |
| **Total B)** |  |  |  |
| **Total C)** |  |  |  |
| **Total D)** |  |  |  |
| **Total E)** |  |  |  |
| **Total F)** |  |  |  |
| **Grand Total** |  |  |  |

**V SUMMARY OF API SCORES**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Criteria | API score  claimed | API score verified | Page No. |
| I | Procurement, Organization, and Delivery of Knowledge and Information Through Library Services |  |  |  |
| II | Professional Development, Co-Curricular and Extension Activities |  |  |  |
| III | Research and Academic Contribution |  |  |  |
|  | **TOTAL API(I + II + III) (Minimum Score required 90/120/150/180)** |  |  |  |

**List of Enclosures:**

(Please attach, copies of certificates, and / or letters sanction orders, papers etc. wherever. Necessary)

|  |  |
| --- | --- |
| **1** | **6** |
| **2** | **7** |
| **3** | **8** |
| **4** | **9** |
| **5** | **10** |

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**UNDERTAKING**

I\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ undertake that the information provided is correct as per records submitted by me to College / Institute / university and / or documents enclosed along with the duly filledPBAS performa.

My PBAS based APIs score carries

Place :

Date : Signature of the faculty with

Designation

Place :

Date : Signature

Head of the Department

Place :

Date : Principal / Director/ Vice- Chancellor

**N. B.:** The individual PBAS performa duly filled along with all enclosures, submitted for CAS promotions will be duly verified by the college/Institute/university as necessary and placed before the Screening cum Evaluation Committee or Selection Committee for assessment / verification.