

SHIVAJI UNIVERSITY, KOLHAPUR

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Ref. /SU/IPR/17

To. The Head/Director, All Departments. Shivaji University, Kolhapur.

> Subject: Invitation of applications for financial assistance for patent filing fees through KAPILA Program 2023-24 (Phase- III)

Dear Sir/Madam.

The Ministry of Education's (MoE's) Innovation Cell has started the KAPILA program which is implemented by the Ministry of Education's Innovation Cell, AICTE, New Delhi. The scheme provides financial assistance to the institutions that are part of the Higher Education Institutions for filing patents. 'KAPILA: Kalam Program for IP Literacy and Awareness', which will create appropriate awareness regarding the need for IP filing, mechanism, and methodology involved in filing IP in India and globally, especially amongst students and faculty of higher education institutions. The objective of KAPILA is to recognize, facilitate and felicitate the Intellectual Property, innovations, and best practices in HEIs. Following are the details of financial assistance provided under this scheme:

Sr. No.	Budget Head	Amount in ₹
1	Funding support for patent application filing fee	₹ 800/-
2	Request for Examination fee	₹ 2,000/-
3	Amount per Application	₹ 2,800 /-

Applications are invited for financial assistance for reimbursement of patent filing fees under KAPILA program 2023-24 (Phase- III). The details & guidelines of KAPILA Program are enclosed herewith. Best Regards,

Yours faithfully,

Who can apply?

• Funding will be provided to Shivaji University, Students/ Faculty Members for Patent applications having the Institute either as applicant/ co- applicant of the application.

The following documents are to be submitted at the time of application:

- Copy of Patent Application (All forms)
- Title of the Patent
- Date of the Patent Filed
- Applicant/ Co-applicant details
- Copy of the receipt of the patent application fees
- Bank details of the applicant

The last date for submission of the application is: 04th December, 2023.

Submit your application at:

Dr. S. B. Sadale, Director, IPR Cell

Address:

International Affairs Cell, Shivaji University, Kolhapur.

Email: dir.iprc@unishivaji.ac.in





Scheme Name: KAPILA: Kalam Program for IP Literacy and Awareness Implementing Agency - MoE's Innovation Cell/AICTE

I. Introduction:

The scheme is accessible to all institutions that are part of the Higher Education Institutions (HEIs) and will provides financial assistance for filing patents. 'KAPILA: Kalam Program for IP Literacy and Awareness', which will create appropriate awareness regarding the need of IP filing, mechanism, and methodology involved in filing IP in India and globally, especially amongst students and faculty of HEIs.

II. Objective

The objective of KAPILA is to recognise, facilitate and felicitate the Intellectual Property, innovations, and best practices in HEIs.

KAPILA will help in establishing the much required IP filing ecosystem in a large number of education institutions and thus create a culture of systematically protecting new ideas, research, and innovation having national and global relevance.

III. Application Processing Methodology

- Online submission of shortlisted application details (title of the patent, date of patent filed, applicant /co-applicant details, copy of receipt of the patent application fee) by the institute through KAPILA portal
- Screening/ scrutiny of the submitted applications to check for correctness of data at Institute Level
- The final decision will be taken by the MoE's Innovation Cell (MIC), keeping in view the expert committee's recommendations and the availability of funds for the scheme.
- After the MIC's approval, the total Sanctioned grant will be sent to the institute on reimbursement mode.

IV. When to Apply

 Applications will be invited throughout the year (Fund will be released on quarterly basis)

V. Guideline for the formation of committee, selection, and submission of Unique Innovation

- Every institutes keen on seeking fund for filing patent need to form a committee as per guidelines mentioned below.
- All institute's decision-making body with respect to incubation / IPR / technology-licensing will consist of faculty and experts who have excelled in technology translation. Other faculty in the department/institute will have no say, including heads of department, heads of institutes, deans or registrars".
- All the application for patent filing should be submitted to a minimum five membered committee consisting of two faculty members (having developed sufficient IPR and translated to commercialisation), two of the institute's alumni/ industry experts (having experience in technology commercialisation) and one





legal advisor with experience in IPR, will examine the patent application. Institute can use alumni/ faculty of other institutes as members, if they cannot find sufficiently experienced alumni / faculty of their own.

- Institute IPR cell or incubation center will only be a coordinator and facilitator for providing services to faculty, staff and students. They will have no say on how the invention is carried out, how it is to be patented.
- Funding will be provided to students/faculty for Patent Application having the institute either as applicant / co-applicant of the application. (The patent application will not be funded if the application doesn't have Institute as applicant / co-applicant. The fund will be transferred only to the institute bank account and not to the individual account)

VI. Funding Assistance from MIC/AICTE on reimbursement mode

Sr. No.	Budget Head	Amount in ₹
1	Funding support for patent application	₹ 800/-
	filing fee	
2	Request for Examination fee	₹ 2,000/-
3	Amount per Application	₹ 2,800 /-
	Total Amount (Maximum Application 40	₹ 1,12,000/-
	per Institute)	

- A maximum funding amount of ₹ 2800/- per application
- Maximum Application 40 per Institute per year
- Maximum funding per Institute is amount of ₹1,12,000/- (₹ 2,800/- per application)

VII. Disbursement of the Funds

- A maximum of 40 filed patent applications will be eligible for funding under the reimbursement mode. The funding will cover 50% of the complete application amount, which includes the filing fee, examination fee, or both.
 - For example, let's say there are 42 filed patent applications submitted to KAPILA, and the total application amount is denoted as ABC. In this case, KAPILA will release funds for a maximum of 40 applications, and the funding amount will be calculated as
 - Total Fund release by KAPILA = 40(max)*ABC/2
- If an institute submits only the filing fee receipt, along with the statement of expenditure and minutes of the meeting, the amount corresponding to the filing fee will be released. Once the patent application receives the examination fee receipt, it can be submitted to KAPILA using the same KAPILA application ID that was used to submit the filing fee receipt. This will allow the institute to avail complete funding for the patent application.

Note: If the filing fee and examination fee are submitted separately, it is important to use the same 12-digit patent application number and KAPILA application ID for both submissions. 100% of the sanctioned amount will be released as a grant in aid to the head of the institute.

• The grantee Institution shall observe all financial norms and guidelines as prescribed by the AICTE/ Government of India from time to time.





VIII. Terms and Conditions

a. Expert Committee:

- Competent and experienced committee should be involved in evaluating the patent application. (Refer Serial No. V guidelines for the formation of the committee).
- HEI's need to ensure in-house participation in the submission of patent application faculties, students, and staff are expected.
- Institute may invite any number of experts per evaluation based on the number of applications submitted as per their convenience.
- Honorarium for experts must be borne by the institute from their own resources. But the quality of the activities should not be compromised.
- Minutes of Meeting of Expert Committee and evaluation report should be maintained at institute and submitted to MoE's Innovation Cell on need basis. Format for the minutes of meeting is provided on the website. Kindly provide details of all the patents submitted for approval (whether selected or rejected for KAPILA by committee).

IX. Report and Supporting Document Submission

Institute need to submit the report and following supporting documents within the prescribed period of the submission of patent application.

• Original Statement of actual expenditure (reimbursement mode) in the prescribed proforma duly signed by the Head of the institution and countersigned by Registrar/Finance Officer/Govt. Auditor

X. Monitoring

• AICTE/MIC may depute an Officer/Observer to oversee the process

XI. Expected Outcomes

- Awareness creation regarding the need of IP filing, mechanism and methodology involved in filing IP in India and globally, especially amongst students and faculty of higher education institutions.
- Establishing the much required IP filing ecosystem in a large number of education institutions and creating a culture of systematically protecting new ideas, research, and innovation having national and global relevance.
- Program will set the foundation for the institute's participation in MoE's Innovation and Entrepreneurship initiatives such as IIC, ARIIA, Hackathons, NISP, National Innovation Contest, and YUKTI 2.0 and related programs at MIC and AICTE.
- Strengthening the connection of institutions located in Himalayan/North Eastern region/Aspirational Districts with national innovation and entrepreneurial ecosystem enablers.
- Active participation and involvement of students, faculties and staff
- Sensitization and vibrant IP filing ecosystem in HEIs
- Filing at least 10,000 patent applications per year

XII. For more information, contact us

Email:kapila@aicte-india.org / Phone no: 011 2958 1225